## Microfabrication of glass by a short-pulse CO2 laser with tunable laser parameters

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## Microfabrication of glass by a short-pulse CO2 laser with tunable laser parameters

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By

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Supervised by: Assoc. Prof. Dr. Kazuyuki Uno

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### Abstract

Microfabrication of glass such as drilling, piercing, grooving and cutting is required in many industrial applications including optical communication, sensor manufacturing, electronic packaging, micro-lens array, display technology, optoelectronics and etc. In microfabrication of glass, a  $CO<sub>2</sub>$  laser has become popular due to numerous benefits such as a simple construction, a cost-effectiveness, and an efficient absorption of glass.  $CO<sub>2</sub>$  laser processing of glass is a thermal process because a commercial  $CO<sub>2</sub>$  laser has a pulse width of about ten nanoseconds at the shortest. The thermal process gives a thermal damage such as cracks, HAZ (Heat affected zone) and debris. In  $CO<sub>2</sub>$  laser processing of glass, laser parameters such as a laser pulse waveform, a beam profile and a repetition rate and sample properties such as a thermal expansion coefficient (CTE) and a melting point (MP) are important. In a commercial glass, a CTE is  $5.5 \times 10^{-7}$  /K to  $94 \times 10^{-7}$  /K and a high CTE may be attributed to produce cracks. An MP is 724 $\degree$ C to 1600 $\degree$ C and a low MP may be attributed to produce HAZ. Therefore, to eliminate cracks and HAZ, a selectable laser parameter or an extra treatment such as preheating, liquid assistance or gas assistance is required. This thesis presents microfabrication of glass, that is a hole drilling, in a crown glass with a high CTE of 94  $\times$  $10^{-7}$  /K and a low MP of 724 °C by a short-pulse CO<sub>2</sub> laser with tunable laser parameters. Crack-free holes without an extra treatment in a short-pulse  $CO<sub>2</sub>$  laser with a pulse tail were realized and the dependence of drilling characteristics were investigated.

Firstly, to investigate the required laser parameters that will produce crack-free holes in a crown glass without an extra treatment and to investigate the influence of a laser pulse waveform, short laser pulses with a spike pulse width of 250 ns, a pulse tail length of 31.4 µs to 135 µs, an energy ratio of a spike pulse to a pulse tail of 1:7.1 to 1:92 and a fluence per single pulse of 6.01 J/cm<sup>2</sup> to 38.1 J/cm<sup>2</sup> were irradiated on a sample at a repetition rate of 1 Hz to 400 Hz. The short laser pulses were irradiated by a focusing lens with a focal length of 38.1 mm at a focus offset of 0.00 mm. The Rayleigh length was 116 μm to 146 μm. The short laser pulses produced crack-free holes regardless of the energy of the pulse tail at a repetition rate of 150 Hz to 400 Hz. The short laser pulse irradiation was realized a high drilling efficiency of 1.56  $\mu$ m/J/cm<sup>2</sup> by a laser pulse waveform with an energy ratio of a spike pulse to a pulse tail of 1:25, a

fluence per single pulse of 11.9 J/cm<sup>2</sup>, a total irradiation fluence of 937 J/cm<sup>2</sup> and a repetition rate of 200 Hz.

Secondly, a cylindrical hole drilling in the crown glass using a short-pulse  $CO<sub>2</sub>$ laser with a flat-top beam was investigated. The short laser pulse consisted of a spike pulse with a pulse width of 276 ns and a pulse tail with a length of 56.9 µs at a repetition rate of 200 Hz. The laser beam had a flat-top profile with a beam quality factor  $M^2$  of 13.5. The flat-top beam was focused by a focusing lens with a focal length of 12.7 mm on the glass surface at a focus offset of  $-0.20$  mm to  $+0.40$  mm. The Rayleigh length was 188 μm. The flat-top beam produced conical holes at the focus offsets of -0.20 mm to 0.00 mm and produced cylindrical holes at the focus offsets of  $+0.20$  mm to  $+0.40$  mm. In the cylindrical holes, the hole depth was 109 μm to 434 μm, the surface hole diameter was 152 μm to 366 μm, and the aspect ratio, defined as the ratio of the hole depth to the surface hole diameter, was 0.30 to 2.89.

Lastly, the drilling characteristics of a crown glass with a high CTE of  $94 \times 10^{-7}$ /K and a low MP of  $724^{\circ}$ C by a short-pulse  $CO_2$  laser with a central-peak-intensity beam and a flat-top beam were investigated. The  $CO<sub>2</sub>$  laser produced a short pulse with a spike pulse width of 276 ns, a pulse tail length of 56.9 µs, an energy ratio of a spike pulse to a pulse tail of 1:20 and a central-peak-intensity beam or a flat-top beam at a repetition rate of 200 Hz. In the central-peak-intensity beam and the flat-top beam, a beam quality factor  $M<sup>2</sup>$  was 7.6 and 13.5, respectively. The laser beam was focused by a focusing lens with a focal length of 12.7 mm on the glass surface at a focus offset of  $-0.20$  mm to +0.20 mm. The central-peak-intensity beam produced a conical hole at the focus offsets of -0.20 mm to -0.10 mm and produced a biconical hole with a wide waist diameter at the focus offsets of  $0.00$  mm to  $+0.20$  mm. The flat-top beam produced a conical hole at the focus offsets of  $-0.20$  mm to  $+0.10$  mm and produced a cylindrical hole at a focus offset of  $+0.20$  mm.

Therefore, this thesis gives crack-free holes in a glass with a high CTE of  $94 \times 10^{-7}$ /K and a low MP of 724 °C by irradiation of a short-pulse  $CO<sub>2</sub>$  laser without an extra treatment. A high drilling efficiency and various types of hole shapes such as a conical hole, a cylindrical hole and a biconical hole was reported that will be useful in industrial applications.

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# CHAPTER 1 Introduction

#### CHAPTER 1

### Introduction

#### 1.1 Introduction

Glass is a non-crystalline and a transparent solid with an excellent physical and optical properties [1–3]. The demand of microfabrication of glass such as drilling, piercing, grooving and cutting is increasing in a micro-lens array, an electronic packaging, an optical aperture, a fiber grating, a D-shaped fiber, a microfluidic channel, and a micro/nanostructure [4–13]. To form micro/nanostructures in the glass surface, various conventional methods such as diamond drilling and dicing, waterjet drilling, sandblasting and ultrasonic processing have been used for few decades [1,14,15]. But these conventional methods have limitations in quality and processing speed. Recently, laser-based glass processing is improving in terms of simplicity, quality, processing speed and cost-effectiveness [16–21]. The laser radiation also has several unique properties such as a high intensity of an electromagnetic energy flux and a very narrow beam focused upon a small area. However, among various lasers, a  $CO<sub>2</sub>$  laser has become popular due to numerous benefits, including a simple construction, a low cost, an easy maintenance and an efficient absorption in glass [6,22–24].

A CO<sub>2</sub> laser emits light at mid-infrared wavelengths of 9.2  $\mu$ m to 11.4  $\mu$ m (mainly 9.6  $\mu$ m and 10.6  $\mu$ m). The emitting light from a CO<sub>2</sub> laser is a CW (Continuous wave) or pulsed light depending on the construction of the  $CO<sub>2</sub>$  laser. In previous experiments of our group  $[25-29]$ , a longitudinally excited  $CO<sub>2</sub>$  laser with a controllable excitation circuit, gas medium or optical cavity produced various laser pulses such as a tail-free short pulse with a pulse width of 99 ns to 281 ns, a short pulse with a spike pulse width of 137 ns to 480 ns and a pulse tail length of 23.5  $\mu$ s to 150  $\mu$ s and an energy ratio of a spike pulse to a pulse tail of 1:14 to 1:112 or a long pulse with a pulse width of 25.7  $\mu$ s to 82.7  $\mu$ s. The CO<sub>2</sub> laser with a controllable optical cavity produced various beam profiles such as a gaussian beam, a gaussian-like beam, a doughnut beam, a doughnut-like beam, a flat-top beam and a flat-top-like beam [25,28]. Moreover, the short-pulse  $CO<sub>2</sub>$  laser operated at a repetition rate of 1 kHz [30]. In a short-pulse  $CO<sub>2</sub>$  laser, a spike pulse gives a thermal processing with a small

thermal effect, and a pulse tail gives a thermal processing with a large thermal effect. A long pulse gives a thermal processing and a large thermal effect. Thermal effect in a glass processing produces a thermal damage such as cracks, HAZ (Heat affected zone) and debris. The thermal damage depends on the radiated laser light parameters such as a laser pulse waveform, a beam profile and a repetition rate, and the sample properties such as a thermal expansion coefficient (CTE), a melting point (MP), a thermal diffusivity and a thermal conductivity [31,32]. Among the sample properties, a CTE and an MP of a glass are the considerable parameters. A commercial glass has a CTE of  $5.5 \times 10^{-7}$  /K to 94  $\times 10^{-7}$  /K and an MP of 724°C to 1600°C. A high CTE may attribute to produce cracks. A low MP may attribute to produce HAZ. In a Pyrex 7740 glass with a CTE of  $33 \times 10^{-7}$  /K and an MP of 820°C and a Schott D263Teco glass with a CTE of  $72 \times 10^{-7}$  /K and an MP of 736°C, a CW and long-pulse CO<sub>2</sub> laser with an extra treatment such as pre-heating, gas assistance, liquid assistance and PDMS (Polydimethylsiloxane) layer was used to reduce thermal damages [33–35]. The extra treatments make the processing complex and give extra cost. However, in a fused silica glass with a CTE of  $5.5 \times 10^{-7}$  /K and an MP of 1600°C, and an alkali-free glass with a CTE of  $32 \times 10^{-7}$  /K and an MP of 971°C, a short-pulse CO<sub>2</sub> laser without an extra treatment produced less thermal damage [23,36].

Moreover, common short-pulse  $CO<sub>2</sub>$  lasers, had a gaussian-like beam that produced conical holes [1,23,37,38]. In glass processing, cylindrical hole formation has been attracting attention. A cylindrical hole minimizes light scattering in an optical waveguide, reduces fluidic flow resistance in a microfluidic system, and improves the overall systems functionality compared to a conical hole. Currently, to produce a cylindrical hole, a trepanning drilling method and a helical drilling method, which involve moving a laser beam on a sample, are used [20,39,40]. The helical and trepanning drilling methods result in large surface hole diameters, make the processing complex, and have a lower processing speed than the percussion drilling method that does not involve moving a laser beam on a sample. However, industrial applications require an easy processing system for various kinds of glass and want to produce various hole shapes.

In this thesis, drilling characteristics of a crown glass with a high CTE of  $94 \times 10^{-1}$ <sup>7</sup>/K and a low MP of 724 °C by a short-pulse  $CO<sub>2</sub>$  laser with tunable laser parameters were investigated. At first, to find the crack-free drilling conditions without an extra

treatment and to investigate the influence of a laser pulse waveform, short laser pulses with a spike pulse width of 250 ns, a pulse tail length of 31.4 µs to 135 µs and an energy ratio of a spike pulse to a pulse tail of 1:7.1 to 1:92 were irradiated on a sample at a repetition rate of 1 Hz to 400 Hz. The laser beam was a doughnut-like beam with a beam quality factor  $M^2$  of 3.4 to 7.9 at a repetition rate of 1 Hz to 50 Hz. The laser beam was a central-peak-intensity with large-shoulders beam with a beam quality factor  $M^2$  of 3.1 to 6.7 at a repetition rate of more than 50 Hz to 400 Hz. The short laser pulses were irradiated by a focusing lens with a focal length of 38.1 mm at a focus offset of 0.00 mm. The Rayleigh length was 116 μm to 146 μm. The short laser pulses produced crack-free holes regardless of the energy of the pulse tail at a repetition rate of 150 Hz to 400 Hz. The short laser pulse irradiation was realized a high drilling efficiency that is a hole depth per a total irradiation fluence of 1.56  $\mu$ m/J/cm<sup>2</sup> by a laser pulse waveform with an energy ratio of a spike pulse to a pulse tail of 1:25, a fluence per single pulse of 11.9 J/cm<sup>2</sup>, a total irradiation fluence of 937 J/cm<sup>2</sup> and a repetition rate of 200 Hz. The drilling characteristics such as the dependence of a crack or crackfree hole, a hole depth, an estimated hole volume, a drilling efficiency, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter on a laser pulse waveform, a fluence per single pulse, a total irradiation fluence and a repetition rate were investigated.

Next, a cylindrical hole drilling in the crown glass with a high CTE of  $94 \times 10^{-7}$ /K and a low MP of  $724^{\circ}$ C using a short-pulse CO<sub>2</sub> laser with a flat-top beam was investigated. The short laser pulse consisted of a spike pulse with a pulse width of 276 ns, a pulse tail with a length of 56.9  $\mu$ s and an energy ratio of a spike pulse to a pulse tail of 1:20 at a repetition rate of 200 Hz. The laser beam had a flat-top profile with a beam quality factor  $M^2$  of 13.5. The flat-top beam was focused by the focusing lens with a focal length of 12.7 mm on the glass surface at a focus offset of -0.20 mm to +0.40 mm. The Rayleigh length was 188 μm. The flat-top beam produced conical holes at focus offsets of -0.20 mm to 0.00 mm and produced cylindrical holes at focus offsets of  $+0.20$  mm to  $+0.40$  mm. In the cylindrical holes, the hole depth was 109  $\mu$ m to 434 μm, the surface hole diameter was 152 μm to 366 μm, and the aspect ratio, defined as the ratio of the hole depth to the surface hole diameter, was 0.30 to 2.89. The drilling characteristics such as the dependence of a hole shape, a hole depth, a surface hole

diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter and properties of a cylindrical hole on a focus offset, a fluence per single pulse and a total irradiation fluence were investigated.

Later, the drilling characteristics of a crown glass with a high CTE of  $94 \times 10^{-7}$ /K and a low MP of 724 °C by a short-pulse  $CO<sub>2</sub>$  laser with a central-peak-intensity beam and a flat-top beam were investigated and compared. The CO<sub>2</sub> laser produced a short pulse with a spike pulse width of 276 ns, a pulse tail length of 56.9  $\mu$ s, an energy ratio of a spike pulse to a pulse tail of 1:20 and a central-peak-intensity beam or a flattop beam at a repetition rate of 200 Hz. In the central-peak-intensity beam and the flattop beam, a beam quality factor  $M^2$  was 7.6 and 13.5, respectively. The laser beam was focused by a focusing lens with a focal length of 12.7 mm on the glass surface at a focus offset of  $-0.20$  mm to  $+0.20$  mm. The Rayleigh length was 106  $\mu$ m and 188  $\mu$ m at the central-peak-intensity beam and the flat-top beam, respectively. The central-peakintensity beam produced a conical hole at a focus offset of -0.20 mm to -0.10 mm and produced a biconical hole with a wide waist diameter at a focus offset of 0.00 mm to +0.20 mm. The flat-top beam produced a conical hole at a focus offset of -0.20 mm to  $+0.10$  mm and produced a cylindrical hole at a focus offset of  $+0.20$  mm. The comparison of the drilling characteristics such as the dependence of a hole shape, a hole depth, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter and properties of a biconical hole on a beam profile, a focus offset, a fluence per single pulse and a total irradiation fluence were investigated.

#### 1.2 Problem statement

To realize crack-free holes in a glass with a high CTE and a low MP and to improve the glass processing by a  $CO<sub>2</sub>$  laser in terms of simplicity and efficiency, extensive research is still ongoing. However, there are the following concerns in  $CO<sub>2</sub>$ laser processing of glass.

- 1. A thermal damage such as cracks and HAZ.
- 2. An extra treatment such as pre-heating, liquid assistance or gas assistance.
- 3. A suitable laser pulse waveform.
- 4. A suitable beam profile.
- 5. An unknown drilling characteristic in a glass with a high CTE and a low MP.

#### 1.3 Research objectives

This research was conducted to produce cracks-free hole, control a hole shape, increase the drilling efficiency and reduce the HAZ in a crown glass with a high CTE of 94  $\times$  10<sup>-7</sup>/K and a low MP of 724<sup>o</sup>C without an extra treatment such as pre-heating, liquid assistance or gas assistance by a short-pulse  $CO<sub>2</sub>$  laser with tunable laser parameters such as a laser pulse waveform, a beam profile, a focus offset, a fluence per single pulse, a total irradiation fluence and a repetition rate. Moreover, the drilling characteristics like the dependence of a hole shape, a hole depth, an estimated hole volume, a drilling efficiency, a taper angle, an aspect ratio, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of surface HAZ diameter to an irradiation diameter on the tunable laser parameters were investigated. The detail research goals are described in the below sections:

#### 1.3.1 CO2 laser parameters for crack-free holes in crown glass

#### Experimental conditions:

- 1. A sample was a crown glass with a high CTE of  $94 \times 10^{-7}$  /K, a low MP of  $724^{\circ}$ C and a thickness of 1150  $\mu$ m.
- 2. A spike pulse width was 250 ns, a pulse tail length was 31.4  $\mu$ s to 135 µs and the energy ratio of a spike pulse to a pulse tail was  $1:7.1$  to  $1:92.$
- 3. A laser beam profile was a doughnut-like beam and a centralpeak-intensity with large-shoulder beam.
- 4. A focusing lens was with a focal length of 38.1 mm.
- 5. A focus offset was 0.00 mm.
- 6. A Rayleigh length was 116 μm to 146 μm.
- 7. A fluence per single pulse was  $6.01$  J/cm<sup>2</sup> to 38.1 J/cm<sup>2</sup>.
- 8. A total irradiation fluence was  $238$  J/cm<sup>2</sup> to  $1305$  J/cm<sup>2</sup>.
- 9. A repetition rate was 1 Hz to 400 Hz.

#### Research objectives:

- 1. To realize cracks-free hole drilling in a crown glass by a shortpulse  $CO<sub>2</sub>$  laser without an extra treatment.
- 2. To investigate the dependence a hole depth, an estimated hole volume, a drilling efficiency, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter on a laser pulse waveform, a fluence per single pulse, a total irradiation fluence and a repetition rate.

#### 1.3.2 Cylindrical hole drilling in glass by a short-pulse  $CO<sub>2</sub>$  laser with a flat-top beam

#### Experimental conditions:

- 1. A sample was a crown glass with a high CTE of  $94 \times 10^{-7}$  /K, a low MP of  $724^{\circ}$ C and a thickness of 1150 μm.
- 2. A spike pulse width was 276 ns, a pulse tail length was 56.9 μs and the energy ratio of a spike pulse to a pulse tail was 1:20.
- 3. A laser beam profile was a flat-top beam.
- 4. A focusing lens was with a focal length of 12.7 mm.
- 5. A focus offset was  $-0.20$  mm to  $+0.40$  mm.
- 6. A Rayleigh length was 188 μm.
- 7. A fluence per single pulse was  $3.7 \text{ J/cm}^2$  to  $46.8 \text{ J/cm}^2$ .
- 8. A total irradiation fluence was  $110 \text{ J/cm}^2$  to  $4209 \text{ J/cm}^2$ .
- 9. A repetition rate was 200 Hz.

#### Research objectives:

- 1. To produce a cylindrical hole in a crown glass.
- 2. To investigate the dependence of a hole shape, a hole depth, a taper angle, an aspect ratio, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation

diameter on a focus offset, a fluence per single pulse and a total irradiation fluence.

#### 1.3.3 Comparison of glass drilling characteristics in a short-pulse  $CO<sub>2</sub>$  laser with two different beam profiles

#### Experimental conditions:

- 1. A sample was a crown glass with a high CTE of  $94 \times 10^{-7}$  /K, a low MP of  $724^{\circ}$ C and a thickness of 1150 µm.
- 2. A spike pulse width was 276 ns, a pulse tail length was 56.9 μs and the energy ratio of a spike pulse to a pulse tail was 1:20.
- 3. A laser beam profile was a central-peak-intensity beam and a flat-top beam.
- 4. A focusing lens was with a focal length of 12.7 mm.
- 5. A focus offset was  $-0.20$  mm to  $+0.20$  mm.
- 6. A Rayleigh length was 106 μm and 188 μm.
- 7. A fluence per single pulse was  $12.8$  J/cm<sup>2</sup> to  $47.7$  J/cm<sup>2</sup>.
- 8. A total irradiation fluence was  $383$  J/cm<sup>2</sup> to  $4296$  J/cm<sup>2</sup>.
- 9. A repetition rate was 200 Hz.

#### Research objectives:

- 1. To compare the hole shape with a central-peak-intensity beam and a flat-top beam at various focus offset.
- 2. To compare the dependence of a hole shape, a hole depth, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter and on a beam profile, a focus offset, a fluence per single pulse and a total irradiation fluence.

#### 1.4 Organization of the thesis

The thesis is divided into five chapters as shown in the Fig.1.4-1. This introductory chapter described the problem statement and research objectives in a glass processing that has a high CTE and a low MP. Chapter 2 will describe various lasers that are used in glass processing, importance of laser parameters in glass processing and recent glass processing by a  $CO<sub>2</sub>$  laser. Chapter 3 will describe the construction of the short-pulse  $CO<sub>2</sub>$  laser, the laser parameters that were used in the experiments and the result acquisition methods. Chapter 4 will present the obtained results and the relevant discussions and finally Chapter 5 will conclude with the summary of the research.



Fig. 1.4-1 Flow chart of the thesis.

## CHAPTER 2

## CO2 laser microfabrication of glass

### CHAPTER 2

## CO2 laser microfabrication of glass

#### 2.1 Introduction

Microfabrication of glass such as drilling, piercing, grooving and cutting is required in various industrial applications such as manufacturing of optical components, electronic packaging, optical sensors and microfluidic devices [41–45]. Fig. 2.1-1 shows various methods of glass processing. Mechanical methods such as grinding, powder blasting, abrasive jet micromachining and ultrasonic vibration, and chemical methods such as wet etching, deep reactive ion etching and hydrofluoric acid etching are commonly used [43,46–52]. In a mechanical method and a chemical method of glass processing, quality and processing speed are the limitations [1,53–55]. Besides, a laser-based method of glass processing is becoming popular due to numerous advantages such as simplicity, quality, processing speed and costeffectiveness [21,55–58]. Table 2.1-1 shows the advantages of a laser-based method compared to a mechanical method and a chemical method in glass drilling. The drilling speed of a laser-based method was higher than that of a mechanical method and a chemical method. Moreover, the produced hole diameter was also small in a laserbased method.



Fig. 2.1-1 Various methods of glass drilling.

Ref.	Method	Diameter	Hole depth	Speed	Sample image
$[49]$	Grinding (Mechanical)	1011-1223 μm	$4000 \mu m$	$5 \mu m/s$	
$[50]$	Abrasive jet (Mechanical)	$800 \mu m$	$50 \mu m$	$32 \mu m/s$	N/A
$[51]$	Abrasive slurry jet (Mechanical)	$800 \mu m$	900 µm	$1.88 \ \mu m/s$	
$[15]$	Abrasive slurry jet (Mechanical]	390 µm	350 µm	$4.38 \text{ }\mu\text{m/s}$	60 s
$[46]$	Wet etching (Chemical)	3000 µm	$1000 \mu m$	$0.13 \mu m/s$	
$[59]$	Deep reactive ion etching (Chemical)	$200 \mu m$	$250 \mu m$	$0.035 \mu m/s$	
$[52]$	Deep reactive ion etching (Chemical)	$100 \mu m$	300 μm	$0.0058 \ \mu m/s$	
$[36]$	CO <sub>2</sub> laser	$25 \mu m$	$100 \mu m$	$20000 \mu m/s$	
$[35]$	$CO2$ laser	$280 \mu m$	$500 \mu m$	$11400 \ \mu m/s$	
$[33]$	$CO2$ laser	$71 \mu m$	$500 \mu m$	$2000 \mu m/s$	
[60]	$CO2$ laser	$125 \mu m$	$1025 \mu m$	5857 µm/s	

Table 2.1-1 Glass drilling by a mechanical method, a chemical method and a laser-based method.

In laser-based glass drilling, an absorption of a laser wavelength in a glass is important. An absorption or a transmission of a glass depends on a wavelength. Fig. 2.1-2 shows the absorption coefficient in a fused silica glass at a wavelength of 100 nm to 12 μm [61,62]. Moreover, Fig. 2.1-3 shows the transmission spectra of various glasses such as a fused silica glass, a borosilicate glass and a soda lime glass with a thickness of 2 mm at a wavelength of 100 nm to 12  $\mu$ m [63]. A CO<sub>2</sub> laser with a wavelength of 10.6 μm has a high absorption in various types of glasses.



Fig. 2.1-2 Absorption coefficient of a fused silica glass [61,62].



Fig. 2.1-3 Transmission rate of various glasses such as a fused silica glass, a borosilicate glass, a soda lime glass with the thickness of 2 mm [63].

In laser-based drilling, various drilling methods such as single-pulse drilling, percussion drilling, trepanning drilling and helical drilling are the most common methods. Fig. 2.1-4 shows a schematic illustration of the various drilling methods. In a single-pulse drilling method, a pulsed laser irradiates only one pulse on a sample. A single-pulse drilling method requires a high irradiated laser fluence to form a high-

aspect-ratio hole. A single-pulse drilling method does not require the movement of a sample or a focusing system. In a percussion drilling method, a pulsed laser irradiates multiple pulses on the same spot in a sample. A hole depth is controlled by a number of irradiated pulses or a total irradiated laser fluence. In a percussion drilling method, a sample and a focusing systems do not require a movement from an irradiation axis. But the sample might move toward or backward the laser beam irradiation depending on requirement of a hole shape. In a trepanning drilling method, multiple overlapping holes are drilled around the circumference of a targeted hole. A pulsed laser with a high repetition rate or a CW laser is used in a trepanning drilling method. A trepanning drilling method requires rotation of a laser beam axis or a sample. In a helical drilling method, which is a type of trepanning drilling method, the laser beam follows a helical path, rotating with respect to the sample. Furthermore, an area scanning drilling method is more likely to a helical drilling method that is used to produce a rectangular hole or groove. Therefore, a single pulse drilling method and a percussion drilling method do not require the movement of a laser beam that require a simple set-up and make the processing simple. On the other hand, a trepanning drilling method and a helical drilling method require a movement of a laser beam that require a complex set-up and make the processing complex.



Fig. 2.1-4 Various drilling methods. (a) Single-pulse drilling. (b) Percussion drilling. (c) Trepanning drilling. (d) Helical drilling.

Table 2.1-2 shows examples of various glass drilling with various lasers and drilling methods. Various lasers, especially a UV (Ultraviolet) laser with a wavelength of 100 nm to 400 nm, a visible laser with a wavelength of 400 nm to 700 nm, a near infrared laser with a wavelength of 700 nm to about 3  $\mu$ m and a CO<sub>2</sub> laser with a wavelength of 9.3 μm and 10.6 μm are typically used in a glass drilling. In a UV laser, such as an  $F_2$  laser with a wavelength of 157 nm, an ArF laser with a wavelength of 193 nm, a KrF laser with a wavelength of 248 nm and a Nd:YAG FHG (Fourth harmonic generation) laser with a wavelength of 266 nm, and with a pulse width of about 5 ns to 30 ns, the processing mechanism is a photoionization process and a thermal process [64]. A high photon energy gives the photoionization process, and a pulse width of nanosecond gives the thermal process with small thermal effects. Therefore, a smooth processing without debris can realize by a high photon energy of an  $F_2$  laser of 7.8 eV, an ArF laser of 6.4 eV and a KrF laser of 4.9 eV [65,66]. A UV laser can realize submicrons processing by a small focus spot diameter due to a short wavelength.

 An ultrafast laser with a wavelength of 800 nm to 1552 nm and a pulse width of several hundreds of femtoseconds induces multiphoton absorption and gives a nonthermal ablation process [67,68]. A non-thermal process can give a smooth processing. However, an ultrafast laser has a very low pulse energy of about several nanojoules, but the repetition rate is very high and about several hundreds of kilohertz to gigahertz. The accumulated heat cannot be ignored. Therefore, an additional cooling method such as an assist gas has to be used in femtosecond laser processing. The assist gas is useful for removing debris. Additionally, an ultrafast laser realizes processing inside the glass (in volume processing) because a glass is transparent in the wavelength of 800 nm to 1552 nm and the processing takes place by multiphoton absorption near the focus area. An ultrafast laser can realize several microns processing.

On the other hand, a  $CO<sub>2</sub>$  laser has a main wavelength of 9.6  $\mu$ m and 10.6  $\mu$ m and a pulse width of several tens of nanoseconds to several milliseconds. A  $CO<sub>2</sub>$  laser is absorbed at the surface of a glass because the  $CO<sub>2</sub>$  laser wavelengths have a high absorption coefficient in a glass. A  $CO<sub>2</sub>$  laser can realize several-tens-of-microns processing because of a long wavelength of 9.2  $\mu$ m to 11.4  $\mu$ m. A CO<sub>2</sub> laser gives high efficiency processing by a high absorption of 99% in glass and a high efficiency from an electric energy to a laser energy of about 5% to 20% [69–71]. Therefore, in glass processing by a  $CO<sub>2</sub>$  laser, the overall efficiency is high.  $CO<sub>2</sub>$  laser processing is a thermal process where processing is dominated by melting and vaporization of a locally irradiated glass surface. Various thermal damages such as cracks, HAZ and debris are produced during glass processing depending on the irradiation conditions and the glass properties. Therefore, the sustainable control of the laser parameters is necessary for CO2 laser processing.



Table 2.1-2 Examples of various glass drilling with various lasers and drilling methods.


# 2.2  $CO<sub>2</sub>$  laser drilling of glass

#### 2.2.1 Heat generation and propagation

A CO2 laser emits light that is an electromagnetic radiation at the mid-infrared wavelengths of 9.2 μm to 11.4 μm (mainly 9.6 μm and 10.6 μm). In laser processing of materials, various phenomena occur immediately after the laser irradiation until the processing is completed. Fig. 2.2-1 shows a schematic model of the various processes involved in a heat generation and a heat propagation. When a  $CO<sub>2</sub>$  laser with a pulse width of about 100 ns is irradiated on a glass surface, the glass absorbs the laser energy at the surface. The absorbed laser intensity can be expressed by the Eq. 2.2-1 [21,85]:

$$
I(z) = I_0 \exp(-\alpha z) \qquad \qquad Eq. 2.2-1
$$

Where,  $I(z)$  is the absorbed laser intensity  $[W/m^2]$ ,  $I_0$  is the initial laser intensity [W/m<sup>2</sup>],  $\alpha$  is the absorption coefficient [m<sup>-1</sup>], and z is the depth [m] from the irradiated position. The absorbed laser intensity is the highest on the surface and exponentially decays into the material so does the produced heat. In glass, atoms are tightly bonded with the surrounding atoms and keep vibrating at their own equilibrium positions. The absorbed electromagnetic wave gives a lattice vibration which releases energy in a form of a heat energy [86–89]. The generated heat from the absorbed laser can be expressed by the Eq. 2.2-2:



Fig. 2.2-1 Schematic model of heat generation and propagation in laser processing.

$$
Q = \beta I
$$
 Eq. 2.2-2

Where, Q is the generated heat intensity  $[W/m^2]$ , I is the absorbed laser intensity [ $W/m<sup>2</sup>$ ] and  $\beta$  is the energy conversion efficiency. In common energy transfer, the loss energy changes the heat. The energy conversion efficiency  $\beta$  can be 100%, which can affect the produced heat intensity. Therefore, it is important to consider  $\beta$  in a heat propagation although most studies ignored the term to represent the generated heat intensity in a laser irradiation.

The generated heat by laser irradiation on the glass surface transfers in the glass. Fig. 2.2-2 shows the heat propagation schematic in the glass and Eq. 2.2-3 represents the mathematical expression of the heat propagation [89–91].



Fig. 2.2-2 Heat transfer model in a glass by a laser irradiation.

$$
\rho c_p \frac{\partial T}{\partial t} = Q + \nabla \cdot (K \nabla T)
$$

$$
\rho c_p \frac{\partial T(x,y,z,t)}{\partial t} = Q(x,y,z,t) + K \left[ \frac{\partial^2 T(x,t)}{\partial x^2} + \frac{\partial^2 T(y,t)}{\partial y^2} + \frac{\partial^2 T(z,t)}{\partial z^2} \right]
$$
 Eq. 2.2-3

Where,  $\rho$  is the density [kg/m<sup>3</sup>],  $c_p$  is the specific heat capacity [J/kgK], K is the thermal conductivity [W/mK], Q is the generated heat density [W/m<sup>3</sup>], and T is the temperature [K]. Most studies treated a laser source on the surface as a heat flux and calculated the Eq. 2.2-3 only without considering the Eq. 2.2-2 is shown the conversion of a laser energy to a heat energy [89–91]. In addition to the above, there is actually

heat conversation loss and the calculation of heat and processing shape is very difficult and has not been realized yet.

The solution of the Eq. 2.2-3 gives the temperature at the different distances into the material as the schematic of laser irradiation on a fused silica glass and a crown glass is shown in Fig. 2.2-3. The temperature distributions at different distances from the irradiation spot and at various time after the laser irradiation are shown in Fig. 2.2-4. For an example, a generated heat of 3000 K at  $x = 0.0$  mm with a pulse width of 100 ns and an irradiation diameter of 100 μm on a fused silica glass with a thermal conductivity of 1.38 W/mK, a density of 2201 kg/m<sup>3</sup> and a heat capacity of 1273 J/kgK gives a temperature of 293 K at  $x = 1.0$  mm and  $t = 0.0$  s that is just after completing the irradiation of 100 ns pulse. Later, at  $t > 0.0$  s, the assumed maximum temperature of 3000 K at  $x = 0.0$  mm will conduct through the glass. Therefore, the maximum temperature at  $x = 0.0$  mm will reduce with  $t > 0.0$  s as well as conduct into the glass. The calculated temperature at  $x = 0.0$  mm and  $x = 1.0$  mm is 350 K and 310 K, respectively at  $t = 1.0$  s.



Fig. 2.2-3 Schematic of temperature at 1.0 mm from the irradiation spot.

However, the temperature can be lost due to the propagation into the air and due to the thermal radiation. The heat loss due to the propagation into the air is expressed by Eq. 2.2-4. Where,  $Q<sub>loss1</sub>$  is the rate of the heat propagation into the air per unit time [W], h is the convective heat transfer coefficient  $[W/m^2K]$ , A is the heat transfer area



Fig. 2.2-4 Temperature distribution from the center of laser irradiation to the x-direction in glass. The calculation is based on the Fig. 2.2.3. (a) Fused silica glass with a thermal conductivity of 1.38 W/mK, a density of 2201 kg/m<sup>3</sup> and a heat capacity of 1273 J/kgK. (b) Crown glass with a thermal conductivity of 1.38 W/mK, a density of 2560 kg/m<sup>3</sup> and a heat capacity of 800 J/kgK. The MATLAB code for the calculation is presented in APPENDIX 2.I.

[m<sup>2</sup>], T is the temperature [K] on the surface and  $T_0$  is the ambient temperature [K]. Therefore, in the case of Fig. 2.2-3, a generated temperature T of 3000 K on the surface of a glass with an ambient temperature  $T_0$  of 293 K and a convective heat transfer coefficient in the air h of 2.5 W/m<sup>2</sup>K gives a heat propagation into the air per unit time of  $5.31 \times 10^{-5}$  W that is  $5.31 \times 10^{-5}$  K/s. Hence, the temperature loss due to the propagation into the air is too small and negligible.

$$
Q_{\text{loss1}} = hA(T - T_0) \tag{Eq. 2.2-4}
$$

The heat loss due to the thermal radiation is expressed by Eq. 2.2-5. Where,  $Q_{loss2}$ is the rate of the thermal radiation per unit time [W], ε is the emissivity that is 0.89 in glass,  $\sigma$  is the Stefan's constant that is  $5.67 \times 10^{-8}$  W/m<sup>2</sup>K<sup>4</sup>, A is the heat radiating area

[m<sup>2</sup>], T is the temperature [K] on the surface and  $T_0$  is the ambient temperature [K]. Therefore, in the case of Fig. 2.2-3, a generated temperature T of 3000 K on the surface of a glass with an ambient temperature  $T_0$  of 293 K gives the thermal radiation per unit time of 0.032 W that is 0.032 K/s. Hence, the temperature loss due to the thermal radiation is small and negligible.

$$
Q_{\text{loss2}} = \epsilon \sigma A (T^4 - T_0^4) \qquad \qquad Eq. 2.2-5
$$

Therefore, Eq. 2.2-3 is important. In my experiments, the irradiated laser intensity is adjustable, and the temperature at 1.0 mm at different time intervals is measurable. Thus, the temperature by a  $CO<sub>2</sub>$  laser irradiation is predictable.

Several numerical analyses based on simulation are conducted to analyze a temperature distribution during the laser processing [92–94]. For example, Fig. 2.2-5 shows a numerically investigated time-dependent temperature distribution in a pulsed  $CO<sub>2</sub>$  laser irradiation at different distances in a fused silica glass surface at the pulse duration of 4 ms, the fluence per single pulse of  $108$  J/cm<sup>2</sup> and the repetition rate of 50 Hz [94]. During the laser pulse irradiation, the temperature raised rapidly and dropped



Fig. 2.2-5 Time-dependent temperature changes during the pulse operation time into z direction in a fused silica glass at a pulse width of 4.0 ms, a fluence per single pulse of  $108$  J/cm<sup>2</sup> and a repetition rate of 50 Hz [94].

rapidly until the next pulse was irradiated. The simulation result showed that the first pulse produced a temperature of about 1750 K at  $t = 0.012$  s and the temperature dropped about 750 K at  $t = 0.025$  s then the second pulse was irradiated, and the

temperature was raised to about 2000 K at  $t = 0.029$  s and the temperature dropped to about 850 K at  $t = 0.04$  s. The maximum temperature at the surface was 3354 K at  $t =$ 1.984 s. When the irradiation was completed that is at  $t = 2.0$  s, the temperature at the glass surface will gradually cool down through the natural convection cooling method to the room temperature. During percussion drilling, heat accumulates between the consecutive pulses. In a high repetition rate, the pulse interval time is small, and temperature cannot drop much before the next pulse apply. Therefore, a high repetition rate gives a higher amount of heat accumulation than a low repetition rate.

However, recently, many studies based on machine learning have been conducted to match inputs such as a heat source and an incident power density and output such as processing shape, ignoring modeling and simulation by considering the actual heat produced by the laser energy, the phase change of the processed material during processing and shape change such as a drilling shape [95].

### 2.2.2 Processing mechanism

Fig. 2.2-6 shows the laser power density regimes as a function of a pulse duration for various processes in laser processing of a material. The processes are mainly categorized into four processes such as a heating without a melting and a vaporization, a melting without a vaporization, a vaporization and a non-thermal process. To initiate



Fig. 2.2-6 Process map in terms of laser power density as a function of interaction time for different examples of laser material processing [86,96,97].

each process a threshold power density is required. A laser power density of below  $10<sup>5</sup>$ W/cm<sup>2</sup> with a long pulse with an approximate pulse width of  $10<sup>1</sup>$  s to  $10<sup>-3</sup>$  s gives a surface heating process that is required in bending, coloring and etc. A laser power density of  $10^6$  W/cm<sup>2</sup> to  $10^7$  W/cm<sup>2</sup> with a long pulse irradiation gives a melting process that is required in welding, cladding and etc. A laser power density of over 10<sup>8</sup> W/cm<sup>2</sup> with a short pulse with an approximate pulse width of  $10^{-6}$  s to  $10^{-8}$  s gives a vaporization process that is required in drilling, cutting, marking and etc. A laser power density of over  $10^{12}$  W/cm<sup>2</sup> with an ultrashort pulse with an approximate pulse width of  $10^{-12}$  s to  $10^{-15}$  s gives a non-thermal process that is required in an ablation.

When a laser irradiated on the glass surface, the generated heat by a laser irradiation causes the glass to undergo several phase changes as shown in Fig. 2.2-7. Initially, the solid glass absorbs the laser at the surface, which raises the surface temperature. Once the temperature exceeds the melting point of the glass, a transition from a solid state to a liquid state occurs. The liquid state is a transient phase that occurs during the heating process and facilitates the material removal. As the laser continues to heat the liquid glass, the temperature increases further, causing the liquid



Fig. 2.2-7 Various process and phase change in the glass during the  $CO<sub>2</sub>$  laser irradiation.

to vaporize. Once the laser irradiation stops, the remaining molten glass cools down and solidifies, returning to the solid state. The compressibility and incompressibility nature of the various glass states play an important role in laser processing. The laser irradiation causes a rapid and intense local increase in temperature, leading to the vaporization of the material and the formation of a high-pressure plasma. The high pressure creates a shockwave that propagates through the glass. Since the solid glass is incompressible, the shockwave can cause stress and potential damage in the glass. Therefore, laser irradiation gives phase changes such as solid, liquid, gas, plasma in glass and the shape change of a sample. So, the simulation of laser processing is very difficult and has not been realized yet.



Fig. 2.2-8 Approximate timescales of various process involved in a nanosecond (for example 100 ns) laser irradiation [98].

In a pulsed laser processing, several processes exist for material ablation. Fig. 2.2-8 shows an example of an approximate timescale of various processes involved in a nanosecond (for example 100 ns) laser irradiation. Within the 100 ns pulse interaction time, a sample surface temperature raises to the melting and the vaporization temperature that led to the vapor ejection. During the vaporization, the laser radiation can also be absorbed in the vapor, and the vapor may become ionized through the various physical processes, not only a heating, but also a direct interaction of the laser radiation with carriers in the formed plasma [89,96,99,100]. Created plasma plumes may effectively shield the sample surface against further laser radiation, making processes ineffective at least for the residual duration of the currently applied laser

pulse [101,102]. However, the plasma may be heated by the laser and may affect the processing [86,88]. After, 100 ns shockwave and plasma confinement may occur [98]. Particle ejection from the glass surface will be finished around in millisecond range after the irradiation of a 100 ns pulse.

### 2.2.3 Importance of laser pulse shape in processing

In a pulsed  $CO<sub>2</sub>$  laser, various types of laser pulses such as a short pulse with a pulse width of 10 ns to 400 ns without a pulse tail [25], a short pulse with a spike pulse width of 10 ns to 400 ns and a pulse tail length of about 10 μs to 100 μs [103] and a long pulse with a pulse width of 10 μs to 1.0 ms [6] can be produced as shown in Fig. 2.2-9.



Fig. 2.2-9 Schematic of various pulse shapes in a CO2 laser. (a) Short pulse without a pulse tail. (b) Short pulse with a spike pulse and a pulse tail. (c) Long pulse.

Fig. 2.2-10 shows examples of the short pulses without a pulse tail, and the pulse width was 45 ns to 170 ns. The short pulses without a pulse tail are produced by a Qswitched  $CO<sub>2</sub>$  laser, a TEA (Transversely excited atmospheric)  $CO<sub>2</sub>$  laser and a longitudinally excited  $CO<sub>2</sub>$  laser. To produce a short pulse without a pulse tail in a TEA  $CO<sub>2</sub>$  laser and a longitudinally excited  $CO<sub>2</sub>$  laser, an adjustment of a gas medium and use of a fast discharge are important. To control the pulse width of about several tens of nanoseconds, a plasma shutter in a TEA  $CO<sub>2</sub>$  laser has been reported [104]. To control the pulse width of about 100 ns to 400 ns, an adjustable optical cavity in a longitudinally excited  $CO<sub>2</sub>$  laser has been reported [25]. To control the pulse width of about several nanoseconds, a semiconductor switching facilitated by a ruby laser in a TEA  $CO<sub>2</sub>$  laser has been reported [105,106]. In a short pulse without a pulse tail, heat



will be generated only by the spike pulse energy and gives thermal processing with a small thermal effect.

Fig. 2.2-10 Example of short pulses without a pulse tail in a pulsed  $CO<sub>2</sub>$  laser. (a) Short pulse with a pulse width of 45 ns by a TEA  $CO<sub>2</sub>$  laser [107]. (b) Short pulse with a pulse width of 170 ns by a TEA  $CO<sub>2</sub>$  laser [70]. (c) Short pulse with a pulse width of 110 ns by a longitudinally excited  $CO<sub>2</sub>$  laser [29].

Fig. 2.2-11 shows examples of the short pulses with a pulse tail, and the spike pulse width and the pulse tail length were 50 ns to 200 ns and 5.0 μs to 200 μs, respectively. The short pulses with a spike pulse and a pulse tail are produced by a TEA  $CO<sub>2</sub>$  laser and a longitudinally excited  $CO<sub>2</sub>$  laser. To control the pulse tail length and the pulse tail energy in a TEA  $CO<sub>2</sub>$  laser and a longitudinally excited  $CO<sub>2</sub>$  laser, an adjustment of a gas medium and/or an excitation circuit are important. To control the energy ratio of a spike pulse to a pulse tail of 1:0 to 1:200, adjustment of a gas medium and an excitation circuit in a longitudinally excited laser  $CO<sub>2</sub>$  laser has been reported [26,27]. In a short pulse with a spike pulse and a pulse tail, heat will be generated by

the spike pulse energy and the pulse tail energy. A spike pulse gives thermal processing with a small thermal effect and a pulse tail gives thermal processing with a large thermal effect.



Fig. 2.2-11 Examples of short pulses with a pulse tail in a pulsed  $CO<sub>2</sub>$  laser. (a) Short pulse with a spike pulse width of 50 ns and a pulse tail length of about 5.0  $\mu$ s by a TEA CO<sub>2</sub> laser [104]. (b) Short pulse with a spike pulse width of 120 ns and a pulse tail length of about 200 μs by a longitudinally CO<sub>2</sub> laser [108]. (c) Overall and (d) magnified time scale waveform of the short pulse with a spike pulse width of 200 ns and a pulse tail length of about 60 μs by a longitudinally excited  $CO<sub>2</sub>$  laser [26].

Fig. 2.2-12 shows examples of the long pulses, and the long pulse width was 20 μs to 1000 μs. The long pulses are produced by a RF (Radio frequency) excited  $CO<sub>2</sub>$ laser and a CW  $CO<sub>2</sub>$  laser using an electrical switching, a longitudinally excited  $CO<sub>2</sub>$ laser using slow discharge, a longitudinally excited  $CO<sub>2</sub>$  laser using multiple discharge circuits, and a TE (Transversely excited)  $CO<sub>2</sub>$  laser using a special spiker-sustainer

circuit. To control the long pulse width of 1.0 μs to 100 μs and about several milliseconds, electrical switching in a RF excited  $CO<sub>2</sub>$  laser and a CW  $CO<sub>2</sub>$  laser has been reported [109,110]. To control the long pulse width of about 10 μs to 3.0 ms, adjustment of the discharge formation time with slow discharge in a longitudinally  $CO<sub>2</sub>$ laser has been reported [26,111]. To control the long pulse width of 250  $\mu$ s to 1000  $\mu$ s, a multiple discharge circuits in a longitudinally excited  $CO<sub>2</sub>$  laser has been reported [112]. To control the long pulse width of about 20 μs, a special spiker-sustainer circuit in a TE  $CO<sub>2</sub>$  laser has been reported [113]. A long pulse gives a thermal processing and a large thermal effect. In CO<sub>2</sub> laser processing of glass, thermal effect produces thermal damage such as cracks, HAZ and debris.



Fig. 2.2-12 Examples of long pulses in a pulsed  $CO<sub>2</sub>$  laser. (a) Long pulse with a pulse width of 20 μs by a TE  $CO<sub>2</sub>$  laser using a special spiker-sustainer circuit [113]. (b) Long pulse with a pulse width of 40 μs by a longitudinally excited CO2 laser [26]. (c) Long pulse with a pulse width of 250 μs to 1000 μs by a longitudinally excited  $CO<sub>2</sub>$  laser using multiple discharge circuits [112].

Therefore, to realize fine microfabrication in  $CO<sub>2</sub>$  laser processing, it is important to consider the laser pulse shape. In the previous studies on a longitudinally excited  $CO<sub>2</sub>$  lasers, the control of the spike pulse width was 137 ns to 332 ns by adjusting the optical cavity length [25]. The control of the pulse tail energy was 1.0 to 200 times of the spike pulse energy by adjusting a gas medium [27] and an excitation circuit [26]. The control of the long pulse width was 10 μs to 100 μs by adjusting of a gas medium [27] and an excitation circuit [26], and 250 μs to 1000 μs by adjusting a discharge time of a discharge tube with three pulsed power supply [112]. In this thesis, a longitudinally excited CO<sub>2</sub> laser with a spike pulse width of about 250 ns, a pulse tail length of 31.4 μs to 134.7 μs and an energy ratio of a spike pulse to the pulse tail of 7.0 to 92.0 was used for the investigation of a glass drilling characteristic.

### 2.2.4 Importance of laser beam profile in processing

A laser can produce various kinds of laser beams such as a gaussian beam, a doughnut beam and a flat-top beam. A laser beam profile defines the spatial distribution of a laser intensity. Fig. 2.2-13 shows the schematic of the intensity distribution in various types of laser beam profiles.



Fig. 2.2-13 Schematic of various laser beam profiles. (a) Gaussian beam. (b) Doughnut beam. (c) Flat-top beam.

In laser processing, the laser beam is focused by a focusing lens as shown in Fig. 2.2-14. Where, D is the beam diameter [mm] before the focusing lens, f is the focal length [mm] of the lens, and  $\lambda$  is the wavelength [µm] of the laser light, DOF (Depth of focus) is the depth of focus [μm] and d is the focus spot diameter [μm]. The beam propagation along the z-axis as shown in the Fig. 2.2-15 is expressed by the following equation:

$$
w(z) = w_0 \sqrt{1 + \left(\frac{z}{z_R}\right)^2}
$$
 Eq. 2.2-6

Where,  $w(z)$  is the propagation along the z-axis,  $w_0$  is the beam waist radius [ $\mu$ m] that is  $d/2$ , and  $z_R$  is the Rayleigh length  $\lceil \mu m \rceil$  and is defined by:

$$
Z_R = \frac{\pi w_0^2}{\lambda}
$$
 Eq. 2.2-7

Where, w<sub>0</sub> is the beam waist radius that is  $d/2$  [ $\mu$ m], and  $\lambda$  is the wavelength [ $\mu$ m].



Fig. 2.2-14 Schematic of a laser beam focusing.



Fig. 2.2-15 Beam propagation along the z-axis.

The focus spot diameter that is the smallest diameter at the focal plane is expressed by Eq. 2.2-8. The DOF that is the length on both side from a focus spot diameter to where a diameter is with  $\sqrt{2}$  times the focus spot diameter and the DOF is the twice of the Rayleigh length is expressed by Eq. 2.2-9. The Rayleigh length that is the length on either side from the focus spot diameter to the point where a diameter increased by a factor of  $\sqrt{2}$  and is expressed by Eq. 2.2-10. The NA (Numerical aperture) that is the ratio of the input laser beam diameter and the focal length of the lens is expressed by the Eq. 2.2-11.

Focus spot diameter, 
$$
d = \frac{4M^2\lambda f}{\pi D} = \frac{2M^2\lambda}{\pi} \left(\frac{1}{NA}\right)
$$

\nDepth of focus, DOF =  $\frac{8M^2\lambda}{\pi} \left(\frac{f}{D}\right)^2$ 

\n $= \frac{2M^2\lambda}{\pi} \left(\frac{1}{NA}\right)^2$ 

\nRayleigh length,  $Z_R = \frac{4M^2\lambda}{\pi} \left(\frac{f}{D}\right)^2 = \frac{M^2\lambda}{\pi} \left(\frac{1}{NA}\right)^2$ 

\nEq. 2.2-9

\nRayleigh length,  $Z_R = \frac{4M^2\lambda}{\pi} \left(\frac{f}{D}\right)^2 = \frac{M^2\lambda}{\pi} \left(\frac{1}{NA}\right)^2$ 

Numerical aperture, NA = 
$$
\frac{D}{2f}
$$
 Eq. 2.2-11

In Eq. 2.2-8, the beam quality factor  $M^2$  influences the spot diameter in various laser beam profiles with a same input beam diameter and a focal length of the focusing lens. For instance, when an  $M^2$  value is 1.0 which is refer to as a perfect gaussian beam. The  $M<sup>2</sup>$  value of 1.0 is difficult to produce in a practical laser. Fig. 2.2-16 shows the dependence of a spot diameter on an  $f/D$  and an  $M^2$ . For example, an input diameter  $D$ of 22.0 mm and a focal length f of 38.1 mm resulting in an f/D of 1.73 at a wavelength  $λ$  of 10.6 μm will produce a spot diameter of 23.3 μm, 46.6 μm and 70.0 μm in an M<sup>2</sup> of 1.0, 2.0 and 3.0, respectively.



Fig. 2.2-16 Relation of the spot diameter with the f/D and the  $M^2$ .

However, when an input laser beam is focused by a focusing lens, a beam profile at the focal plane is identified by the Fourier transform of the input beam profile function. Based on diffraction theory, the resulting beam profile at the focal plane of a focusing lens is proportional to the Fourier transform of the input laser beam [114,115]. For instance, the Fourier transform of a gaussian function is still a gaussian function [114]. Eq. 2.2-12 shows the function of a gaussian beam. Where,  $w_0$  is the input laser beam waist radius [mm]. Eq. 2.2-13 shows the Fourier transform of the gaussian function and the Fourier transform of an exponential term in a gaussian function also gives an exponential term. Therefore, the beam profile at the focal plane is gaussian. Fig. 2.2-17 shows a schematic of a gaussian beam profile at the lens entrance and at the focal plane for an input laser beam diameter of 22 mm, a focal length of the focusing lens of 38.1 mm and a wavelength of 10.6 μm. The calculated focus spot diameter at the focal plane using Eq. 2.2-8 is  $M^2 \times 0.023$  mm. The  $M^2$  value of an ideal gaussian laser beam with a TEM<sub>00</sub> (Transverse electromagnetic) mode has a value of  $1+2n =$  $1+2\times0 = 1.0$  [116]. Therefore, the focus spot diameter in an ideal gaussian laser beam is 23.3 µm at an f/D of 1.73.

$$
f(x) = \exp\left(-\frac{2x^2}{w_0^2}\right)
$$
 Eq. 2.2-12

The Fourier transform of the gaussian function is:

$$
X(\omega) = \int_{-\infty}^{\infty} f(x)e^{-j\omega x} dx
$$
  
=  $w_0 \sqrt{\frac{\pi}{2}} \exp\left(-\frac{\omega^2}{2w_0^2}\right)$  Eq. 2.2-13



Fig. 2.2-17 Schematic of a gaussian beam profile at the focusing lens entrance and at the focal plane.

In the case of a doughnut laser beam, the beam distribution consists of two gaussian functions with a subtraction with each other. Eq. 2.2-14 shows the function of a doughnut laser beam. Where,  $w_1$  the input laser beam waist radius [mm] and  $w_2$  is the distance between two gaussian peak [mm]. Eq. 2.2-15 shows the corresponding Fourier transform and the Fourier transform of two exponential terms in a doughnut function also gives two exponential terms. Therefore, the beam profile at the focal plane is doughnut. Fig. 2.2-18 shows a schematic of a doughnut beam profile at the lens entrance and at the focal plane for an input laser beam diameter of 22 mm, a focal length of the focusing lens of 38.1 mm and a wavelength of 10.6 μm. The calculated focus spot diameter at the focal plane using Eq. 2.2-8 is  $M^2 \times 0.023$  mm. The  $M^2$  value of an ideal doughnut laser beam with a TEM<sub>01</sub> mode has a value of  $1+2n = 1+2\times1 = 3.0$ [116]. In addition, the  $M^2$  value of an ideal doughnut laser beam with a  $TEM_{01}^*$  and an  $LG_{01}$  (Laguerre-Gaussian) mode is 2.0 [117]. Therefore, the focus spot diameter in an ideal doughnut laser beam is 70.1  $\mu$ m and 46.6  $\mu$ m in an M<sup>2</sup> of 3.0 and 2.0 at an f/D of 1.73, respectively.

$$
f(x) = \exp\left(-\frac{2x^2}{w_1}\right) - \exp\left(-\frac{2x^2}{w_2}\right)
$$
 Eq. 2.2-14

The Fourier transform of the doughnut function is:



Fig. 2.2-18 Schematic of a doughnut beam profile at the focusing lens entrance and at the focal plane.

In the case of a flat-top laser beam, the beam distribution is a unit rectangular function. Eq. 2.2-16 shows the function of a unit rectangle to represent a flat-top laser beam. Where,  $w_0$  is the input laser beam waist radius [mm]. Eq. 2.2-17 shows the corresponding Fourier transform and the Fourier transform of a unit rectangular function gives a *sinc* function. Therefore, the beam profile at the focal plane is the airy disk pattern. Fig. 2.2-19 shows a schematic of a flat-top beam profile at the lens entrance and at the focal plane for an input laser beam diameter of 22 mm, a focal length of the focusing lens of 38.1 mm and a wavelength of 10.6 μm. The calculated focus spot diameter at the focal plane using Eq. 2.2-8 is  $M^2 \times 0.023$  mm. In an ideal flattop laser beam the  $M^2$  is larger than 1.0 for an example  $M^2$  of 5.0 and 10 will give a focus spot diameter of 116 μm and 233 μm at an f/D of 1.73.

$$
f(x) = \begin{cases} 1 &; \text{for } -w_0 \le x \le w_0 \\ 0 &; \text{for otherwise} \end{cases}
$$
 Eq. 2.2-16

The Fourier transform of the unit rectangular function is:



Fig. 2.2-19 Schematic of a flat-top beam profile at the focusing lens entrance and at the focal plane.

Fig. 2.2-20 (a) shows the input beam profile with a diameter of 22 mm for a gaussian laser beam, a doughnut laser beam and a flat-top laser beam. In a gaussian laser beam, the intensity is the highest in the center of the radial axis and gradually decay from the center of the radial axis. In a doughnut laser beam, the intensity is the lowest at the center of the radial axis and increases towards the highest intensity at a finite radius at both sides from the center of the radial axis, then decreases again. In a



Fig. 2.2-20 Comparison of the various laser beams before focusing. Blue, orange and yellow colors represent a gaussian laser beam, a doughnut laser beam and a flat-top laser beam respectively. (a) Laser beam profiles with a diameter of 22 mm before focusing by a focusing lens with a focal length of 38.1 mm with normalized peak intensity of 1. (b) Peak intensities in various laser beam profiles, maximum value of a gaussian laser beam is normalized as 1. The MATLAB code for beam plotting is presented in APPENDIX 2.II.

flat-top laser beam, the laser intensity is uniformly distributed within the radial axis. Fig. 2.2-20 (b) shows the peak intensity comparison in a gaussian laser beam, a doughnut laser beam, and a flat-top laser beam. In the same amount of power, the peak intensity in a gaussian laser beam and a doughnut laser beam is twice that of a flat-top laser beam. Fig. 2.2-21 (a) shows the transformed beam profile at the focal plane of a focusing lens with a focal length of 38.1 mm in various beam profiles. In various beam profiles due to the variation in  $M^2$ , the spot diameters are also changed despite a same f/D of 1.73. The peak intensities are normalized to the peak intensity of the gaussian laser beam. The spot diameter in the gaussian laser beam, the doughnut laser beam and the flat-top laser beam are 23.3 µm, 70.1 µm and 116 µm, respectively. A gaussian laser beam gives a small spot diameter. Therefore, a gaussian laser beam will give small size processing. Fig. 2.2-21 (b) shows the normalized peak intensity for each beam to the maximum value of 1.0. Fig. 2.2-21 (c) shows the transformed beam profiles at the focal plane of a focusing lens with a focal length of 38.1 mm in the same

 $M<sup>2</sup>$  of 5.0 and an f/D of 1.73. The spot diameters are same and 116  $\mu$ m in the gaussian laser beam, the doughnut laser beam and the flat-top laser beam.



Fig. 2.2-21 Comparison of the various laser beams after focusing. Blue, orange and yellow colors represent a gaussian laser beam, a doughnut laser beam and a flat-top laser beam respectively. (a) Laser beam profiles with different  $M<sup>2</sup>$  at the focal plane of the lens with peak intensity normalized with the peak intensity of the gaussian laser beam profile. (b) Laser beam profiles with different  $M^2$  at the focal plane of the lens with normalized peak intensity of 1. (c) Laser beam profiles with the same  $M^2$  of 5.0 at the focal plane of the lens with normalized peak intensity of 1. The MATLAB code for beam plotting is presented in APPENDIX 2.III.

A beam profile affects thermal distribution. To consider laser processing characteristics, a laser beam profile is important. However, most common lasers produce a gaussian-type beam. To produce a flat-top laser beam at the focal plane, the input beam should be a gaussian-like laser beam with an airy pattern. The duality property of a Fourier transforms states that if the Fourier transform of a function is itself, then the inverse Fourier transform of the function is the same function. Since the

Fourier transform of the unit rectangular function is the sinc function, the inverse Fourier transform of the *sinc* function is the unit rectangular function as shown in Eq. 2.2-18. Therefore, a flat-top laser beam at the focal plane can be produce if the input laser beam has a shape like a sinc function as shown in Fig. 2.2-22. On the other hand, to produce a flat-top beam beyond the focal plane that is at the out-of-focus position a focus offset needs to be controlled. Fig. 2.2-23 shows the propagation of a flat-top laser beam through a focusing lens [114,115,118]. When a flat-top laser beam passes through a focusing lens, the beam intensity distribution transforms into higher frequency lobes at the in-focus. The beam intensity reform as a single-peaked sinc function that is directly proportional to the Fourier transform of the input flat-top laser beam at the focal plane. As the beam propagates away from the focal plane, the wavefronts begin to spread out and interfere with each other and the profile at focal plane gradually reforms the flat-top beam profile at an out-of-focus region. The recovered flat-top laser beam can be utilized in glass drilling with a proper adjustment of a focus offset and an out-offocus drilling approach could produce a cylindrical hole.

The inverse Fourier transform of a sinc function is:



Fig. 2.2-22 Schematic of an airy beam profile at the focusing lens entrance and a flat-top beam profile at the focal plane.



Fig. 2.2-23 Schematic of a flat-top beam at the focusing lens entrance and generation of a flattop beam profile at the out-of-focus.

However, apart from the ideal shape of a laser beam such as a gaussian beam, a doughnut beam and a flat-top beam, various arbitrary laser beam shape such as a gaussian-like beam, a central-peak-intensity with large-shoulders beam, a central-peakintensity beam and a flat-top-like beam can be seen in a practical laser. In this thesis, the various beam profiles were defined as Table 2.2-1.

In this thesis, the dependence of drilling characteristics such as a hole shape, a hole depth, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter, a taper angle, an aspect ratio and etc. on various laser parameters including a beam profile such as a gaussian-like beam, a central-peak-intensity with largeshoulders beam, a central-peak-intensity beam and a flat-top beam was investigated.





### 2.2.5 Laser beam polarization

The polarization of a laser beam refers to the direction in which the electric field vector of the electromagnetic wave oscillates. Table 2.2-2 shows the various polarization type such as a linear polarization, a circular polarization, a random polarization, a radial polarization and an azimuthal polarization in a laser beam. In a linear polarization, the electric field vector oscillates in a certain linear direction perpendicular to the propagation direction. A linear polarization is produced by using a Brewster window or a polarizer inside the optical cavity of a laser tube [123]. In a circular polarization, the electric field vector oscillates in the circular direction with equal strength but a relative phase change of 90°. A circular polarization is produced by using a quarter-wave plate outside the optical cavity of a laser tube producing a linear polarization or a reflective polarizer inside the optical cavity [123]. In a random polarization, the electric field vector oscillates randomly perpendicular to the propagation direction. A random polarization is produced by a laser tube without using a Brewster window or a polarizer inside the optical cavity. In a radial polarization, the electric field vectors point away from the center of the propagation axis. A radial polarization is produced by using a radially segmented half-wave plate outside of a laser tube producing a linear polarization, using a resonant grating mirror inside the optical cavity, a triple axicon mirror designed for a radial polarization or using a halfwave plate for a laser tube producing an azimuthal polarization [117,124,125]. In an azimuthal polarization, the electric field vectors form rings around the propagation axis. An azimuthal polarization is produced by using an azimuthally segmented half-wave plate outside the optical cavity of a laser tube producing a linear polarization, using a polarization-selective reflector as one of the mirrors inside the optical cavity, a triple axicon mirror designed for a azimuthal polarization or using a half-wave plate for a laser tube producing an radial polarization [117,124,125].

In laser processing, the direction of the laser beam polarization relative to the plane of incidence is crucial as the direction influences laser absorption. The direction of laser beam polarization parallel to the incident plane is called p-polarization and perpendicular to the incident plane is called s-polarization. In a linear polarization, the laser and material surface interaction either occurs in p- or s-polarization. In a circular and a random polarization the laser and material surface interaction is a time average of the p- and s-polarization [124]. In a radial polarization, the laser and material surface

interaction is in p-polarization and in an azimuthal polarization the interaction is in spolarization [126]. Generally, a linear polarization is used in laser processing. But, depending on the processing such as for cutting a radial polarization and for drilling an azimuthal polarization is suitable [117,124,126,127]. However, to produce vortex beam requires special optical components which makes the device complex and costly. In industries, simple and low-cost laser devices are required. Therefore, in this thesis, glass processing was performed in a simple laser device.

Table 2.2-2 Laser beam polarization types.



#### 2.2.6 Crack and HAZ formation mechanisms

In laser processing, thermal effects such as cracks, HAZ, recast layer and debris can be formed depending on the laser irradiation conditions and the material properties. Fig. 2.2-24 shows possible various damages by laser processing. As described in section 2.2.2, the heat generated by a nanosecond laser melts and vaporizes the glass. The molten glass is partially ejected from the cavity by the vapor and plasma pressure, but a part of the molten glass remains near the surface. After the end of laser irradiation, the heat dissipates into the bulk of the material.





In the case of cracks, the rapid temperature gradient produces cracks. Thermal stress gives a tensile stress  $(\sigma_t)$  that can be described by the following equation:

$$
\sigma_t = \left(\frac{Ex\alpha}{1-v}\right) \times \Delta T
$$
 Eq. 2.2-19

Where,  $\sigma_t$  is the tensile stress [Pa], E is the Young's modulus [Pa],  $\alpha$  is the thermal expansion coefficient (CTE) [K<sup>-1</sup>], v is the Poisson ratio [unit less] and  $\Delta T$  is the temperature gradient [K] at one position during the laser ablation. Tensile stress  $(\sigma_t)$  is vastly depended on the thermal gradient and the CTE of the material. The thermal expansion involved a pressure load on the material and the ejection of the material, and that the impulse applied to the material is equal to the momentum of the ejected material, based on the conservation law of momentum. Moreover, a rapid heating and a rapid cooling effect causes large temperature gradients. However, the temperature gradient can be controlled by various mechanisms such as by controlling the laser

parameters that are contributed to heat generation and adjusting experiment environmental conditions such as using underwater or pre-heating method.

In the case of HAZ and recast layer, the melt glass that does not reach up to the vaporization temperature remains as melt and HAZ. The recast layer is produced by resolidification process of the melt. The melting point (MP) of a glass is important in HAZ formation. Debris are generated when the ejected droplet particles are gathered on the entrance of the hole.

# 2.2.7 Thermal expansion coefficient and melting point of glass

The thermal expansion coefficient (CTE) and the melting point (MP) of a glass are the two crucial parameters to consider during laser processing. The CTE is a measure of the rate at which a material expands each unit change in temperature. In laser processing of glass, a high CTE implies that the glass will undergo significant dimensional changes when heated, which can affect the precision and quality. A glass with a high CTE can suffer from thermal stress and possible cracking when subjected to rapid heating and cooling cycles that is a processing at a high repetition rate. The MP

Type of glass	<b>CTE</b>	<b>MP</b>	Thermal conductivity	Heat capacity	Density	Ref.
Fused silica glass	$5.5 \times 10^{-7}$ /K <sup>-1</sup>	1600°C	$1.3$ W/mK	1273 J/kgK	2201 kg/m <sup>3</sup>	$[128]$
Alkali-free glass	$32 \times 10^{-7}$ /K <sup>-1</sup>	971°C	1.0 W/mK	840 J/kgK	2330 kg/m <sup>3</sup>	$\lceil 36 \rceil$
<b>Borosilicate</b> glass (Pyrex)	$33 \times 10^{-7}$ /K <sup>-1</sup>	820°C	$1.1$ W/mK	830 J/kgK	2230 kg/m <sup>3</sup>	$[129]$
TFT (Thin film transistor) LCD (Liquid crystal display) glass	$38 \times 10^{-7}$ /K <sup>-1</sup>	667°C	$1.3$ W/mK	830 J/kgk	2400 kg/m <sup>3</sup>	[130]
Borosilicate glass (Schott D263)	$72 \times 10^{-7}$ /K <sup>-1</sup>	736°C	1.2 W/mK	840 J/kgK	2330 $\text{kg/m}^3$	$\left[33\right]$
Soda lime glass	$87 \times 10^{-7}$ /K <sup>-1</sup>	$740^{\circ}$ C	$1.0$ W/mK	850 J/kgK	2500 kg/m <sup>3</sup>	[6]
Crown glass	$94 \times 10^{-7}$ /K <sup>-1</sup>	$724$ °C	$1.3$ W/mK	800 J/kgK	$2560 \text{ kg/m}^3$	[131]

Table 2.2-3 Basic properties of the various glasses.

of a glass is the temperature at which it changes state from solid to liquid. In laser processing of glass, MP of a glass is important in HAZ formation. Table 2.2-3 shows basic properties of the various glasses such as a CTE, an MP, a thermal conductivity, a heat capacity and a density.

# 2.2.8 CO2 laser drilling of glass with an extra treatment

CO2 laser drilling of glass is a thermal process and has thermal effects such as cracks and HAZ. To eliminate thermal damages such as cracks and HAZ,  $CO<sub>2</sub>$  laser drilling of glass with various treatment such as pre/post or during-heating a sample, placing a sample under water, flowing a gas onto the processing area or using a PDMS layer on the top of a sample was reported in previous research [33–35,132,133].

# Pre/post or during-heating treatment

In a pre/post or during-heating treatment, a glass sample is heated prior, post or during processing to reduce the thermal gradient. In a pre-heating treatment, a glass sample is heated up through external heating source or adjusting the focusing lens just before processing. In a during-heating treatment, the glass sample or the processing area is heated during processing by another heat source. In a post-heating treatment, after completing the drilling process, the entire glass is kept inside an oven to equilibrium the temperature gradient. However, the thermal treatments were required extra times than that of processing without a thermal treatment [33].



Fig. 2.2-25  $CO<sub>2</sub>$  laser drilling of a borosilicate glass (Schott D263Teco) with a pre-heating treatment [33].

For example, three types of heating methods were reported in  $CO<sub>2</sub>$  laser drilling of a borosilicate glass (Schott D263Teco) with a CTE of  $72 \times 10^{-7}$  /K and an MP of 736 $^{\circ}$ C [33]. The one method was pre-heating the glass surface by adjusting the focusing lens position as shown in Fig. 2.2-25. The drilling time was increased due to the lens movement up to 14 s per hole than that of 0.25 s per hole without pre-heating. The second method was heating the entire processing area up to  $100^{\circ}$ C to  $400^{\circ}$ C during processing know as during-heating as shown in Fig. 2.2-26. The entire sample was heated up and cracks were prevented with this method. In this method, the drilling time was same as of 0.25 s per hole without heating [33]. The third method was a postheating treatment, where, after the drilling process, the entire glass sample was placed inside an oven for thermal relaxation of the induced stress. Curing at a temperature of 529°C for 30 minutes removes the stress completely.



Fig. 2.2-26  $CO<sub>2</sub>$  laser drilling of a borosilicate glass (Pyrex 7740) with a during-heating treatment [135].

# Liquid assisted treatment

In a liquid assisted or an underwater treatment, a glass sample is submerged in a liquid or water to eliminate cracks and HAZ as shown in Fig. 2.2-27 [35,130,132,133].

However, in an underwater treatment, a glass sample is required to be submerged into the water with precise control of the water depth. When a glass is submerged in a high depth of water, the irradiated laser beam loses energy or deviates in the water. Therefore, there is a challenge to select the optimum water level to submerge a glass sample into the underwater treatment. Further cleaning of a glass sample is also required in the underwater treatment.

For example, a CW CO<sub>2</sub> laser at an average power of 6 W and 10 passes with a scanning speed of 11.4 mm/s was used in drilling of a borosilicate glass (Pyrex 7740) with a CTE of  $33 \times 10^{-7}$  /K and an MP of  $820^{\circ}$ C with an underwater treatment [35]. The borosilicate glass was submerged into the water at a depth of 0.5 mm and 1.0 mm. Without water that is drilling in the air produced large cracks around the hole entrance. The high temperature gradient in the air induced a high stress for a crack formation while the small temperature gradient in the water had a less HAZ and eliminated the crack during processing. However, a high water depth required a greater number of passes than a low water depth. Another CW  $CO<sub>2</sub>$  laser at an average power of 24 W, 80 passes with a scanning speed of 114 mm/s was used in an underwater processing of a borosilicate glass [83]. In that case, the borosilicate glass was submerged into the water in a depth of 0.3 mm to 1.0 mm. The water depth of 0.3 mm was still produced cracks and 0.5 mm was considered as the optimal water depth.



Fig. 2.2-27 CO<sub>2</sub> laser drilling of a borosilicate glass (Pyrex 7740) with an underwater treatment [35].

### 2.2.9 CO2 laser drilling of glass without an extra treatment

 $CO<sub>2</sub>$  laser drilling of glass without an extra treatment is simple and cost-effective process because extra set-ups are not required. Fig. 2.2-28 shows a schematic of  $CO<sub>2</sub>$ laser drilling of a glass without an extra treatment [6].



Fig. 2.2-28 Schematic set-up of  $CO<sub>2</sub>$  laser drilling of glass without an extra treatment [6].

For example, a long-pulse  $CO<sub>2</sub>$ -laser drilling without an extra treatment in a fused silica glass with a CTE of  $5.5 \times 10^{-7}$  /K and an MP of 1600°C, in a borosilicate glass (Pyrex 7740) with a CTE of  $33 \times 10^{-7}$  /K and an MP of 820°C and in a soda lime glass with a CTE of  $85 \times 10^{-7}$  /K and an MP of 740°C were reported in [6]. Fig. 2.2-29 (a) shows the image of the fused silica glass surface after processing without an extra treatment [6]. A laser pulse width was varied from 100 μs to 1000 μs to investigate an entrance hole diameter, an exit hole diameter and a melt pile up around the hole. A long pulse with a pulse width of 400 μs or more was necessary in a single-pulse drilling method to produce through hole in a fused silica glass with a thickness of 500 μm at a fluence of 3767 J/cm<sup>2</sup>. The long pulse irradiation did not produce any cracks on the fused silica



Fig. 2.2-29  $CO<sub>2</sub>$  laser drilling of various glasses without an extra treatment [6]. The processing method was single-pulse drilling. The pulse width was 1000 μs and an irradiation diameter was 130 μm. (a) Fused silica glass. (b) Borosilicate (Pyrex 7740) glass. (c) Soda lime glass.

glass but produced a small melt pile-up around the hole. The low CTE of the fused silica glass gives a resistance against a long pulse irradiation to give a large thermal

damage. Fig. 2.2-29 (b) shows the image of the borosilicate glass surface after processing without an extra treatment [6]. A long pulse with a pulse width of 1000 μs with a single-pulse drilling method produced a through hole in a soda lime glass with a thickness of 500  $\mu$ m at a fluence of 3767 J/cm<sup>2</sup>. The long pulse melted the glass, which flowed and accumulated at the edges of the hole. The most accumulation occurred in the borosilicate glass where the fused silica glass showed the least accumulation as shown in Fig. 2.2-29 (a) and (b). Fig. 2.2-29 (c) shows the image of the soda lime glass surface after processing without an extra treatment [6]. A long pulse with a pulse width of 1000 μs with a single-pulse drilling method produced a through hole in a soda lime glass with a thickness of 500  $\mu$ m at a fluence of 3767 J/cm<sup>2</sup> [6]. The long pulse irradiation in the soda lime glass, produced micro cracks and a large amount of melt pile-up and debris in the hole entrance as shown in Fig. 2.2-29 (c). In the through hole drilling of the fused silica glass, the borosilicate glass and the soda lime glass with a thickness of 500 μm, the hole diameter was about 150 μm.

A short pulse with a spike pulse width of 50 ns and a pulse tail length of 1.0 μs produced a hole in a fused silica glass without an extra treatment [23]. Although, to produce a very small hole diameter, a Copper mask grid of 20  $\mu$ m × 20  $\mu$ m was used. The hole diameter was 9.4 μm and a ratio of a surface hole diameter to an irradiation diameter was 0.47, and the hole depth was 0.5 μm and a drilling efficiency was 0.005 μm/J/cm<sup>2</sup> . A thermal damage was not present, but this method was limited to produce deep hole. The maximum hole depth was 0.5 μm.

Table 2.3-4 shows the summary of  $CO<sub>2</sub>$  laser drilling of the various glasses with or without an extra treatment. A glass with a high CTE and a low MP was often processed with an extra treatment. For example, drilling of a soda lime glass with a CTE of  $85 \times 10^{-7}$  /K and an MP of 740°C was conducted with a during-heating treatment [135], drilling of a borosilicate glass (D263Teco) with a CTE of  $72 \times 10^{-7}$  /K and a MP of  $736^{\circ}$ C was conducted with a pre-heating treatment [33], drilling of a TFT LCD glass with a CTE of 38  $\times$  10<sup>-7</sup> /K and an MP of 667°C was conducted with an underwater treatment [130]. Without an extra treatment, a glass with a large CTE such as a soda lime glass was attempted to drill with a long pulse  $CO<sub>2</sub>$  laser. But the soda lime glass produced micro cracks, large amounts of spatter and HAZ [6]. Therefore, crack-free drilling in a glass with a high CTE and a low MP is yet to be investigated extensively.

# 2.3 Optimization in  $CO<sub>2</sub>$  laser microfabrication of glass

To realize fine microfabrication such as drilling, piercing, grooving and cutting of glass by a CO2 laser with simple processing scheme, high efficiency and low cost for next generation industries such as the lab-on-a-chip systems, the optoelectronics systems and the MEMS (Microelectromechanical systems), researchers have to investigate below conditions systematically:

- Dependence on a  $CO<sub>2</sub>$  laser wavelength of 9.2  $\mu$ m to 11.4  $\mu$ m.
- Dependence on a laser beam polarization of a linear polarization, a circular polarization, a radial polarization, an azimuthal polarization and a random polarization.
- Dependence on a laser beam profile with a gaussian beam with a beam quality factor M<sup>2</sup> of 1.0 to 2.0, a doughnut beam with a beam quality factor M<sup>2</sup> of 2.0 to 4.0 and a flat-top beam with a beam quality factor  $M^2$  of 10 to 20.
- Dependence on a focusing lens with a focal length of 12.7 mm to 100 mm and a NA of 0.10 to 0.90.
- Dependence on a focus offset of  $-1.00$  mm to  $+1.00$  mm.
- Dependence on a laser pulse waveform with a short-pulse with a pulse width of 10 ns to 1000 ns without a pulse tail, a short-pulse with a spike pulse width of about 10 ns to 1000 ns and a pulse tail length of about 1.0 μs to 100 μs and a long pulse with a pulse width of 100 μs to 1.0 ms.
- Dependence on a fluence per single pulse of 1.0 J/cm<sup>2</sup> to 100 J/cm<sup>2</sup>
- Dependence on a total irradiation fluence of 1.0 J/cm<sup>2</sup> to 100000 J/cm<sup>2</sup>
- Dependence on a repetition rate of 1.0 Hz to 1.0 kHz.
- Dependence on a glass properties such as a CTE of  $5.5 \times 10^{-7}$  /K to 94  $\times 10^{-7}$  /K and an MP of  $724\degree C$  to  $1600\degree C$ .
- Dependence on a glass thickness of 10 μm to 5000 μm.

In this thesis, to realize cracks-free hole drilling in a glass, the dependence on the below laser parameters were extensively investigated.

- A  $CO<sub>2</sub>$  laser with a wavelength of 10.6  $\mu$ m.
- A laser beam polarization with a random polarization.
- Dependence on a laser beam profile with a central-peak-intensity with large shoulders beam with a beam quality factor  $M<sup>2</sup>$  of 3.1 to 8.0, a central-peakintensity beam with a beam quality factor  $M^2$  of 7.5 and a flat-top beam with a beam quality factor  $M^2$  of 13.5.
- Dependence on a focusing lens with a focal length of 12.7 mm and 38.1 mm and a NA of 0.15 to 0.50.
- Dependence of a focus offset of  $-0.20$  mm to  $+0.40$  mm.
- Dependence on a laser pulse waveform of a short-pulse with a spike pulse width of 250 ns, a pulse tail length of 31.4 µs to 135 µs and an energy ratio of a spike pulse to a pulse tail of 1:7.1 to 1:92.
- Dependence on a fluence per single pulse of  $3.7 \text{ J/cm}^2$  to  $46.8 \text{ J/cm}^2$ .
- Dependence on a total irradiation fluence of 110 J/cm<sup>2</sup> to 4209 J/cm<sup>2</sup>.
- Dependence on a repetition rate of 1.0 Hz to 400 Hz.
- A crown glass with a CTE of  $94 \times 10^{-7}$  /K and an MP of 724°C.
- A glass thickness of  $1150 \mu m$ .

In this thesis, by adjusting the laser parameters of a short-pulse  $CO<sub>2</sub>$  laser such as a laser beam profile, a focus offset, a laser pulse waveform, a fluence per single pulse, a total irradiation fluence and a repetition rate drilling of a crown glass with a high CTE of 94  $\times$  10<sup>-7</sup>/K and an MP of 724<sup>o</sup>C was investigated without an extra treatment. This thesis realized crack-free holes, a high drilling efficiency of  $1.56 \mu m/J/cm^2$  and a small hole diameter of 28.2  $\mu$ m by a direct short-pulse CO<sub>2</sub> laser irradiation. Moreover, a cylindrical hole drilling by a flat-top laser beam with the adjustment of the focus offset of a focusing lens with a focal length of 12.7 mm in a percussion drilling method was realized.



Table 2.3-4 Various glass drilling by  $CO<sub>2</sub>$  laser with or without extra treatment.


# APPENDIX 2.I: Temperature distribution calculation.

MATLAB code for the calculation in Fig. 2.2-4 (a) and (b)

clc;

clear all;

clear all figure;

%%%%%Define the material properties%%%%

k=1.38; %Thermal conductivity of glass p=2201; %for crown glass 2560, for silica glass 2201 cp=1273; %for crown glass 800, for silica glass 1273 diff=k/(p\*cp); %Diffusion calculation

%%%%%%%%%%%%%%%%%%%%%%%%%%%%

%%%%%Temperature distribution calculation from  $x = 0.0$  mm to  $x = 1.0$  mm%%%%

x=[0:1e-5:1e-3] %Distance in x direction

T0=293+(3000-293)\*(exp(-4.74e8.\*x.^2)) %function for t=0  $t1=1e-6$ T1=293+(2500-293).\*(1-erf(x/(2\*sqrt(diff\*t1)))) %function for t= 1 us t2=100e-6 T2=293+(2000-293).\*(1-erf(x/(2\*sqrt(diff\*t2)))) %function for t= 100 us  $t3=1e-3$ T3=293+(1800-293).\*(1-erf(x/(2\*sqrt(diff\*t3)))) %function for t= 1 ms  $t4=10e-3$ T4=293+(1500-293).\*(1-erf(x/(2\*sqrt(diff\*t4)))) %function for t= 10 ms  $t5=1$ T5=293+(350-293).\*(1-erf(x/(2\*sqrt(diff\*t5)))) %function for t= 1 s figure(2) plot(x/1e-3,T0,x/1e-3,T1,x/1e-3,T2,x/1e-3,T3,x/1e-3,T4,x/1e-3,T5,'linewidth',2.2)

set(gca, 'FontSize',26)

set(gca, 'linewidth',2)

xlabel('Distance (mm)','fontsize',24)

ylabel('Temperature (K)','fontsize',24) legend('t = 0','t = 1 [\mus]','t = 100 [\mus]','t = 1 [ms]','t = 10 [ms]','t = 1 [s]') legend('boxoff')

## APPENDIX 2.II. Comparison of various laser beams before focusing.

```
MATLAB code for the calculation in Fig. 2.2-20 (a) and (b) 
clc; 
clear all; 
clear all figure; 
%%%%%% Define scale and parameters
       x=[-30:0.01:30] 
       w0=22/2w00=2w1=22%%%%%% Ideal beam plot Fig. 2.2-20 (a)
       f=exp(-(2*(x.^{2})/w0^{2}))
       f1=1.145*(exp(-(2*x.^2)/w0^2))-0.8*(exp(-(2*x.^2)/w00^2))
       f2 = rectpuls(x,w1)figure(3)plot(x,f,x,f1,x,f2, 'linearidth',3.5)set(gca, 'linewidth',3) 
set(gca, 'fontsize',28) 
xlabel('Position (mm)','fontsize',28) 
xlim([-30 30]) 
ylabel('Intensity (arb.units)','fontsize',28) 
%legend('Gaussian beam','Doughnut beam','Flat-top beam') 
legend('boxoff') 
***********************************************
```
%%%%% Peak intensity normalized to Gaussian beam peak intensity Fig. 2.2-20 (b)%%%%%%%%%%

f1=exp(-(2\*(x.^2)/w0^2)) f11=1.145\*(exp(-(2\*x.^2)/w0^2))-0.8\*(exp(-(2\*x.^2)/w00^2))  $f22=0.5*rectpulse(s,w1)$ figure $(4)$  $plot(x, f1, x, f11, x, f22, 'linear'$ set(gca, 'linewidth',3) set(gca, 'fontsize',28) xlabel('Position (mm)','fontsize',24) xlim([-30 30]) ylabel('Intensity (arb.unit)','fontsize',24) %legend('Gaussian beam','Doughnut beam','Flat-top beam') legend('boxoff')

## APPENDIX 2.III. Comparison of various laser beams after focusing.

MATLAB code for the calculation in Fig. 2.2-21.

\*

%%%%% Different  $M^2$  and peak intensity normalized to gaussian beam peak intensity  $-$  Fig. 2.2-21(a) %%%%%

clc;

clear all;

clear all figure;

%%%%%% Define scale and parameters

x=[-150:0.01:150]  $P = 1$ ; %Power d=23.3 %pot diameter at focus spot; D=22 mm, m2=1  $w0=(d/4)$  $w1=d/50$ IG= $P/(pi*(d/2)^2)$ %Peak intensity f=IG/IG(max)\*(exp(-(x.^2)/(2\*w0^2))) % Function for Gaussian beam  $P = 1$ ; %Power

 $dl=70$  %spot diameter at focus spot" D=22 mm, m2=3

 $w1 = (d1/4)$ w2=d1/100 ID= $P/(pi*(d1/2)^2)$ %Peak intensity f1=ID/IG(max)\*((exp(-(x.^2)/(2\*w1^2)))- 0.5\*(exp(-(x.^2)/(2\*w2^2)))) % Function for doughnut beam  $P = 1$ ; %Power d2=116 ;%diameter at focus spot D=22 mm, M2=5

 $wf=d2/2;$ 

omega=pi/wf

IF= $P/(pi*(d2/2)^2)$  %Peak intensity

 $f2=IF/IG(max)*(sin(omega.*x))$ ./(omega.\*x) % Function for flat-top bem

figure $(5)$ 

```
plot(x, f, x, f1, x, f2, 'linear'
```
set(gca, 'linewidth',3)

set(gca, 'fontsize',28)

xlabel('Position (\mum)','fontsize',28)

xlim([-150 150])

ylabel('Intensity (arb.unit)','fontsize',28)

```
legend('M^2 = 1','M^2 = 3','M^2 = 5')
```
legend('boxoff')

```
***********************************************
```
%%%%% Different  $M^2$  and peak intensities normalized to 1 - Fig. 2.2-21 (b)  $\frac{0}{0}\frac{6}{0}\frac{6}{0}\frac{6}{0}\frac{6}{0}$ 

clc;

clear all;

clear all figure;

%%%%%% Define scale and parameters

x=[-150:0.01:150]  $P = 1$ ; %Power d=23.3 %pot diameter at focus spot; D=22 mm, m2=1  $w0 = (d/4)$ 

 $w1 = d/50$ 

IG= $P/(pi*(d/2)^2)$ %Peak intensity

f=IG/IG\*(exp(-(x.^2)/(2\*w0^2))) % Function for Gaussian beam

 $P = 1$ ; %Power

d1=70 %spot diameter at focus spot" D=22 mm, m2=3

 $w1 = (d1/4)$ 

w2=d1/100

ID= $P/(pi*(d1/2)^2)$ %Peak intensity

f1=ID/ID\*((exp(-(x.^2)/(2\*w1^2)))- 0.5\*(exp(-(x.^2)/(2\*w2^2)))) % Function for doughnut beam

 $P = 1$ ; %Power

 $d2=116$ ;%diameter at focus spot D=22 mm, M2=5

 $wf=d2/2$ ;

omega=pi/wf

IF= $P/(pi*(d2/2)^2)$ %Peak intensity

 $f2=IF/IF*(sin(omega*x))$ ./(omega.\*x) % Function for flat-top beam

figure(5)

```
plot(x, f, x, f1, x, f2, 'linear'
```
set(gca, 'linewidth',3)

set(gca, 'fontsize',28)

xlabel('Position (\mum)','fontsize',28)

xlim([-150 150])

ylabel('Intensity (arb.units)','fontsize',28)

legend('M^2 = 1','M^2 = 3','M^2 = 5')

legend('boxoff')

```
*******************************************************
```

```
%%%Same M^2 of 5 and peak intensities normalized to 1-Fig. 2.2-21(c)
%%%%%%%%%%%
```
clc;

clear all;

clear all figure;

%%%%%% Define scale and parameters

x=[-150:0.01:150]

d1=116 %pot diameter at focus spot; D=22 mm, m2=5  $w00=(d1/4)$ w11=d1/50 f1=(exp(-(x.^2)/(2\*w00^2))) % Function for Gaussian beam d11=116 %spot diameter at focus spot" D=22 mm, m2=5  $w11 = (d11/4)$ w22=d11/100 f11=((exp(-(x.^2)/(2\*w11^2)))- 0.5\*(exp(-(x.^2)/(2\*w22^2)))) % Function for doughnut beam d22=116 ;%diameter at focus spot D=22 mm, M2=5 wf1=d22/2; omega1=pi/wf1  $f22 = (sin(omega1.*x))$ ./(omega1.\*x) % Function for flat-top bem

figure $(6)$ 

```
plot(x, f1, x, f11, x, f22, 'linear'
```

```
set(gca, 'linewidth',3)
```
set(gca, 'fontsize',28)

xlabel('Position (\mum)','fontsize',28)

xlim([-150 150])

ylabel('Intensity (arb.units)','fontsize',28)

legend('M^2 = 5','M^2 = 5','M^2 = 5')

legend('boxoff')

\*

# CHAPTER 3 Methodology

# CHAPTER 3

# Methodology

#### 3.1 Introduction

In this thesis, glass drilling by a short-pulse  $CO<sub>2</sub>$  laser with tunable laser parameters was investigated. This chapter describes the laser device properties such as a discharge tube, a high-voltage pulsed-power-supply system and a gas supply system. The laser parameters such as a laser pulse waveform, a laser beam profile, a spot diameter, a fluence per single pulse and a total irradiation fluence. The sample glass properties such as a thermal expansion coefficient, a melting point and a thickness. The result analysis methodologies such as cracks or cracks-free hole, a hole shape, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter, a hole depth, a hole volume, a drilling efficiency, a taper angle of a conical hole, an aspect ratio of a cylindrical hole, a ratio of a waist diameter to an surface hole diameter of a biconical hole and a ratio of a waist depth to a hole depth of a biconical hole.

#### 3.2 Laser device

#### 3.2.1 Longitudinally excited CO2 laser

Fig. 3.2-1 shows a schematic set-up of a longitudinally excited  $CO<sub>2</sub>$  laser. Fig. 3.2-2 shows the photograph of the longitudinally excited  $CO<sub>2</sub>$  laser with various components such as a discharge tube, an optical cavity, an electrode and a gas supply connection. The discharge tube was made of an alumina ceramic pipe with an inner diameter of 13 mm, an outer diameter of 17 mm and a length of 45 cm and two metallic electrodes attached to both ends of the pipe. The optical cavity was formed by a flat ZnSe output coupler with a reflectivity of 85% at a wavelength of 10.6 μm and an Aucoated high reflective-mirror with a radius of curvature of 20 m and a reflectivity of 99% at a wavelength of 10.6 μm. Therefore, in this experimental set-up, the wavelength was assumed to be 10.6 μm. The optical cavity length was adjustable. The discharge

tube was connected with a high-voltage pulsed-power-supply system and a gas supply system.



Fig. 3.2-1 Schematic set-up of the longitudinally excited  $CO<sub>2</sub>$  laser.



Fig. 3.2-2 Photograph of the longitudinally excited  $CO<sub>2</sub>$  laser with various components.

## 3.2.2 High-voltage pulsed-power-supply system

Fig. 3.2-3 shows the block diagram of the high-voltage pulsed-power-supply system. The power supply system had three modules. The first module was a DC power supply (Takasago, ZX-S-800HA). The second module was a function generator (Agilent, 33220A) to control the repetition rate and the number of laser pulses. The third module that is the main unit was a fast-and-high-voltage generation circuit (Seidensha Electronics Co., LTD). The high-voltage pulsed-power-supply system produced a high voltage pulse from 15.0 kV to 35.0 kV at a rise time of 200 ns to 800 ns with an input energy to discharge tube of 123 mJ to 736 mJ at a repetition rate of 1 Hz to 400 Hz. The voltage was measured by a high voltage probe (Tektronix, P6015A) and an oscilloscope (Teledyne LeCroy, wavesurfer 10M). The input energy to the discharge tube can be controlled by adjusting the high-voltage pulsed-power-supply system. However, if the input energy changes, the breakdown voltage may change, and the laser pulse waveform may also change. Using an attenuator is a way to change the laser energy without changing the laser pulse waveform.



Fig. 3.2-3 Block diagram of the high-voltage pulsed-power-supply system.

## 3.2.3 Gas supply system

A gas supply system with three gas cylinders, three regulators (Yutaka Crown, FR-IIS-0P), a gas mixture (Fronto, LogMix), two needle valves (Fujikin, DUE-12), a manometer (Okanoworks, U-200), a vacuum gauge (Naganokeiki, 1423602) and a vacuum pump (ULVAC, G-50D) maintained a constant flow of  $CO<sub>2</sub>$ , N<sub>2</sub> and He gases inside the discharge tube at a distinct gas mixing ratio, a gas pressure and a gas flow rate. A gas mixing ratio was adjusted by the gas mixture. A gas pressure was adjusted by the two needle valves and the manometer. In a longitudinally excited  $CO<sub>2</sub>$  laser, by adjusting a gas mixing ratio and/or a gas pressure, various laser pulse waveforms such as a tail-free short pulse, a short pulse with a pulse tail or a long pulse can be produced, but a laser energy also changes [27].

#### 3.3 Laser parameters

#### 3.3.1 Laser pulse waveform

In this thesis, various laser pulses were generated to investigate the influence of laser pulse waveforms on drilling characteristics. Fig. 3.3-1 shows an example of a laser pulse waveform measured by a photon drag detector (Hamamatsu Photonics, B749) and an oscilloscope (Teledyne LeCroy, wavesurfer 10M). The short pulse had a



Fig. 3.3-1 Example of a laser pulse waveform. (a) Overall waveform. (b) Magnified timescale of spike pulse.



Fig. 3.3-2 Laser pulse waveforms by adjusting a gas medium. Green, red and blue lines represent the laser pulse waveform at an optical cavity length of 125 cm, a gas pressure of 2.0 kPa, 2.4 kPa and 2.6 kPa in a 1:1:2 mixture of  $CO_2/N_2/He$  and a repetition rate of 200 Hz. (a) Overall waveform. (b) Magnified timescale of spike pulse.

spike pulse with a width of 280 ns at the full width half maximum (FWHM). The pulse tail length was 86.0 µs. The pulse tail length was defined as the length from the end of the spike pulse to the end of the pulse tail. The energy ratio of the spike pulse to the pulse tail was 1:25. A laser pulse waveform can be controlled by adjusting an excitation circuit and/or a gas medium. In this thesis, a laser pulse waveform was controlled by adjusting a gas medium. Fig. 3.3.2 shows the obtained laser pulse waveforms by adjusting a gas medium at a repetition rate of 200 Hz. For example, a gas pressure of 2.0 kPa, 2.4 kPa and 2.6 kPa in a 1:1:2 mixture of  $CO_2/N_2/He$  produced a short pulse with a spike pulse width of 245 ns, 255 ns and 235 ns, a pulse tail length of 43.4  $\mu$ s, 67.7 µs and 84.8 µs and an energy ratio of the spike pulse to the pulse tail of 1:10, 1:25 and 1:45 at an optical cavity length of 125 cm.

#### 3.3.2 Laser beam profile

The  $CO<sub>2</sub>$  laser produced a circular beam. A laser beam profile defines the spatial intensity distribution of a laser beam. The laser beam profile was measured by a knifeedge method where an aperture of 1 mm  $\times$  1 mm was scanned through the cross section of the laser beam as shown in Fig. 3.3-3. The aperture was 10 cm away from the output coupler. As the aperture moved across the beam, the transmitted energy through the aperture was measured by an energy meter (Gentec, QE50LP-S-MB-D0). By plotting the transmitted energy through the aperture as a function of the position of the aperture, a profile of the beam intensity across the laser beam diameter was obtained. The laser



Fig. 3.3-3 Schematic diagram of a knife-edge method.



Fig. 3.3-4 Example of a laser beam profile measured by a knife-edge method. The gas pressure was 3.2 kPa in a 1:2 mixture of  $CO_2/N_2$  and a repetition rate was 200 Hz. (a) Central-peakintensity beam with a beam quality factor  $M^2$  of 7.0 at an optical cavity length of 150 cm. (b) Flat-top beam with a beam quality factor  $M^2$  of 13.5 at an optical cavity length of 60 cm.

beam profile was controlled by placing an aperture at the optical cavity and by adjusting the optical cavity length. For example, at an optical cavity length of 150 cm, a gas pressure of 3.2 kPa in a 1:2 mixture of  $CO<sub>2</sub>/N<sub>2</sub>$  and a repetition rate of 200 Hz, a central-peak-intensity beam was produced as shown in Fig. 3.3-4 (a) but the shape was not a gaussian. The beam diameter was 12.5 mm and the beam quality factor  $M^2$  was 7.0. At an optical cavity length of 60 cm, a gas pressure of 3.2 kPa in a 1:2 mixture of  $CO<sub>2</sub>/N<sub>2</sub>$  and a repetition rate of 200 Hz, a flat-top beam as shown in Fig. 3.3-4 (b) was produced. The beam diameter was 12.5 mm and the beam quality factor  $M^2$  was 13.5.

#### 3.3.3 Spot diameter, fluence per single pulse and total irradiation fluence

The spot diameter is the laser beam diameter after focusing by a focusing lens on a sample surface. The spot diameter is the smallest at focal plane of the focusing lens. However, in practical, measuring a spot diameter is a challenging task and requires very precise measurement techniques. In this thesis, the spot diameter was measured by a burn pattern technique. A thermal paper (Edmund optics, ZAP-IT15-526) was the sample. Fig. 3.3-5 (a) shows an example of the spot diameter measured by the burn pattern technique on the focus offsets. In Fig. 3.3-5, 0.00 mm focus offset is the focal plane position, a negative offset is the in-focus position, and a positive offset is the outfocus position.

The fluence is the laser pulse energy per unit irradiation spot area and a fluence per single pulse is the single pulse energy per unit irradiation spot area. A spot area was calculated by the area formula of a circle using the spot diameter measured by a digital microscope (Dino-lite, AM7115MZT). A laser energy was measured by a laser energy meter (Gentec, QE50LP-S-MB-D0). Eq. 3.3-1 presents the expression of a fluence per single pulse. Fig. 3.3-5 (b) shows an example of a fluence per single pulse on a focus offset. The fluence per single pulse was the highest in the focal plane as the spot diameter was the smallest at the focal plane. In the same laser pulse energy, the fluence per single pulse decreased at the in-focus and the out-focus position due to the large spot diameter than that of the focal plane.



Fig. 3.3-5 Example of a spot diameter and a fluence per single pulse at the various focus offsets of a focusing lens with a focal length of 12.7 mm. 0.00 mm is the focal plane. A negative offset is the in-focus position, and a positive offset is the out-focus position. The gas pressure was 3.2 kPa in a 1:2 mixture of  $CO<sub>2</sub>/N<sub>2</sub>$  and a repetition rate was 200 Hz. Red color symbol represents a central-peak-intensity beam with a beam quality factor  $M<sup>2</sup>$  of 7.0 at an optical cavity length of 150 cm. Black color symbol represents a flat-top beam with a beam quality factor  $M^2$  of 13.5 at an optical cavity length of 60 cm. (a) Spot diameter. (b) Fluence per single pulse.

The total irradiation fluence is the product of a fluence per single pulse and a number of pulses is expressed by the Eq. 3.3-2. In this thesis, a percussion drilling method that is a number of pulses were irradiated on the same spot in a sample was used. The position of the focusing lens and the sample was fixed during the percussion drilling as shown in Fig. 3.3-6.

Total irradiation fluence = Number of pulse x Fluence per single pulse  $[J/cm^2]$  Eq. 3.3-2



Fig. 3.3-6 Schematic of a percussion drilling method.

# 3.4 Material properties

In this thesis, a crown glass with a high thermal expansion coefficient of  $94 \times 10^{-1}$  $7/K$  and a low melting point of  $724^{\circ}$ C was used as a sample to realize the microfabrication without an extra treatment by a short-pulse  $CO<sub>2</sub>$  laser with tunable laser parameters. Table 3.4-1 shows the basic properties of a crown glass sample.

Table 3.4-1 Basic properties of a crown glass [139,140].



# 3.5 Result analysis methodologies

In this thesis, a hole with cracks or without cracks, a hole shape, and the drilling characteristics that are the dependence of a hole depth, a hole volume, a drilling efficiency, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter, a taper angle of a conical hole, an aspect ratio of a cylindrical hole, a ratio of a waist diameter to an surface hole diameter of a biconical hole and a ratio of a waist depth to a hole depth of a biconical hole on system parameters, process parameters and material properties were analyzed. Fig. 3.5-1 shows the summary of the considered parameters. The considered system parameters included the focal length of a focusing lens, the focus offset and the numerical aperture. The considered process parameters included the beam profiles, the beam quality factor  $M^2$ , the laser pulse waveform, the energy ratio of the spike pulse part to the pulse tail part, the fluence per single pulse, the total irradiation fluence and the repetition rate. The considered material properties included the thermal expansion coefficient and the melting point. Table 3.5-1 shows the values of the system parameters, the process parameters and the material properties parameters that were used in Sections 4.1, 4.2 and 4.3 in Chapter 4.



Fig. 3.5-1 Considered system parameters, process parameters and material properties.

Table 3.5-1 Values of the system parameters, the process parameters and the material properties that were used in the chapter 4.



## 3.5.1 Crack and crack-free hole

Cracks and cracks-free hole was identified by a digital microscope (Dino-lite, AM7115MZT). Fig. 3.5-2 (a) shows a sample image of a hole with cracks and Fig. 3.5-2 (b) shows a sample image of cracks-free hole.

	(a)	(b)
Surface view	300 µm	300 µm
Side view	500 µm	500 µm

Fig. 3.5-2 Sample hole images. (a) Hole with crack. (b) Crack-free hole.

## 3.5.2 Shape of hole

In this thesis, the side views of the hole were conical, cylindrical and biconical shape. A conical hole had a larger hole diameter at the surface than at the hole bottom. A cylindrical shape hole had the same hole diameter at the surface and the hole bottom.

	(a)	(b)	(c)		
Surface view	300 µm	300 µm	300 µm		
Side view	500 µm	500 µm	500 µm		

Fig. 3.5-3 Sample hole shape images. (a) Conical hole. (b) Cylindrical hole. (c) Biconical hole.

A biconical hole had a wider waist diameter than the hole diameter at the surface and the hole bottom. Fig. 3.5-3 (a), (b) and (c) shows a sample image of a conical hole, a cylindrical hole and a biconical hole.

# 3.5.3 Surface hole diameter and ratio of surface hole diameter to irradiation diameter

Fig. 3.5-4 shows an image of a surface hole diameter on the glass surface. Eq. 3.5-1 shows an expression of a ratio of a surface hole diameter to an irradiation diameter.



Fig. 3.5-4 Image of a surface hole diameter.

$$
Ratio = \frac{Surface Hole diameter}{Irradiation diameter} \qquad \qquad Eq. 3.5-1
$$

# 3.5.4 Surface HAZ diameter and ratio of surface HAZ diameter to irradiation diameter

Fig. 3.5-5 shows an image of a surface HAZ diameter on the glass surface. Eq. 3.5-2 shows an expression of a ratio of a surface HAZ diameter to an irradiation diameter.



Fig. 3.5-5 Image of a surface HAZ diameter.

$$
Ratio = \frac{Surface HAZ diameter}{Irradiation diameter} \qquad Eq. 3.5-2
$$

#### 3.5.5 Hole depth and hole volume

Fig. 3.5-6 shows an image of a hole in a glass. The hole depth was measured from the top of the surface to the end of the hole. The hole shape was like a conical shape. To measure the hole volume in the conical hole, the volume formula of a cone was considered and is expressed by the Eq. 3.5-3. Where, h is the hole depth [μm], and r is the radius [μm] of the hole at the surface.



Fig. 3.5-6 Image of a conical hole depth.

Hole volume = 
$$
\pi r^2 \frac{h}{3} [\mu m^3]
$$
 Eq. 3.5-3

#### 3.5.6 Drilling efficiency

The drilling efficiency that is a hole depth per a total irradiation fluence is expressed by the Eq. 3.5-4. The total irradiation fluence is the product of the fluence per single pulse and the number of pulses.

$$
Drilling efficiency = \frac{\text{Hole depth}}{\text{Total irradiation fluence}} \text{ [µm/(J/cm²)]} \qquad \text{Eq. 3.5-4}
$$

#### 3.5.7 Taper angle

The taper angle in a conical hole is defined as the angle formed between the side wall of the hole and the axis or the central line of the hole as shown in Fig. 3.5-7. A taper angle of 0 degree correspond to a straight hole with parallel side walls that is a cylindrical hole, while a large taper angle means the hole is more conical. The taper angle is expressed by the Eq. 3.5-5.



Fig. 3.5-7 Image of a taper angle in a conical hole.

Taper angle = 
$$
2 \times \tan^{-1} \frac{D-d}{2h} \times \frac{180}{\pi}
$$
 [Degree]

Where, D is the diameter [μm] at surface hole, d is the diameter [μm] inside the hole on the side view of the hole, h is the depth [μm] of the hole where the d is measured.

## 3.5.8 Aspect ratio

The aspect ratio of a cylindrical hole as shown in Fig. 3.5-8 is a dimensionless quantity that represents the ratio of the hole depth to the surface hole diameter and is expressed by the Eq. 3.5-6.



Fig. 3.5-8 Image of a surface hole diameter and hole depth in a cylindrical hole.

## 3.5.9 Waist diameter and ratio of waist diameter to surface hole diameter

$$
Aspect ratio = \frac{Hole depth}{Surface hole diameter}
$$
 Eq. 3.5-6

The waist diameter is the hole diameter inside the hole at the waist of the biconical hole as shown in Fig. 3.5-9. Eq. 3.5-7 shows an expression of a ratio of a waist diameter to a surface hole diameter.



Fig. 3.5-9 Image of a waist diameter and a surface hole diameter.

$$
Ratio = \frac{Waist diameter}{Surface hole diameter} \qquad Eq. 3.5-7
$$

# 3.5.10 Waist depth and ratio of waist depth to hole depth

The waist depth of a biconical hole that is the depth of the hole where the hole waist diameter becomes the largest as shown in Fig. 3.5-10. Eq. 3.5-8 shows an expression of a ratio of a waist depth to a hole depth.



Fig. 3.5-10 Image of a waist depth and a hole depth in a biconical hole.

Ratio= Waist depth<br>Hole depth Eq. 3.5-8

# CHAPTER 4 Result and discussions

# CHAPTER 4

# Result and discussions

#### 4.1  $CO<sub>2</sub>$  laser parameters for crack-free holes in crown glass

#### 4.1.1 Introduction

In this experiment, to investigate the required laser parameters that will produce crack-free holes in a crown glass with a high CTE of  $94 \times 10^{-7}$  /K and a low MP of 724 °C without an extra treatment and to investigate the influence of a laser pulse waveform, short laser pulses with a spike pulse width of 250 ns, a pulse tail length of 31.4 µs to 135 µs, an energy ratio of a spike pulse to a pulse tail of 1:7.1 to 1:92 and a fluence per single pulse of 6.01 J/cm<sup>2</sup> to 38.1 J/cm<sup>2</sup> were irradiated on a sample at a repetition rate of 1 Hz to 400 Hz. The laser beam was a doughnut-like beam with a beam quality factor  $M^2$  of 3.4 to 7.9 at a repetition rate of 1 Hz to 50 Hz and a centralpeak-intensity with large-shoulders beam with a beam quality factor  $M^2$  of 3.1 to 6.7 at a repetition rate of 50 Hz to 400 Hz. The short laser pulses were irradiated by a focusing lens with a focal length of 38.1 mm at a focus offset of 0.00 mm. The Rayleigh length was 116 μm to 146 μm. The short laser pulses produced crack-free holes regardless of the energy of the pulse tail at a repetition rate of 150 Hz to 400 Hz. The drilling characteristics such as the dependence of a hole depth, a hole volume and a drilling efficiency that is a hole depth per a total irradiation fluence, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter and a ratio of a surface HAZ diameter to an irradiation diameter on a laser pulse waveform, a fluence per single pulse, a total irradiation fluence and a repetition rate were investigated.

#### 4.1.2 Experimental set-up

Fig. 4.1-1 shows the schematic set-up of the processing system using a longitudinally excited  $CO_2$  laser  $[25–28,30,141]$ . In this experiment, the laser pulse waveform was controllable at a repetition rate of 1 Hz to 400 Hz. The short-pulse  $CO<sub>2</sub>$ laser produced a short pulse with a spike pulse width of about 250 ns, a pulse tail length



Fig. 4.1-1 Schematic set-up of a laser processing system using a longitudinally excited  $CO<sub>2</sub>$ laser.



Fig. 4.1-2 Examples of laser pulse waveforms at a repetition rate of 200 Hz. Laser intensity was normalized to the maximum value of the spike pulse. Black, red, and blue lines represent laser pulse waveforms with energy ratios of 1:10, 1:25, and 1:45 respectively. (a) Overall waveform. (b) Magnified timescale of spike pulse.

of 31.4 µs to 135 µs and an energy ratio of a spike pulse to a pulse tail of 1:7.1 to 1:92. Fig. 4.1-2 shows examples of laser pulse waveforms at a repetition rate of 200 Hz. The laser pulse waveform in black line had a spike pulse with a pulse width of 245 ns, a pulse tail with a length of 43.4 µs, and an energy ratio of a spike pulse to a pulse tail of 1:10. The laser pulse waveform in red line had a spike pulse with a pulse width of 255 ns, a pulse tail with a length of 67.7  $\mu$ s, and an energy ratio of a spike pulse to a pulse tail of 1:25. The laser waveform in blue line had a spike pulse with a pulse width of 235 ns, a pulse tail with a length of 84.8 µs, and an energy ratio of a spike pulse to a pulse tail of 1:45. The laser beam was a doughnut-like beam at a repetition rate of 1 Hz to 50 Hz and a central-peak-intensity with large-shoulders beam at a repetition rate of 50 Hz to 400 Hz. Fig. 4.1-3 (a) shows an example of the doughnut-like beam profile at a

repetition rate of 1 Hz. Fig. 4.1-3 (b) shows an example of the central-peak-intensity with large-shoulders beam profile at a repetition rate of 200 Hz. The beam quality factor  $M<sup>2</sup>$  was 3.1 to 7.9 and a beam diameter was 11.5 mm to 13.5 mm at a repetition rate of 1 Hz to 400 Hz. A ZnSe focusing lens with a focal length of 38.1 mm was used to focus the laser beam on the sample surface without changing the focus offset that is at the 0.00 mm focus offset. An NA was 0.15 to 0.18, a DOF was 232 μm to 292 μm and a Rayleigh length was 116 μm to 146 μm. An irradiation diameter was 236 μm to 309 μm. A percussion laser drilling process was used in which multiple laser pulses were radiated at the same spot on the sample. The sample was a crown glass (Matsunami, S1127) with a CTE of  $94 \times 10^{-7}$  /K, an MP of 724°C and a thickness of 1150 μm.



Fig. 4.1-3 Laser beam profiles. (a) Doughnut-like beam. (b) Central-peak-intensity with large shoulders beam.

#### 4.1.3 Results and discussions

#### 4.1.3.1 Investigation of crack-free hole drilling condition

To investigate a crack-free hole drilling in a crown glass without an extra treatment, short laser pulses were irradiated at a repetition rate of 1 Hz to 400 Hz. The following sections will describe the details of the laser pulses and the surface conditions that is crack or crack-free hole.

#### 4.1.3.1.1 Drilling with laser pulses at a repetition rate of 1 Hz

Table 4.1-1 shows the irradiated laser pulses and the surface conditions at a repetition rate of 1 Hz. The short laser pulses had a spike pulse with a pulse width of about 239 ns, a pulse tail with a length of 47.4 μs to 94.2 μs and an energy ratio of a spike pulse to a pulse tail of 1:14 to 1:40. The fluence per single pulse was 6.20 J/cm<sup>2</sup> to 14.0 J/cm<sup>2</sup>. All the laser pulses in Table 4.1-1 produced holes with cracks at a repetition rate of 1 Hz. Fig. 4.1-4 shows an example of a glass surface after irradiating 50 laser pulses with an energy ratio of 1:25 and a fluence per single of 13.9 J/cm<sup>2</sup> at a repetition rate of 1 Hz.

Energy	Spike	Pulse	Fluence	No. of	Total	Beam	<b>NA</b>	Spot	Surface
ratio	pulse	tail	/pulse		pulses  irradiation  qualit			diameter	condition
	width	length	(J/cm <sup>2</sup> )		fluence	y: $M^2$		$(\mu m)$	
	(ns)	$(\mu s)$			(J/cm <sup>2</sup> )				
1:14	245	47.4	6.20	50	310	6.11	0.18	260	Crack
1:16	280	58.0	8.60	50	430	4.53	0.18	226	Crack
1:22	255	50.2	7.12	50	356	5.33	0.18	344	Crack
1:25	215	67.1	13.9	50	695	7.28	0.18	316	Crack
1:33	260	76.7	11.4	50	570	6.62	0.18	331	Crack
1:33	210	76.5	11.8	50	590	7.89	0.18	336	Crack
1:40	210	94.2	14.0	50	700	7.63	0.18	323	Crack

Table 4.1-1 Laser pulses and the surface conditions at a repetition rate of 1 Hz.



Fig. 4.1-4 Image of a glass surface with cracks after irradiation of 50 laser pulses with an energy ratio of 1:25 and a fluence per single of 13.9 J/cm<sup>2</sup> at a repetition rate of 1 Hz.

#### 4.1.3.1.2 Drilling with laser pulses at a repetition rate of 50 Hz

Table 4.1-2 shows the irradiated laser pulses and the surface conditions at a repetition rate of 50 Hz. The short laser pulses had a spike pulse with a pulse width of about 238 ns, a pulse tail with a length of 61.7 μs to 98.7 μs and an energy ratio of a spike pulse to a pulse tail of 1:16 to 1:60. The fluence per single pulse was 7.41 J/cm<sup>2</sup> to 14.3 J/cm<sup>2</sup>. All the laser pulses in Table 4.1-2 produced holes with cracks at a repetition rate of 50 Hz. Fig. 4.1-5 shows an example of a glass surface after irradiating 50 laser pulses with an energy ratio of 1:35 and a fluence per single of 14.3 J/cm<sup>2</sup> at a repetition rate of 50 Hz.

Energy	Spike	Pulse	<b>Fluence</b>	No. of	Total	Beam	<b>NA</b>	Spot	Surface
ratio	pulse	tail	/pulse		pulses irradiation qualit			diameter	condition
	width	length	(J/cm <sup>2</sup> )		fluence	y: $M^2$		$(\mu m)$	
	(ns)	$(\mu s)$			(J/cm <sup>2</sup> )				
1:16	315	45.2	7.41	50	370	3.42	0.17	219	Crack
1:23	245	38.6	13.3	50	665	7.29	0.17	311	Crack
1:35	235	61.7	14.3	50	715	7.01	0.17	311	Crack
1:48	240	78.3	7.71	50	385	6.46	0.17	328	Crack
1:55	200	98.1	10.6	50	530	6.46	0.17	331	Crack
1:56	200	98.7	13.0	50	650	7.26	0.17	315	Crack
1:60	235	105	14.3	50	715	3.42	0.17	219	Crack

Table 4.1-2 Laser pulses and the surface conditions at a repetition rate of 50 Hz.



Fig. 4.1-5 Image of a glass surface with cracks after irradiation of 50 laser pulses with an energy ratio of 1:35 and a fluence per single of 14.3  $J/cm<sup>2</sup>$  at a repetition rate of 50 Hz.

#### 4.1.3.1.3 Drilling with laser pulses at a repetition rate of 100 Hz

Table 4.1-3 shows the irradiated laser pulses and the surface conditions at a repetition rate of 100 Hz. The short laser pulses had a spike pulse with a pulse width of about 241 ns, a pulse tail with a length of 64.4 μs to 110 μs and an energy ratio of a spike pulse to a pulse tail of 1:27 to 1:70. The fluence per single pulse was 8.8 J/cm<sup>2</sup> to 22 J/cm<sup>2</sup>. Laser pulses in Table 4.1-3 produced holes with cracks and holes without cracks at a repetition rate of 100 Hz. Fig. 4.1-6 shows an example of a glass surface

after irradiating 50 laser pulses with an energy ratio of 1:30 and 1:70, and a fluence per single pulse of 8.81 J/cm<sup>2</sup> and 22.1 J/cm<sup>2</sup> at a repetition rate of 100 Hz.

Energy	Spike	Pulse	<b>Fluence</b>	No. of	Total	Beam	<b>NA</b>	Spot	Surface
ratio	pulse	tail	/pulse		pulses irradiation qualit			diamet	condition
	width	length	(J/cm <sup>2</sup> )		y: $M^2$ fluence			er (µm)	
	(n <sub>s</sub> )	$(\mu s)$			(J/cm <sup>2</sup> )				
1:27	250	78.3	8.81	50	440	3.44	0.18	204	Crack
1:30	245	88.7	8.81	50	440	5.55	0.18	321	Crack
1:44	235	64.4	21.8	50	1090	4.53	0.18	252	Crack-free
1:50	250	92.2	12.1	50	605	5.70	0.18	313	Crack
1:64	240	110	18.9	50	945	5.37	0.18	293	Crack
1:70	230	110	22.1	50	1105	6.47	0.18	260	Crack-free

Table 4.1-3 Laser pulses and the surface conditions at a repetition rate of 100 Hz.



Fig. 4.1-6 Image of a glass surface with cracks and without cracks at a repetition rate of 100 Hz. (a) Hole with cracks after irradiation of 50 laser pulses with an energy ratio of 1:30 and a fluence per single of 8.81 J/cm<sup>2</sup>. (b) Hole without cracks after irradiation of 50 laser pulses with an energy ratio of 1:70 and a fluence per single of 22.1 J/cm<sup>2</sup>.

#### 4.1.3.1.4 Drilling with laser pulses at a repetition rate of 150 Hz

Table 4.1-4 shows the irradiated laser pulses and the surface conditions at a repetition rate of 150 Hz. The short laser pulses had a spike pulse with a pulse width of about 244 ns, a pulse tail with a length of 38.4 μs to 91.6 μs and an energy ratio of a spike pulse to a pulse tail of 1:15 to 1:57. The fluence per single pulse was 8.91 J/cm<sup>2</sup> to 25.2 J/cm<sup>2</sup>. All the laser pulses in Table 4.1-4 produced holes without cracks at a repetition rate of 150 Hz. Fig. 4.1-7 shows an example of a glass surface after irradiating 50 laser pulses with an energy ratio of 1:25 and a fluence per single of 12.0  $J/cm<sup>2</sup>$  at a repetition rate of 150 Hz.

Energy	Spike	Pulse	Fluence   No. of $\vert$		Total	Beam	<b>NA</b>	Spot	Surface
ratio	pulse	tail	/pulse		pulses irradiation qualit			diamet	condition
	width	length	(J/cm <sup>2</sup> )		y: $M^2$ fluence			er (µm)	
	(ns)	$(\mu s)$			(J/cm <sup>2</sup> )				
1:15	230	38	8.91	50	445	6.72	0.16	311	Crack-free
1:25	265	78	12.0	50	600	4.95	0.16	286	Crack-free
1:33	251	86	18.0	50	900	3.91	0.16	289	Crack-free
1:41	235	78	23.0	50	1150	5.79	0.16	278	Crack-free
1:57	240	91	25.2	50	1260	5.79	0.16	278	Crack-free

Table 4.1-4 Laser pulses and the surface conditions at a repetition rate of 150 Hz.



Fig. 4.1-7 Image of a glass surface without cracks after irradiation of 50 laser pulses with an energy ratio of 1:25 and a fluence per single of 12.0 J/cm<sup>2</sup> at a repetition rate of 150 Hz.

#### 4.1.3.1.5 Drilling with laser pulses at a repetition rate of 200 Hz

Table 4.1-5 shows the irradiated laser pulses and the surface conditions at a repetition rate of 200 Hz. The short laser pulses had a spike pulse with a pulse width of about 243 ns, a pulse tail with a length of 38.1 μs to 135 μs and an energy ratio of a spike pulse to a pulse tail of 1:7.1 to 1:92. The fluence per single pulse was 10.1 to 38.0 J/cm<sup>2</sup>. All the laser pulses in Table 4.1-5 produced crack-free holes at a repetition rate of 200 Hz. Fig. 4.1-8 shows an example of a glass surface after irradiating 50 laser pulses with an energy ratio of 1:18 and a fluence per single of 33.1 J/cm<sup>2</sup> at a repetition rate of 200 Hz.

Energy	Spike	Pulse	Fluence	No. of	Total	Beam	NA	Spot	Surface
ratio	pulse	tail	/pulse	pulses	irradiation qualit			diamet	condition
	width	length	(J/cm <sup>2</sup> )		fluence	y: $M^2$		er (µm)	
	(n <sub>s</sub> )	$(\mu s)$			(J/cm <sup>2</sup> )				
1:12	270	38.1	10.1	50	505	4.38	0.16	213	Crack-free
1:18	265	43.3	33.1	50	1655	4.15	0.16	241	Crack-free
1:32	245	61.4	36.8	50	1840	5.33	0.16	236	Crack-free
1:38	255	73.1	33.3	50	1665	4.11	0.16	242	Crack-free
1:41	235	83.7	37.9	50	1895	4.21	0.16	239	Crack-free
1:51	235	97.1	28.0	50	1400	3.99	0.16	244	Crack-free
1:92	200	135	34.4	50	1720	3.96	0.16	241	Crack-free

Table 4.1-5 Laser pulses and the surface conditions at a repetition rate of 200 Hz.



Fig. 4.1-8 Image of a glass surface without cracks after irradiation of 50 laser pulses with an energy ratio of 1:18 and a fluence per single of  $33.1$  J/cm<sup>2</sup> at a repetition rate of 200 Hz.

#### 4.1.3.1.6 Drilling with laser pulses at a repetition rate of 400 Hz

Table 4.1-6 shows the irradiated laser pulses and the surface conditions at a repetition rate of 400 Hz. The short laser pulses had a spike pulse with a pulse width of about 278 ns, a pulse tail with a length of 34.1 μs to 55.4 μs and an energy ratio of a spike pulse to a pulse tail was 1:9.2 to 1:25. The fluence per single pulse was 10.7 to 18.4 J/cm<sup>2</sup>. All the laser pulses in Table 4.1-6 produced crack-free holes at a repetition rate of 400 Hz. Fig. 4.1-9 shows an example of a glass surface after irradiating 50 laser pulses with an energy ratio of 1:25 and a fluence per single of 16.9 J/cm<sup>2</sup> at a repetition rate of 400 Hz.

Energy	Spike	Pulse	Fluence   No. of		Total	Beam	<b>NA</b>	Spot	Surface
ratio	pulse	tail	/pulse		pulses irradiation qualit			diamet	condition
	width	length	(J/cm <sup>2</sup> )		y: $M^2$ fluence			$\vert$ er (µm) $\vert$	
	(ns)	$(\mu s)$			(J/cm <sup>2</sup> )				
1:9.1	260	34.1	18.4	50	920	4.11	0.16	242	Crack-free
1:9.2	275	41.8	17.4	50	870	4.25	0.16	249	Crack-free
1:11	285	36.4	10.7	50	535	3.46	0.16	221	Crack-free
1:14	278	34.1	13.1	50	655	4.37	0.16	242	Crack-free
1:25	295	55.4	16.9	50	845	4.68	0.16	241	Crack-free

Table 4.1-6 Laser pulses and the surface conditions at a repetition rate of 400 Hz.



Fig. 4.1-9 Image of a glass surface without cracks after irradiation of 50 laser pulses with an energy ratio of 1:25 and a fluence per single of 16.9 J/cm<sup>2</sup> at a repetition rate of 400 Hz.

#### 4.1.3.1.7 Summary of crack and crack-free condition

Table 4.1-7 shows the summary of the crack and crack-free conditions with the laser pulses with an energy ratio of 1:7.1 to 1:92 and a fluence per single pulse of 6.01 J/cm<sup>2</sup> to 37.9 J/cm<sup>2</sup> at a repetition rate of 1 Hz to 400 Hz. At a repetition rate of 1 Hz to 90 Hz, laser pulses did not produce crack-free holes on the crown glass surface. At a repetition rate of 100 Hz to 140 Hz, laser pulses produced crack and crack-free holes. At a repetition rate of 150 Hz to 400 Hz, laser pulses produced crack-free holes. The crack and crack-free condition may depend on the storage heat generated by each laser pulse. At a small repetition rate, the generated heat dissipates or relaxes before the next pulse irradiation. At a high repetition rate, the generated heat does not dissipate and increases the storage heat and helps to produce crack-free holes.

rate (Hz)	Rept. Energy ratio	Fluence/pulse Beam (J/cm <sup>2</sup> )	Quality: M <sup>2</sup>	Avg. Spot Avg. diameter $(\mu m)$	<b>NA</b>	Crack or Crack-free	Image of a Image of hole with crack	cracks- free hole
$\mathbf{1}$	$1:14 - 1:40$	$6.21 - 14.0$	$4.5 - 7.9$	309	0.18	Crack		$\times$
10	$1:12 - 1:57$	$6.01 - 17.1$	$4.9 - 7.1$	288	0.17	Crack		$\times$
50	$1:16 - 1:60$	$7.44 - 14.3$	$3.4 - 7.3$	308	0.17	Crack		$\times$
90	$1:14 - 1:51$	$7.47 - 14.5$	$4.6 - 6.7$	299	0.17	Crack		$\times$
100	$1:27 - 1:70$	$8.81 - 22.1$	$3.4 - 6.5$	282	0.18	Both		
140	$1:12 - 1:65$	$7.33 - 22.9$	$4.5 - 6.3$	288	0.17	Both		
150	$1:15 - 1:57$	$8.90 - 25.2$	$4.8 - 6.7$	288	0.17	Crack-free	$\times$	
200	$1:7 - 1:92$	$10.1 - 37.9$	$4.0 - 5.3$	236	0.16	Crack-free	$\times$	
300	$1:12 - 1:44$	$17.4 - 28.9$	$3.1 - 4.7$	236	0.16	Crack-free	$\times$	
400	$1:9 - 1:25$	$10.7 - 18.4$	$3.5 - 4.7$	240	0.16	Crack-free	$\times$	

Table 4.1-7 Presence and absence of cracks in the crown glass depending on the  $CO<sub>2</sub>$  laser parameters. The number of pulses was 50. The length of the scale bar is 200 μm in all images.

# 4.1.3.2 Investigation of the dependence of drilling characteristics on laser parameters

 Fig. 4.1-10 shows a top and side view of the crown glass sample irradiated by 30 pulses of the  $CO<sub>2</sub>$  laser short pulse with a spike pulse width of 255 ns, a pulse tail length of 75.6 μs, an energy ratio of the spike pulse to the pulse tail of 1:25, a fluence per single pulse of 34.1 J/cm<sup>2</sup>, a total irradiation fluence of 1023 J/cm<sup>2</sup>, an irradiation diameter of 250 μm and a repetition rate of 200 Hz. The drilled hole did not have any cracks. The surface hole diameter was 122 μm and was 0.48 times the irradiation diameter. The surface HAZ diameter of the sample surface was 362 μm and was 1.51 times the irradiation diameter. The hole depth was 923 μm, and the estimated hole volume was about  $3.61 \times 10^6 \,\text{µm}^3$ .



Fig. 4.1-10 Top and side views of the crown glass sample irradiated by 30 pulses of the  $CO<sub>2</sub>$ laser short pulse with an energy ratio of 1:25, a fluence per single pulse of 34.1 J/cm<sup>2</sup>, a total irradiation fluence of  $1023$  J/cm<sup>2</sup> and a repetition rate of 200 Hz. (a) Top view. (b) Side view.

#### 4.1.3.2.1 Hole depth, hole volume and drilling efficiency

Fig. 4.1-11 shows the dependence of a hole depth and an estimated hole volume on a laser pulse waveform, a fluence per single pulse and a total irradiation fluence at a repetition rate of 200 Hz. The hole depth and the estimated hole volume increased with the increase of the total irradiation fluence which is a natural phenomenon of laser drilling. Table 4.1-8 is the slopes of Fig. 4.1-11 shows the drilling efficiency that is a hole depth per the total irradiation fluence and an estimated hole volume per the total irradiation fluence. At the same fluence per single pulse the drilling efficiency was high in a laser pulse waveform with a high energy ratio of a spike pulse to a pulse tail. However, in the master's thesis of Yoshihito Baba in 2022 at University of Yamanashi, a short pulse with an energy ratio of 1:7 gave a deeper depth and a higher drilling



Fig. 4.1-11 Dependence of hole depth and estimated hole volume on laser pulse waveforms, fluence per single pulse and total irradiation fluence at a repetition rate of 200 Hz. (a) Hole depth. (b) Estimated hole volume. Legend is in the Table 4.1-8.

Table 4.1-8 Dependence of a hole depth and an estimated hole volume per a total irradiation fluence on a laser pulse waveform and a fluence per single pulse at a repetition rate of 200 Hz. The hole depth and the estimated hole volume per the total irradiation fluence are the slopes of Fig. 4.1-11 (a) and (b), respectively.

Symb ol in Fig. $4.1 - 11$	Laser pulse wavefor m	Spike pulse width (ns)	Pulse tail length $(\mu s)$	Fluence/ pulse (J/cm <sup>2</sup> )	Beam quality :M <sup>2</sup>	NA	Rayleig h length $(\mu m)$	Hole depth/total irradiation fluence $(\mu m/J/cm^2)$	Estimated hole volume/total irradiation fluence $(\mu m^3/J/cm^2)$
	1:7.1	265	38.2	10.1	4.4	0.15	144	1.54	$5.55 \times 10^{3}$
	1:7.1	310	39.7	14.3	4.4	0.15	144	1.19	$4.37 \times 10^{3}$
	1:10	275	44.3	21.4	4.4	0.15	144	1.07	$4.85 \times 10^{3}$
	1:10	275	54.1	26.2	4.4	0.15	144	1.06	$4.15 \times 10^{3}$
$\bigstar$	1:10	255	45.5	34.1	4.4	0.15	144	0.89	$3.66 \times 10^{3}$
	1:25	275	85.6	11.9	4.2	0.16	125	1.56	$5.70 \times 10^{3}$
	1:25	275	85.6	14.6	4.2	0.16	144	1.48	$5.48 \times 10^{3}$
	1:23	275	77.4	18.1	4.2	0.16	144	1.35	$5.66 \times 10^{3}$
	1:25	285	69.2	27.9	4.2	0.16	125	1.17	$4.89 \times 10^{3}$
$\bigstar$	1:25	255	75.6	34.1	4.1	0.16	125	0.99	$4.33 \times 10^{3}$
	1:49	235	101	14.3	5.3	0.16	125	1.39	$5.46 \times 10^{3}$
	1:49	235	120	17.1	5.3	0.16	125	1.37	$5.27 \times 10^{3}$
	1:45	245	95.4	26.5	4.5	0.16	125	1.20	$4.99 \times 10^{3}$
	1:45	255	111	32.1	4.0	0.16	125	0.95	$4.95 \times 10^{3}$
$\bigstar$	1:41	245	95.1	37.3	4.2	0.16	125	0.82	$4.16 \times 10^{3}$

efficiency than a short pulse with an energy ratio of 1:31 at the same fluence per single pulse of 21.5 J/cm<sup>2</sup>, the total irradiation fluence of 10000 J/cm<sup>2</sup> or less, a repetition rate of 200 Hz and four types of glass that is a crown glass with a CTE of  $94 \times 10^{-7}$  /K and an MP of 724 °C, a soda-lime glass with a CTE of  $87 \times 10^{-7}$  /K and an MP of 740 °C, a borosilicate glass with a CTE of 33  $\times$  10<sup>-7</sup> /K and an MP of 820<sup>o</sup>C and a synthetic quartz glass with a CTE of  $5.5 \times 10^{-7}$  /K and an MP of 1600°C [138,142]. In experiments by Yoshihito Baba, an attenuator was used to control the fluence per single pulse without changing the laser pulse waveform. In my experiment, because the laser pulse waveform and the fluence per single pulse were not unified and the dependence of the drilling efficiency on the laser pulse waveform and the fluence per single pulse could not describe accurately. Therefore, to get the dependence of drilling efficiency on the laser pulse waveform, more combination of data is required.

On the other hand, a smaller fluence per single pulse tended to give a higher drilling efficiency. The fact can have two possible reasons. One possible reason is, when a laser pulse is irradiated with a small fluence per single pulse, the molten particles flow in small amounts that may have a small shielding effect on next pulses. At a high fluence per single pulse, the molten particle flows in a large amount, which may have a large shielding effect on the subsequent pulses, thereby resulting in a small drilling efficiency. Another possible reason is, the storage heat is high in a small fluence per single pulse and compared to the storage heat in a high fluence per single pulse in a same total irradiation fluence because a small fluence per single pulse has larger number of pulses than a high fluence per single pulse. In other words, irradiation of a large number of pulses in the same total irradiation fluence may have a pre-heating effect. Therefore, the measurement of the spatial-time distribution of the temperature can give the solution to the question. In addition, to get the dependence of the drilling efficiency on the laser pulse waveform and the fluence per single pulse, using an attenuator is effective to control the fluence per single pulse without changing the laser pulse waveform.

Furthermore, repetition rates of 200 Hz, 300 Hz and 400 Hz were considered to realize the dependence of the hole depth and the estimated hole volume per the total irradiation fluence on the repetition rate. Table 4.1-9 shows the dependence of the drilling characteristics on the repetition rates of 200 Hz, 300 Hz and 400 Hz. The hole depth and the estimated hole volume per the total irradiation fluence increased with the
repetition rate. The drilling efficiency was higher at 400 Hz than 300 Hz but slightly lower than 200 Hz at the same fluence per single pulse. A further high repetition rate could be considered to find the high scale differences. Therefore, under the various irradiation conditions, the hole depth and the estimated hole volume per the total irradiation fluence depended on the laser pulse waveform with the energy ratio of a spike pulse to a pulse tail of 1:10 to 1:45, the fluence per single pulse and the repetition rate.



Table 4.1-9 Dependence of a hole depth and an estimated hole volume per a total irradiation fluence of 50 pulses at a repetition rate of 200 Hz, 300 Hz and 400 Hz.

## 4.1.3.2.2 Surface hole diameter and ratio of a surface hole diameter to an irradiation diameter

Fig. 4.1-12 shows the dependence of a surface hole diameter and a ratio of a surface hole diameter to an irradiation diameter on a laser pulse waveform, a fluence per single pulse and a total irradiation fluence at a repetition rate of 200 Hz. Table 4.1-10 shows the legend of the Fig. 4.1-12. The surface hole diameter and the ratio of the surface hole diameter to the irradiation diameter did not depend on the fluence per single pulse and the laser pulse waveform. The surface hole diameter and the ratio of a

surface hole diameter to an irradiation diameter initially increased with the total irradiation fluence until about  $750 \text{ J/cm}^2$ , then saturated with the total irradiation fluence. Table 4.1-11 and Table 4.1-12 shows the surface hole diameter and the ratio of a surface hole diameter to an irradiation diameter at a total irradiation fluence of about 500 J/cm<sup>2</sup> and 1000 J/cm<sup>2</sup>, respectively. The average surface hole diameter was 122  $\mu$ m and 130 μm, and the average ratio of a surface hole diameter to an irradiation diameter was 0.45 and 0.50 at a total irradiation fluence of about 500 J/cm<sup>2</sup> and 1000 J/cm<sup>2</sup>, respectively. Therefore, under various irradiation conditions, the surface hole diameter and the ratio of a surface hole diameter to an irradiation diameter did not depend on the laser pulse waveform and the fluence per single pulse, and initially may depend on a total irradiation fluence but later saturated. Additionally, the ratio of a surface hole diameter to an irradiation diameter showed that the surface hole diameter was smaller than the irradiation diameter. A small irradiation diameter will give a small surface hole diameter. To produce a small surface hole diameter an investigation using a highquality gaussian beam and a high NA could be useful. For example, a  $CO<sub>2</sub>$  laser with a wavelength of 10.6  $\mu$ m, a high-quality gaussian beam with a beam quality factor M<sup>2</sup> of 1.0, an f/D of 1.73 with a focal length f of 38.1 mm and a diameter D of 22.0 mm expected to give an irradiation diameter of 23.3 μm, thus a hole diameter of about 10  $\mu$ m can be possible to produce by a direct  $CO<sub>2</sub>$  laser irradiation.



Fig. 4.1-12 Dependence of a surface hole diameter and a ratio of a surface hole diameter to an irradiation diameter on a laser pulse waveform, a fluence per single pulse and a total irradiation fluence at a repetition rate of 200 Hz. (a) Surface hole diameter. (b) Ratio of a surface hole diameter to an irradiation diameter. Legend is in the Table 4.1-10.

Symbol in Fig.	Laser pulse	Spike pulse	Pulse tail length	Fluence/ pulse	<b>NA</b>	Rayleigh length	Irradiatio $\mathbf n$
$4.1 - 12$	wavefor m	width (ns)	$(\mu s)$	(J/cm <sup>2</sup> )		$(\mu m)$	diameter $(\mu m)$
	1:7	265	38.2	10.1	0.15	144	256
	1:7	310	39.7	14.3	0.15	144	256
▲	1:10	275	44.3	21.4	0.15	144	285
	1:10	275	54.1	26.2	0.15	144	255
$\bigstar$	1:10	255	45.5	34.1	0.15	144	250
	1:25	275	85.6	11.9	0.16	125	319
	1:25	275	85.6	14.6	0.16	144	288
▲	1:23	275	77.4	18.1	0.16	144	275
	1:25	285	69.2	27.9	0.16	125	263
$\bigstar$	1:25	255	75.6	34.1	0.16	125	241
	1:49	235	101	14.3	0.16	125	314
	1:49	235	120	17.1	0.16	125	288
	1:45	245	95.4	26.5	0.16	125	255
	1:45	255	111	32.1	0.16	125	270
$\bigstar$	1:41	245	95.1	37.3	0.16	125	275

Table 4.1-10 Legend of Fig. 4.1-12.

Table 4.1-11 The ratio of a surface hole diameter to an irradiation diameter at a total irradiation fluence of 500 J/cm<sup>2</sup>.

Symbo	Laser	Spike	Pulse	Fluence/		Total irradiation fluence of about
$1$ in	pulse	pulse	tail	pulse		$500$ J/cm <sup>2</sup>
Fig.	wavefor	width	length	(J/cm <sup>2</sup> )	Surface hole	Ratio of surface
$4.1 - 12$	m	(ns)	$(\mu s)$		diameter	hole diameter to
					$(\mu m)$	irradiation diameter
	1:7	310	39.7	14.3	123	0.48
	1:10	275	54.1	26.2	122	0.47
	1:25	275	85.6	14.6	122	0.42
	1:25	285	69.2	27.9	122	0.46
	1:49	235	101	14.3	122	0.38
	1:45	245	95.4	26.5	123 0.47	
					Avg. $= 122$	Avg. = $0.45$

Table 4.1-12 The ratio of a surface hole diameter to an irradiation diameter at a total irradiation fluence of 1000 J/cm<sup>2</sup>.

Symbo	Laser	Spike	Pulse	Fluence/		Total irradiation fluence of about	
$1$ in	pulse	pulse	tail	pulse	$1000$ J/cm <sup>2</sup>		
Fig.	wavefor	width	length	(J/cm <sup>2</sup> )	Surface hole	Ratio of surface	
$4.1 - 12$	m	(ns)	$(\mu s)$		diameter	hole diameter to	
					$(\mu m)$	irradiation diameter	
	1:10	275	54.1	26.2	122	0.48	
★	1:10	255	45.5	34.1	136	0.54	
	1:25	285	69.2	27.9	132	0.50	
$\bigstar$	1:25	255	75.6	34.1	132	0.56	
	1:45	245	95.4	26.5	122	0.47	
	1:45	255	111	32.1	139	0.49	
					Avg. $= 130$	Avg. = $0.50$	

## 4.1.3.2.3 Surface HAZ diameter and ratio of a surface HAZ diameter to an irradiation diameter

Fig. 4.1-13 shows the dependence of a surface HAZ diameter and a ratio of a surface HAZ diameter to an irradiation diameter on a laser pulse waveform, a fluence per single pulse and a total irradiation fluence at a repetition rate of 200 Hz. The ratio of a surface HAZ diameter to an irradiation diameter did not depend on the laser pulse waveform. For example, at the total irradiation fluence of about 600 J/cm<sup>2</sup>, a laser pulse waveform with an energy ratio of 1:10, 1:25 and 1:45 produced a ratio of a surface HAZ diameter to an irradiation diameter of 1.55, 1.47 and 1.55, respectively, that are almost same. However, in the master's thesis of Yoshihito Baba in 2022 at University of Yamanashi, a short pulse with an energy ratio of 1:7 gave a smaller HAZ than a short pulse with an energy ratio of 1:31 at the same fluence per single pulse of 21.5 J/cm<sup>2</sup>, the total irradiation fluence of 2000 J/cm<sup>2</sup> to 10000 J/cm<sup>2</sup>, a repetition rate of 200 Hz and two types of glass that is a crown glass with a CTE of  $94 \times 10^{-7}$  /K and an MP of 724 °C and a soda-lime glass with a CTE of  $87 \times 10^{-7}$  /K and an MP of 740 °C [138,142]. In a borosilicate glass with a CTE of  $33 \times 10^{-7}$  /K and an MP of 820°C and a synthetic quartz glass with a CTE of  $5.5 \times 10^{-7}$  /K and an MP of 1600°C, the short pulse with an energy ratio of 1:7 produced a same HAZ diameter as that of 1:31 [138,142].



Fig. 4.1-13 Dependence of a surface HAZ diameter and a ratio of a surface HAZ diameter to an irradiation diameter on a laser pulse waveform, a fluence per single pulse and a total irradiation fluence at a repetition rate of 200 Hz. (a) Surface HAZ diameter. (b) Ratio of a surface HAZ diameter to an irradiation diameter. Legend is in the Table 4.1-13.

On the other hand, the ratio of a surface HAZ diameter to an irradiation diameter did not depend on the fluence per single pulse. The ratio of a surface HAZ diameter to an irradiation diameter increased with the total irradiation fluence until the total irradiation fluence of about 600  $J/cm<sup>2</sup>$  and after that the ratio was saturated. Table 4.1-14 and Table 4.1-15 shows the surface HAZ diameter and the ratio of a surface HAZ diameter to an irradiation diameter at the total irradiation fluence of about 500  $J/cm<sup>2</sup>$  and 1000 J/cm<sup>2</sup>, respectively. The average surface HAZ diameter and the average ratio of a surface HAZ diameter to an irradiation diameter was 384 μm and 410 μm, and 1.41 and 1.58 at a total irradiation fluence of about 500 J/cm<sup>2</sup> and 1000 J/cm<sup>2</sup>, respectively. Therefore, a surface HAZ diameter and a ratio of a surface HAZ diameter to an irradiation diameter was high in a high total irradiation fluence. The heat during the processing below the vaporization temperature but higher than the MP gives the HAZ. The HAZ depends on the heat distribution in the laser beam and the laser pulse. The control of the heat distribution is important to reduce the HAZ and that can be achieved by controlling the laser beam profile and the laser pulse.

Symbol in Fig. $4.1 - 13$	Laser pulse waveform	Spike pulse width $(ns)$	Pulse tail length $(\mu s)$	Fluence/ pulse (J/cm <sup>2</sup> )	<b>NA</b>	Rayleigh length $(\mu m)$	Irradiation diameter $(\mu m)$
	1:7	265	38.2	10.1	0.15	144	256
	1:7	310	39.7	14.3	0.15	144	256
	1:10	275	44.3	21.4	0.15	144	285
♠	1:10	275	54.1	26.2	0.15	144	255
$\bigstar$	1:10	255	45.5	34.1	0.15	144	250
	1:25	275	85.6	11.9	0.16	125	319
	1:25	275	85.6	14.6	0.16	144	288
	1:23	275	77.4	18.1	0.16	144	275
	1:25	285	69.2	27.9	0.16	125	263
$\bigstar$	1:25	255	75.6	34.1	0.16	125	241
	1:49	235	101	14.3	0.16	125	314
	1:49	235	120	17.1	0.16	125	288
	1:45	245	95.4	26.5	0.16	125	255
n	1:45	255	111	32.1	0.16	125	270
$\bigstar$	1:41	245	95.1	37.3	0.16	125	275

Table 4.1-13 Legend of Fig. 4.1-13.

Table 4.1-14 The ratio of a surface HAZ diameter to an irradiation diameter at a total irradiation fluence of 500 J/cm<sup>2</sup> .

Symbo	Laser	Spike	Pulse	Fluence/		Total irradiation fluence of about
$1$ in	pulse	pulse	tail	pulse		500 J/cm <sup>2</sup>
Fig.	wavefor	width	length	(J/cm <sup>2</sup> )	Surface HAZ	Ratio of surface
$4.1 - 13$	m	(ns)	$(\mu s)$		diameter	HAZ diameter to
					$(\mu m)$	irradiation diameter
	1:7	310	39.7	14.3	373	1.44
	1:10	275	54.1	26.2	380	1.48
	1:25	275	85.6	14.6	384	1.47
	1:25	285	69.2	27.9	387	1.33
	1:49	235	101	14.3	421	1.34
	1:45	245	95.4	26.5	364	1.42
					Avg. = $384$	Avg. $= 1.41$

Symbo	Laser	Spike	Pulse	Fluence/		Total irradiation fluence of about
$1$ in	pulse	pulse	tail	pulse		1000 J/cm <sup>2</sup>
Fig.	wavefor	width	length	(J/cm <sup>2</sup> )	Surface HAZ	Ratio of surface
$4.1 - 13$	m	(ns)	$(\mu s)$		diameter	HAZ diameter to
					$(\mu m)$	irradiation diameter
	1:10	275	54.1	26.2	405	1.55
★	1:10	255	45.5	34.1	396	1.58
	1:25	285	69.2	27.9	448	1.70
★	1:25	255	75.6	34.1	414	1.71
	1:45	245	95.4	26.5	393	1.54
	1:45	255	111	32.1	1.42 404	
					Avg. $= 410$	Avg. = $1.58$

Table 4.1-15 The ratio of a surface HAZ diameter to an irradiation diameter at a total irradiation fluence of 1000 J/cm<sup>2</sup> .

#### 4.1.4 Summary

In this experiment, short-pulse  $CO<sub>2</sub>$  laser drilling in a crown glass with a high CTE of 94  $\times$  10<sup>-7</sup> /K and a low MP of 724<sup>o</sup>C was investigated. The short-pulse CO<sub>2</sub> laser had a spike pulse width of about 250 ns, a pulse tail length of 31.4  $\mu$ s to 135  $\mu$ s, an energy ratio of a spike pulse to a pulse tail of 1:7.1 to 1:92, and a fluence per single pulse of 6.01 J/cm<sup>2</sup> to 37.9 J/cm<sup>2</sup> at a repetition rate of 1 Hz to 400 Hz. The short-pulse  $CO<sub>2</sub>$  laser with a tunable pulse tail operating at a repetition rate of 150 Hz to 400 Hz produced crack-free holes without any kind of cooling system in the processing area. In contrast, cracks were produced at a repetition rate of 90 Hz or less. The ratio of a surface hole diameter to an irradiation diameter did not depend on the laser pulse waveform and the fluence per single pulse, and the ratio was initially increased then was almost the same with the total irradiation fluence. The ratio of a surface HAZ diameter to an irradiation diameter did not depend on the laser pulse waveforms with an energy ratio of a spike pulse to a pulse tail of 1:10 to 1:45 and the fluence per single pulse. The ratio increased with the total irradiation fluence that is a natural phenomenon. The drilling efficiency that is the hole depth and the estimated hole volume per the total irradiation fluence depended on the laser pulse waveform with an energy ratio of a spike pulse to a pulse tail of 1:10 to 1:45, the fluence per single pulse and the repetition rate.

## 4.2 Cylindrical hole drilling in crown glass by a short-pulse  $CO<sub>2</sub>$  laser with a flat-top beam

#### 4.2.1 Introduction

In this experiment, cylindrical hole drilling and the drilling characteristics in a crown glass with a high CTE of  $94 \times 10^{-7}$  /K and a low MP of 724 °C using a shortpulse  $CO<sub>2</sub>$  laser with a flat-top beam was investigated. The short laser pulse consisted of a spike pulse with a pulse width of 276 ns, a pulse tail with a length of 56.9 µs and an energy ratio of a spike pulse to a pulse tail of 1:20 at a repetition rate of 200 Hz. The laser beam had a flat-top profile with a beam quality factor  $M^2$  of 13.5 and a diameter of 12.5 mm before a focusing lens. The flat-top beam was focused by the focusing lens with a focal length of 12.7 mm on the glass surface at a focus offset of -0.20 mm to +0.40 mm. The Rayleigh length was 188 μm. The flat-top beam produced conical holes at the focus offsets of -0.20 mm to +0.00 mm and produced cylindrical holes at the focus offsets of +0.20 mm to +0.40 mm. The drilling characteristics such as the dependence of a hole shape, a hole depth, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter and properties of a cylindrical hole on a focus offset, a fluence per single pulse and a total irradiation fluence were investigated.

#### 4.2.2 Experimental set-up

Fig. 4.2-1 shows the glass drilling set-up with a longitudinally excited  $CO<sub>2</sub>$  laser that has an adjustable optical cavity for producing a flat-top beam [25,28,30,141]. The longitudinally excited  $CO<sub>2</sub>$  laser produced a short pulse with a flat-top beam at a repetition rate of 200 Hz and a wavelength of 10.6 µm. Fig. 4.2-2 shows the laser pulse waveform. In the short pulse, a spike pulse width was 276 ns, and a pulse tail length was 56.9  $\mu$ s. The energy ratio of a pulse spike to a pulse tail was 1:20. Fig. 4.2-3 shows a flat-top beam profile measured before the focusing lens. The flat-top beam had a diameter of 13.0 mm at  $1/e^2$  of the peak intensity and 12.5 mm at 50% of the peak intensity. The beam diameter was 13.2 mm at 10% of the peak intensity and 10.1 mm at 90% of the peak intensity. The ratio of the beam diameter at 90% of the peak intensity



Fig. 4.2-1 Glass drilling set-up with longitudinally excited  $CO<sub>2</sub>$  laser.



Fig. 4.2-2 Laser pulse waveform. Laser intensity was normalized to the maximum value of the spike pulse. (a) Overall waveform. (b) Magnified timescale of spike pulse.

to 10% of the peak intensity was 0.77. The correlation factor was 0.96 with a unit rectangular function. The estimated beam quality factor  $M^2$  was 13.5. A ZnSe aspherical focusing lens with a focal length of 12.7 mm was used to focus the laser beam. An NA was 0.49, a DOF was 376 μm and a Rayleigh length was 188 μm. Fig. 4.2-4 shows the spot diameter and the fluence per single pulse at the focus offsets of - 0.20 mm to +0.40 mm, where the minus sign and the plus sign indicate an in-focus and an out-of-focus offset, respectively. At the same focus offset with almost the same spot diameter, variable fluences were produced by changing the irradiation laser energy. In this study, a percussion drilling process in which a number of pulses were radiated at the same surface position of the sample glass, was used. A crown glass (Matsunami, S1127) with a high CTE of  $94.0 \times 10^{-7}$  /K, a low MP of 724°C and a thickness of 1150 μm was used as the sample. The sample was adjusted at the focus offsets of -0.20 mm to  $+0.40$  mm by an X-Y stage, as shown in Fig. 4.2-1.



Fig. 4.2-3 Flat-top beam profile.



Fig. 4.2-4 Dependence of the spot diameter and the fluence per single pulse on the focus offset. (a) Spot diameter. (b) Fluence per single pulse. Circle, square and triangle symbols represent fluences per pulse of  $31.0$  J/cm<sup>2</sup>,  $38.0$  J/cm<sup>2</sup> and  $46.8$  J/cm<sup>2</sup> at a focus offset of 0.00 mm.

#### 4.2.3 Results and discussions

#### 4.2.3.1 Investigation of cylindrical hole drilling

Fig. 4.2-5 shows top and side views of a sample glass irradiated by a flat-top beam at the focus offsets of -0.20 mm to +0.40 mm, a number of pulses of 30 and a repetition rate of 200 Hz. Fig. 4.2-5 shows that the hole images depended on the focus offset. The focus offsets of -0.20 mm to 0.00 mm produced a conical hole. The focus offsets of +0.20 mm to +0.40 mm produced a cylindrical hole. The recovered flat-top beam at the focus offset of +0.20 mm to +0.40 mm produced the cylindrical hole.



Fig. 4.2-5 Top and side views of a sample glass irradiated by a flat-top beam at the focus offsets of -0.20 mm to +0.40 mm, a number of pulses of 30 and a repetition rate of 200 Hz.

## 4.2.3.2 Investigation of the dependence of drilling characteristics on irradiation parameters

#### 4.2.3.2.1 Hole depth and hole volume

Fig. 4.2-6 (a) shows the dependence of the hole depth on the focus offset, the fluence per single pulse and the total irradiation fluence, which is the product of the number of pulses and the fluence per single pulse. The hole depth depended on the focus offset and the total irradiation fluence. The hole depth was maximum at the focus offset of 0.00 mm and decreased toward the focus offsets of -0.20 mm and +0.40. At the same focus offset, the hole depth increased with the total irradiation fluence and became saturated. Because, when the hole depth increased, the heat generation at the bottom of the hole decreased. Fig. 4.2-6 (b) shows the dependence of the hole depth with the respective focus offset distance on the focus offset, the fluence per single pulse and the total irradiation fluence. The Fig. 4.2-6 (b) shows that the summation of the hole depth with the respective focus offset distance had the almost same average saturation hole depth of 585 μm. For example, the saturation hole depth was 564 μm at the focus offset of 0.00 mm with the fluence per single pulse of 46.8 J/cm<sup>2</sup> and the total irradiation fluence of 4209 J/cm<sup>2</sup>, and the saturation hole depth was 577  $\mu$ m at the focus offset of  $+0.40$  mm with the fluence per single pulse of 3.7 J/cm<sup>2</sup> and the total irradiation fluence of 331 J/cm<sup>2</sup>. In addition, the total irradiation fluence that reached at the average saturation hole depth of 585  $\mu$ m was 2157 J/cm<sup>2</sup>, 2896 J/cm<sup>2</sup>, 3858 J/cm<sup>2</sup>, 2698 J/cm<sup>2</sup>, 1859 J/cm<sup>2</sup>, 915 J/cm<sup>2</sup> and 500 J/cm<sup>2</sup> at a focus offset of -0.20 mm, -0.10



Fig. 4.2-6 Dependence of the hole depth and the hole depth with respective focus offset distance on the focus offset, the fluence per single pulse and the total irradiation fluence. (a) Hole depth. (b) Hole depth with respective focus offset distance. (c) Estimated hole volume. Symbol colors white, green, red, yellow, black, blue and cyan represent focus offsets of -0.20 mm, -0.10 mm, 0.00 mm, +0.10 mm, +0.20 mm, +0.30 mm and +0.40 mm, respectively. Table 4.2-1 presents the legend of Fig. 4.2-6 (a), (b) and (c).

Table 4.2-1 Legend of Fig. 4.2-6 (a), (b) and (c). The irradiation diameter for circle, square and triangle symbols at each focus offset corresponds to Fig. 4.2-4 (a).

	$-0.20$ mm	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm	$+0.30$ mm	$+0.40$ mm
	Fluence per single pulse		Fluence per   Fluence per single pulse $\vert$ single pulse				
Circle	$17.1$ J/cm <sup>2</sup>	$25.3$ J/cm <sup>2</sup>	$31.0 \text{ J/cm}^2$	$22.1$ J/cm <sup>2</sup>	$12.8$ J/cm <sup>2</sup>	$7.2$ J/cm <sup>2</sup>	$3.7$ J/cm <sup>2</sup>
Square	$21.9$ J/cm <sup>2</sup>	30.1 J/cm <sup>2</sup>	38.0 J/cm <sup>2</sup>	$27.5$ J/cm <sup>2</sup>	$17.6$ J/cm <sup>2</sup>	9.4 J/cm <sup>2</sup>	5.0 J/cm <sup>2</sup>
Triangle	$26.1$ J/cm <sup>2</sup>	$35.1$ J/cm <sup>2</sup>	46.8 J/cm <sup>2</sup>	$32.7 \text{ J/cm}^2$	$22.5$ J/cm <sup>2</sup>	12.2 J/cm <sup>2</sup>	6.1 J/cm <sup>2</sup>

mm, 0.00 mm, +0.10 mm, +0.20 mm, +0.30 mm and +0.40 mm. Fig. 4.2-6 (c) shows the dependence of the estimated hole volume on the focus offset, the fluence per single pulse and the total irradiation fluence. The estimated hole volume depended on the focus offset and the total irradiation fluence. Table 4.2-2 and Table 4.2-3 show the slope of the Fig. 4.2-6 (a) and (c) that is the hole depth and the estimated hole volume per the total irradiation fluence. The hole depth and the estimated hole volume per the total irradiation fluence was influenced by the focus offset and the fluence per single pulse. The hole depth and the estimated hole volume per the total irradiation fluence was higher at the focus offset of  $+0.40$  mm than that at the focus offset of 0.00 mm. The hole depth and the estimated hole volume per the total irradiation fluence increased from the focus offset of 0.00 mm to the focus offset of -0.20 mm and +0.40 mm. A small fluence per single pulse results in a high drilling efficiency that is the same phenomena that was observed in Section 4.1.3.2.1 in Chapter 4.



	$-0.20$ mm	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm	$+0.30$ mm	$+0.40$ mm
	Hole depth/total irradiation fluence $(\mu m/J/cm^2)$	Hole depth/total irradiation fluence $\mu$ m/J/cm <sup>2</sup> )					
Circle	0.32	0.29	0.29	0.36	0.51	0.61	0.70
Square	0.27	0.25	0.24	0.28	0.37	0.51	0.51
Triangle	0.24	0.24	0.21	0.26	0.31	0.40	0.45

Table 4.2-3 Slope of the Fig. 4.2-6 (c).



## 4.2.3.2.2 Surface hole diameter and ratio of surface hole diameter to irradiation diameter

Fig. 4.2-7 (a) shows the dependence of the surface hole diameter on the focus offset, the fluence per single pulse and the total irradiation fluence. The surface hole diameter depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence. Fig. 4.2-7 (b) shows the dependence of the ratio of the surface hole diameter to the irradiation diameter on the focus offset, the fluence per single pulse and the total irradiation fluence. The ratio depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence. In the conical holes at the focus offsets of -0.20 mm to 0.00 mm, the ratio was 0.47 to 0.53; that is on an average 50% of the irradiation diameter contributed to the hole formation. In the cylindrical holes at the focus offsets of  $+0.20$  mm,  $+0.30$  mm and  $+0.40$  mm, the ratios were 0.60, 0.63 and 0.70, respectively. Therefore, in the cylindrical holes at an out-of-focus offset, the drilling conditions provided a high ratio of the surface hole diameter to the irradiation diameter. The ratio of the surface hole diameter to the irradiation diameter at the focus offset of 0.00 mm was lower than that at the focus offset of  $+0.20$  mm to  $+0.40$  mm. At the focus offsets of 0.00 mm to  $+0.20$ mm, the laser beam was estimated to be the gaussian-like beam that has a higher



Fig. 4.2-7 Dependence of the surface hole diameter and the ratio of the surface hole diameter to the irradiation diameter on the focus offset, the fluence per single pulse and the total irradiation fluence. (a) Surface hole diameter. (b) Ratio of the surface hole diameter to the irradiation diameter. Symbol colors white, green, red, yellow, black, blue and cyan represent focus offsets of  $-0.20$  mm,  $-0.10$  mm,  $0.00$  mm,  $+0.10$  mm,  $+0.20$  mm,  $+0.30$  mm and  $+0.40$  mm, respectively. Table 4.2-4 presents the legend of Fig. 4.2-7 (a) and (b).

	$-0.20$ mm	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm	$+0.30$ mm	$+0.40$ mm
	Fluence per single pulse	Fluence per	Fluence per single pulse single pulse				
Circle	$17.1$ J/cm <sup>2</sup>	$25.3$ J/cm <sup>2</sup>	$31.0 \text{ J/cm}^2$	$22.1$ J/cm <sup>2</sup>	$12.8 \text{ J/cm}^2$	$7.2$ J/cm <sup>2</sup>	$3.7$ J/cm <sup>2</sup>
Square	$21.9$ J/cm <sup>2</sup>	30.1 J/cm <sup>2</sup>	38.0 J/cm <sup>2</sup>	$27.5$ J/cm <sup>2</sup>	$17.6$ J/cm <sup>2</sup>	9.4 J/cm <sup>2</sup>	$5.0$ J/cm <sup>2</sup>
Triangle	$26.1$ J/cm <sup>2</sup>	35.1 J/cm <sup>2</sup>	46.8 J/cm <sup>2</sup>	$32.7 \text{ J/cm}^2$	$22.5$ J/cm <sup>2</sup>	12.2 $J/cm2$	6.1 J/cm <sup>2</sup>

Table 4.2-4 Legend of Fig. 4.2-7 (a) and (b). The irradiation diameter for circle, square and triangle symbols at the each focus offset corresponds to Fig. 4.2-4 (a).

intensity in the center compared to the edges. At the focus offsets of  $+0.20$  mm to  $+0.40$ mm, the laser beam reformed as the flat-top beam that has the absence of the low intensity edges. Therefore, the focus offsets of  $+0.20$  mm to  $+0.40$  mm produced a high ratio of the surface hole diameter to the irradiation diameter due to maybe the absence of the low intensity edges in the flat-top beam.

#### 4.2.3.2.3 Taper angle

Fig. 4.2-8 shows the dependence of the taper angle on the focus offset, the fluence per single pulse and the total irradiation fluence. The taper angle depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence. At the focus offset of  $+0.20$  mm the average taper angle was  $0.50^{\circ}$ ,



Fig. 4.2-8 Dependence of the taper angle on the focus offset, the fluence per single pulse and the total irradiation fluence. Symbol colors white, green, red, yellow, black, blue and cyan represent focus offsets of -0.20 mm, -0.10 mm, 0.00 mm, +0.10 mm, +0.20 mm, +0.30 mm and +0.40 mm, respectively. Table 4.2-5 presents the legend of Fig. 4.2-8.





and at the focus offsets of +0.30 mm and +0.40 mm the average taper angle was 0.003°. Therefore, the produced holes at the focus offsets of  $+0.20$  mm to  $+0.40$  mm were cylindrical holes.

## 4.2.3.2.4 Aspect ratio and surface hole diameter relation with hole depth in cylindrical hole

Fig. 4.2-9 (a) shows the dependence of the aspect ratio, defined as the ratio of the hole depth to the surface hole diameter, of a cylindrical hole on the focus offset, the fluence per single pulse and the total irradiation fluence. The aspect ratio depended on



Fig. 4.2-9 Dependence of the aspect ratio of a cylindrical hole on the focus offset, the fluence per single pulse and the total irradiation fluence and the relation between the hole depth and the surface hole diameter in a cylindrical hole. (a) Aspect ratio. (b) Hole depth vs. surface hole diameter of a cylindrical hole. Symbol colors black, blue and cyan represent focus offsets of  $+0.20$  mm,  $+0.30$  mm and  $+0.40$  mm, respectively. Table 4.2-6 presents the legend of Fig. 4.2-9 (a) and (b).

	$+0.20$ mm	$+0.30$ mm	$+0.40$ mm	
	Fluence per single pulse	Fluence per single pulse	Fluence per single pulse	
Circle	12.8 $J/cm2$	$7.2$ J/cm <sup>2</sup>	$3.7$ J/cm <sup>2</sup>	
Square	$17.6$ J/cm <sup>2</sup>	9.4 J/cm <sup>2</sup>	$5.0$ J/cm <sup>2</sup>	
Triangle	22.5 J/cm <sup>2</sup>	$12.2$ J/cm <sup>2</sup>	$6.1$ J/cm <sup>2</sup>	

Table 4.2-6 Legend of Fig. 4.2-9 (a) and (b). The irradiation diameter for circle, square and triangle symbols at each focus offset corresponds to Fig. 4.2-4 (a).

the focus offset and the total irradiation fluence. In this study, the aspect ratio was from 0.30 to 2.89. Fig. 4.2-9 (b) shows the relation between the hole depth and the surface hole diameter in a cylindrical hole at the focus offsets of  $+0.20$  mm to  $+0.40$  mm. The depth of the cylindrical hole decreased as the surface hole diameter increased. To control the surface hole diameter and the hole depth of a cylindrical hole, an investigation using a variable-NA system will be required.

## 4.2.3.2.5 Surface HAZ diameter and ratio of surface HAZ diameter to irradiation diameter

Fig. 4.2-10 (a) shows the dependence of the surface HAZ diameter on the focus offset, the fluence per single pulse and the total irradiation fluence. The surface HAZ diameter depended on the focus offset and the total irradiation fluence and did not depend on the fluence per single pulse. Fig. 4.2-10 (b) shows the dependence of the ratio of the surface HAZ diameter to the irradiation diameter on the focus offset, the fluence per single pulse and the total irradiation fluence. The ratio depended on the focus offset and the total irradiation fluence and did not depend on the fluence per single pulse. In the conical holes at the focus offsets of -0.20 mm to 0.00 mm, the ratio was 1.50 to 2.04. In the cylindrical holes at the focus offsets of  $+0.20$  mm to  $+0.40$  mm, the ratio was 0.86 to 1.42. The ratio of the surface HAZ diameter to the irradiation diameter at the focus offset of 0.00 mm was higher than that at the focus offsets of  $+0.20$  mm to  $+0.40$  mm due to the role of the beam profile at the focus offsets as described in Section 4.2.3.2.2.



Fig. 4.2-10 Dependence of the surface HAZ diameter and the ratio of the surface HAZ diameter to the irradiation diameter on the focus offset, the fluence per single pulse and the total irradiation fluence. (a) Surface HAZ diameter. (b) Ratio of surface HAZ diameter to irradiation diameter. Symbol colors white, green, red, yellow, black, blue and cyan represent focus offsets of -0.20 mm, -0.10 mm, 0.00 mm, +0.10 mm, +0.20 mm, +0.30 mm and +0.40 mm, respectively. Table 4.2-7 presents the legend of Fig. 4.2-10 (a) and (b).

	$-0.20$ mm <b>Contract</b>	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm	$+0.30$ mm	$+0.40$ mm
	Fluence per single pulse	Fluence per single pulse	Fluence per single pulse	Fluence per single pulse	Fluence per single pulse	Fluence per	Fluence per single pulse single pulse
Circle	$17.1$ J/cm <sup>2</sup>	$25.3$ J/cm <sup>2</sup>	$31.0$ J/cm <sup>2</sup>	22.1 J/cm <sup>2</sup>	$12.8$ J/cm <sup>2</sup>	$7.2$ J/cm <sup>2</sup>	$3.7$ J/cm <sup>2</sup>
Square	$21.9$ J/cm <sup>2</sup>	$30.1$ J/cm <sup>2</sup>	38.0 J/cm <sup>2</sup>	$27.5 \text{ J/cm}^2$	$17.6$ J/cm <sup>2</sup>	9.4 J/cm <sup>2</sup>	5.0 J/cm <sup>2</sup>
Triangle	$26.1$ J/cm <sup>2</sup>	$35.1$ J/cm <sup>2</sup>	46.8 J/cm <sup>2</sup>	$32.7 \text{ J/cm}^2$	22.5 J/cm <sup>2</sup>	12.2 J/cm <sup>2</sup>	$6.1$ J/cm <sup>2</sup>

Table 4.2-7 Legend of Fig. 4.2-10 (a) and (b). The irradiation diameter for circle, square and triangle symbols at each focus offset corresponds to Fig. 4.2-4 (a).

#### 4.2.4 Summary

In this experiment, cylindrical hole drilling in a crown glass with a high CTE of  $94 \times 10^{-7}$  /K and a low MP of 724 °C using a short-pulse CO<sub>2</sub> laser with a flat-top beam profile was investigated. The  $CO<sub>2</sub>$  laser produced a short laser pulse with a spike pulse width of 276 ns, a pulse tail length of 56.9  $\mu$ s, an energy ratio of a spike pulse to a pulse tail of 1:20 and a flat-top beam with a diameter of 12.5 mm and a beam quality factor  $M<sup>2</sup>$  of 13.5 at a repetition rate of 200 Hz. The CO<sub>2</sub> laser produced cylindrical holes in the glass at the focus offsets of  $+0.20$  mm to  $+0.40$  mm, corresponding to the out-offocus positions from the focal plane of a focusing lens with a focal length of 12.7 mm.

The Rayleigh length was 188 μm. In the cylindrical holes, the hole depth was 109 μm to 434 μm, the surface hole diameter was 152 μm to 366 μm, and the aspect ratio, defined as the ratio of the hole depth to the surface hole diameter, was 0.30 to 2.89. The hole depth depended on the focus offset and the total irradiation fluence. At the same focus offset, the hole depth increased with the total irradiation fluence and became saturated. The saturation hole depth did not depend on the total irradiation fluence and the average saturated hole depth with the respective focus offset distance was 585 μm. The taper angle and the ratio of the surface hole diameter to the irradiation diameter depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence. The ratio of the surface HAZ diameter to the irradiation diameter depended on the focus offset and the total irradiation fluence and did not depend on the fluence per single pulse.

## 4.3 Comparison of a crown glass drilling characteristics in a shortpulse  $CO<sub>2</sub>$  laser with two different beam profiles

#### 4.3.1 Introduction

In this experiment, the drilling characteristics of a crown glass with a high CTE of 94  $\times$  10<sup>-7</sup> /K and a low MP of 724 °C by a short-pulse CO<sub>2</sub> laser with a central-peakintensity beam and a flat-top beam were investigated. The  $CO<sub>2</sub>$  laser produced a short pulse with a spike pulse width of  $276$  ns, a pulse tail length of  $56.9 \mu s$ , an energy ratio of a spike pulse to a pulse tail of 1:20 and a central-peak-intensity beam or a flat-top beam at a repetition rate of 200 Hz. In the central-peak-intensity beam and the flat-top beam, a beam diameter before a focusing lens was 12.5 mm and a beam quality factor  $M<sup>2</sup>$  was 7.6 and 13.5, respectively. The laser beam was focused by a focusing lens with a focal length of 12.7 mm on the glass surface at the focus offsets of  $-0.20$  mm to  $+0.20$ mm. The central-peak-intensity beam produced conical holes at the focus offsets of - 0.20 mm to -0.10 mm and produced biconical holes with a wide waist diameter at the focus offsets of 0.00 mm to +0.20 mm. The flat-top beam produced conical holes at the focus offsets of -0.20 mm to +0.10 mm and produced a cylindrical hole at the focus offset of +0.20 mm. The drilling characteristics such as the dependence of a hole shape, a hole depth, a surface hole diameter, a ratio of a surface hole diameter to an irradiation diameter, a surface HAZ diameter, a ratio of a surface HAZ diameter to an irradiation diameter and properties of a biconical hole on a beam profile, a focus offset, a fluence per single pulse and a total irradiation fluence were investigated.

#### 4.3.2 Experimental set-up

Fig. 4.3-1 shows the glass drilling set-up with a longitudinally excited  $CO<sub>2</sub>$  laser with an adjustable optical cavity for producing a central-peak-intensity beam that is not a gaussian and a flat-top beam  $[25,28,30,141]$ . The longitudinally excited  $CO<sub>2</sub>$  laser produced a short pulse with a central-peak-intensity beam or a flat-top beam at a repetition rate of 200 Hz and a wavelength of 10.6 µm. Fig. 4.3-2 shows the laser pulse waveform. In the short pulse, a spike pulse width was 276 ns, and a pulse tail length was 56.9  $\mu$ s. The energy ratio of a spike pulse to a pulse tail was 1:20. Fig. 4.3-3 (a) and (b) show the central-peak-intensity beam and the flat-top beam profile measured before the focusing lens, respectively. The central-peak-intensity beam had a diameter



Fig. 4.3-1 Glass drilling set-up with longitudinally excited  $CO<sub>2</sub>$  laser.



Fig. 4.3-2 Laser pulse waveform. The laser intensity was normalized to the maximum value of the spike pulse. (a) Overall waveform. (b) Magnified timescale of spike pulse.



Fig. 4.3-3 Laser beam profiles. (a) Central-peak-intensity beam. (b) Flat-top beam.

of 12.5 mm at  $1/e^2$  that is at 13.5% of the peak intensity. The estimated beam quality factor M<sup>2</sup> was 7.60. The flat-top beam had a diameter of 13.0 mm at  $1/e^2$  of the peak intensity and 12.5 mm at 50% of the peak intensity. The beam diameter was 13.2 mm at 10% of the peak intensity and 10.1 mm at 90% of the peak intensity. The ratio of the beam diameter at 90% of the peak intensity to 10% of the peak intensity was 0.77. The

estimated beam quality factor  $M^2$  was 13.5. A ZnSe aspherical focusing lens with a focal length of 12.7 mm was used to focus the laser beam. An NA was 0.49. A DOF was 212 μm and 376 μm in the central-peak-intensity beam and the flat-top beam, respectively. A Rayleigh length was 106 μm and 188 μm in the central-peak-intensity beam and the flat-top beam, respectively.

Fig. 4.3-4 (a) and (b) show a spot diameter and a fluence per single pulse at the focus offsets of -0.20 mm to +0.20 mm in the central-peak-intensity beam and the flattop beam, respectively. At the same focus offset in the central-peak-intensity beam and the flat-top beam, the fluence per single pulse was controlled by changing the irradiation laser energy. In this study, a percussion drilling method was performed at a focus offset of  $-0.20$  mm to  $+0.20$  mm. A crown glass (Matsunami, S1127) with a CTE of 94  $\times$  10<sup>-7</sup> /K, an MP of 724<sup>o</sup>C and a thickness of 1150 um was used as the sample. The sample was adjusted at the focus offsets of  $-0.20$  mm to  $+0.20$  mm by an X-Y stage as shown in Fig. 4.3-1.



Fig. 4.3-4 Dependence of a spot diameter and a fluence per single pulse on a focus offset. Red and black symbols represent the central-peak-intensity beam and the flat-top beam, respectively. (a) Spot diameter. (b) Fluence per single pulse.

#### 4.3.3 Results and discussions

#### 4.3.3.1 Investigation of a hole shape

Fig. 4.3-5 (a) and (b) shows top and side views of a sample glass irradiated by the central-peak-intensity beam and the flat-top beam at the focus offsets of -0.20 mm to +0.20 mm, a number of pulses of 30 and a repetition rate of 200 Hz. Fig. 4.3-5 shows that the hole images depended on the focus offset and a beam profile. The incident



Fig. 4.3-5 Top and side views of a sample glass irradiated by the central-peak-intensity beam and the flat-top beam at focus offsets of -0.20 mm to +0.20 mm, a number of pulses of 30 and a repetition rate of 200 Hz. (a) Top and side views of a sample glass in the central-peak-intensity beam. (b) Top and side views of a sample glass in the Flat-top beam.

central-peak-intensity beam produced a conical hole at the focus offset of -0.20 mm to - 0.10 mm and produced a biconical hole with a wide waist diameter at the focus offset of 0.00 mm to +0.20 mm. The biconical hole was produced by the central-peakintensity beam irradiation with a short focal length of the focusing lens. The laser beam intensity may be absorbed by the side walls in the hole resulting a wide waist and the hole bottom got narrow down as the beam propagated inside the hole. The incident flattop beam produced a conical hole at a focus offset of -0.20 mm to +0.10 mm and produced a cylindrical hole at a focus offset of +0.20 mm. The cylindrical hole was produced by the recovered flat-top beam at the focus offset of +0.20 mm to +0.40 mm.

#### 4.3.3.2 Comparison of the dependence of drilling characteristics

#### 4.3.3.2.1 Hole depth and hole volume

Fig. 4.3-6 (a) and (b) show the dependence of the hole depth on the focus offset, the fluence per single pulse and the total irradiation fluence that is the product of the number of pulses and the fluence per single pulse in the central-peak-intensity beam and the flat-top beam, respectively. The hole depth depended on the focus offset and the total irradiation fluence and did not depend on the beam profile. At the same focus offset, the hole depth increased with the total irradiation fluence and became saturated. The reason for this is that, when the hole depth increased, the heat generation at the bottom of the hole decreased. The hole depth was maximum at the focus offset of 0.00 mm and decreased toward the focus offsets of -0.20 mm and +0.20. Fig. 4.3-6 (c) and (d) show the dependence of the estimated hole volume on the focus offset, the fluence per single pulse and the total irradiation fluence in the central-peak-intensity beam and the flat-top beam, respectively. The estimated hole volume depended on the focus offset and the total irradiation fluence. Table 4.3-2 and Table 4.3-3 show the slope of the Fig. 4.3-6 (a) and (b) and Fig. 4.3-6 (c) and (d) that is the hole depth and the estimated hole volume per the total irradiation fluence in the central-peak-intensity beam and the flat-top beam, respectively. The hole depth and the estimated hole volume per the total irradiation fluence was influenced by the focus offset and the fluence per single pulse. The hole depth and the estimated hole volume per the total irradiation fluence increased from the focus offset of  $0.00 \text{ mm}$  to the focus offset of -0.20 mm and +0.20 mm in the central-peak-intensity beam and the flat-top beam. The hole depth and the estimated hole volume per the total irradiation fluence was higher at the focus offset of +0.20 mm than that at the focus offset of 0.00 mm in the centralpeak-intensity beam and the flat-top beam. A small fluence per single pulse results in a high drilling efficiency that is the same phenomena that was observed in the previous experimented results described in Section 4.1.3.2.1 and Section 4.2.3.2.1.



Fig. 4.3-6 Dependence of the hole depth and the estimated hole volume on the beam profile, the focus offset, the fluence per single pulse and the total irradiation fluence. (a) and (b) Hole depth in the central-peak-intensity beam and the flat-top beam, respectively. (c) and (d) Estimated hole volume in the central-peak-intensity beam and the flat-top beam, respectively. Symbol colors purple, green, red, yellow and black represent focus offsets of -0.20 mm, -0.10 mm, 0.00 mm, +0.10 mm and +0.20 mm, respectively. Table 4.3-1 presents the legend of Fig. 4.3-6 (a) to (d).

		$-0.20$ mm	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm
		Fluence per single pulse				
Central-peak- intensity beam	Diamond	15.7 J/cm <sup>2</sup>	18.4 $J/cm2$	$31.9$ J/cm <sup>2</sup>	$21.7$ J/cm <sup>2</sup>	$12.9$ J/cm <sup>2</sup>
	Pentagon	$23.1$ J/cm <sup>2</sup>	23.3 $J/cm2$	39.1 $J/cm^2$	$28.3$ J/cm <sup>2</sup>	16.5 J/cm <sup>2</sup>
	<b>Star</b>	$26.5$ J/cm <sup>2</sup>	36.1 $J/cm2$	47.7 $J/cm2$	$32.1$ J/cm <sup>2</sup>	$21.2$ J/cm <sup>2</sup>
Flat-top beam	Circle	17.1 $J/cm2$	$25.3$ J/cm <sup>2</sup>	$31.0 \text{ J/cm}^2$	22.1 $J/cm2$	12.8 $J/cm2$
	Triangle	$21.9$ J/cm <sup>2</sup>	30.1 $J/cm2$	38.0 J/cm <sup>2</sup>	$27.5$ J/cm <sup>2</sup>	$17.6$ J/cm <sup>2</sup>
	Square	$26.1$ J/cm <sup>2</sup>	35.1 $J/cm2$	46.8 $J/cm2$	$32.7$ J/cm <sup>2</sup>	22.5 J/cm <sup>2</sup>

Table 4.3-1 Legend of Fig. 4.3-6 (a) to (d). The irradiation diameter for diamond, pentagon, star, circle, triangle and square symbols at each focus offset corresponds to Fig. 4.3-4 (a).

Table 4.3-2 Slopes of Fig. 4.3-6 (a) and (b).

		$-0.20$ mm	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm
		Hole depth/total irradiation fluence $(\mu m/J/cm^2)$				
Central-peak- intensity beam	Diamond	0.33	0.40	0.27	0.31	0.48
	Pentagon	0.24	0.36	0.24	0.30	0.38
	<b>Star</b>	0.24	0.22	0.19	0.27	0.32
Flat-top beam	Circle	0.32	0.29	0.29	0.36	0.51
	Triangle	0.27	0.25	0.24	0.28	0.37
	Square	0.24	0.24	0.21	0.26	0.31

		$-0.20$ mm	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm
		<b>Estimated hole</b> volume/total irradiation fluence $(\mu m^3/J/cm^2)$	Estimated hole volume/total irradiation fluence $(\mu m^3/J/cm^2)$	<b>Estimated</b> hole volume/total irradiation fluence $(\mu m^3/J/cm^2)$	Estimated hole Estimated hole volume/total irradiation fluence $(\mu m^3/J/cm^2)$	volume/total irradiation fluence $(\mu m^3/J/cm^2)$
Central-peak- intensity beam	Diamond	$0.72 \times 10^3$	$0.52 \times 10^3$	$0.75 \times 10^{3}$	$1.70 \times 10^{3}$	$6.69 \times 10^{3}$
	Pentagon	$0.52 \times 10^{3}$	$0.46 \times 10^{3}$	$0.69 \times 10^{3}$	$1.68 \times 10^{3}$	$4.41 \times 10^{3}$
	<b>Star</b>	$0.54 \times 10^{3}$	$0.28 \times 10^3$	$0.52 \times 10^3$	$1.65 \times 10^3$	$4.02 \times 10^{3}$
Flat-top beam	Circle	$1.54 \times 10^{3}$	$0.94 \times 10^3$	$0.56 \times 10^3$	$1.17 \times 10^3$	$9.93 \times 10^{3}$
	Triangle	$1.01 \times 10^{3}$	$0.65 \times 10^{3}$	$0.51 \times 10^{3}$	$1.00 \times 10^{3}$	$7.73 \times 10^{3}$
	Square	$0.92 \times 10^{3}$	$0.69 \times 10^{3}$	$0.42 \times 10^{3}$	$0.88 \times 10^{3}$	$5.86 \times 10^{3}$

Table 4.3-3 Slopes of Fig. 4.3-6 (c) and (d).

## 4.3.3.2.2 Surface hole diameter and ratio of surface hole diameter to irradiation diameter

Fig. 4.3-7 (a) and (b) show the dependence of the surface hole diameter on the focus offset, the fluence per single pulse and the total irradiation fluence in the centralpeak-intensity beam and the flat-top beam, respectively. The surface hole diameter depended on the beam profile and the focus offset, and did not depend on fluence per single pulse and the total irradiation fluence. For example, in the central-peak-intensity beam at a focus offset of 0.00 mm with a fluence per single pulse of 31.9 J/cm<sup>2</sup>, 39.1 J/cm<sup>2</sup> and 47.7 J/cm<sup>2</sup>, the average surface hole diameter was 55.0 μm, 58.4 μm and 56.1 μm, respectively. In a flat-top beam at a focus offset of 0.00 mm with a fluence per single pulse of 31.0 J/cm<sup>2</sup>, 38.0 J/cm<sup>2</sup>, and 46.7 J/cm<sup>2</sup>, the average surface hole diameter was 85.2 μm, 90.4 μm and 87.5 μm, respectively. The surface hole diameter by the central-peak-intensity beam was small because of the small irradiation diameter that is natural phenomena of laser drilling. Fig. 4.3-7 (c) and (d) show the dependence of the ratio of the surface hole diameter to the irradiation diameter on the focus offset, the fluence per single pulse and the total irradiation fluence in the central-peakintensity beam and the flat-top beam, respectively. The ratio did not depend on the fluence per single pulse and the total irradiation fluence. The ratio depended on the focus offset. At various irradiation conditions, at the focus offset of -0.20 mm to 0.00 mm in the central-peak-intensity beam and the flat-top beam, the average ratio was



Fig. 4.3-7 Dependence of the surface hole diameter and the ratio of the surface hole diameter to the irradiation diameter on the beam profile, the focus offset, the fluence per single pulse and the total irradiation fluence. (a) and (b) Surface hole diameter in the central-peak-intensity beam and the flat-top beam, respectively. (c) and (d) Ratio of the surface hole diameter to the irradiation diameter in the central-peak-intensity beam and the flat-top beam, respectively. Symbol colors purple, green, red, yellow and black represent focus offsets of -0.20 mm, -0.10 mm,  $0.00$  mm,  $+0.10$  mm and  $+0.20$  mm, respectively. Table 4.3-4 presents the legend of Fig. 4.3-7 (a) to (d).

		$-0.20$ mm	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm
		Fluence per single pulse				
Central-peak- intensity beam	Diamond	15.7 J/cm <sup>2</sup>	18.4 J/cm <sup>2</sup>	$31.9$ J/cm <sup>2</sup>	$21.7$ J/cm <sup>2</sup>	12.9 J/cm <sup>2</sup>
	Pentagon	$23.1$ J/cm <sup>2</sup>	23.3 $J/cm2$	39.1 $J/cm2$	$28.3$ J/cm <sup>2</sup>	$16.5$ J/cm <sup>2</sup>
	<b>Star</b>	$26.5$ J/cm <sup>2</sup>	$36.1$ J/cm <sup>2</sup>	47.7 $J/cm2$	$32.1$ J/cm <sup>2</sup>	$21.2$ J/cm <sup>2</sup>
Flat-top beam	Circle	17.1 $J/cm2$	25.3 $J/cm2$	$31.0 \text{ J/cm}^2$	22.1 $J/cm2$	12.8 $J/cm2$
	Triangle	$21.9$ J/cm <sup>2</sup>	30.1 $J/cm^2$	$38.0$ J/cm <sup>2</sup>	$27.5$ J/cm <sup>2</sup>	17.6 J/cm <sup>2</sup>
	Square	$26.1$ J/cm <sup>2</sup>	$35.1$ J/cm <sup>2</sup>	46.8 J/cm <sup>2</sup>	$32.7$ J/cm <sup>2</sup>	22.5 J/cm <sup>2</sup>

Table 4.3-4 Legend of Fig. 4.3-7 (a) to (d). The irradiation diameter for diamond, pentagon, star, circle, triangle and square symbols at each focus offset corresponds to Fig. 4.3-4 (a).

0.50 that is 50% of the irradiation diameter contributed to the hole creation. At the focus offset of +0.20 mm in the central-peak-intensity beam and the flat-top beam, the average ratio was 0.58 that is 58% of the irradiation diameter contributed to the hole creation. The ratio of the surface hole diameter to the irradiation diameter at the focus offset of  $0.00$  mm was lower than that at the focus offset of  $+0.20$  mm. At the focus offsets of 0.00 mm, the laser beams were estimated to be the gaussian-like beam that has a higher intensity in the center compared to the edges. At the focus offsets of  $+0.20$ mm, the flat-top beam reformed as the flat-top beam that has the absence of the low intensity edges. Therefore, the focus offsets of  $+0.20$  mm produced a high ratio of the surface hole diameter to the irradiation diameter due to maybe the absence of the low intensity edges in the flat-top beam.

## 4.3.3.2.3 Ratio of a waist diameter to surface hole diameter and ratio of a waist depth to hole depth in biconical hole

Fig. 4.3-8 (a) shows the dependence of the ratio of the waist diameter to the surface hole diameter on the focus offset, the fluence per single pulse and the total irradiation fluence in the biconical hole. The ratio of the waist diameter to the surface hole diameter was depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence. The average ratio of the waist diameter to the surface hole diameter was  $1.46$ ,  $1.32$  and  $1.17$  at the focus offset of 0.00 mm,  $+0.10$ mm and +0.20 mm, respectively. Fig. 4.3-8 (b) shows the dependence of the ratio of a waist depth to the hole depth on the focus offset, the fluence per single pulse and the total irradiation fluence. The ratio of the waist depth to the hole depth slightly depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence. The average ratio of the waist depth to the hole depth was 0.48, 0.51 and 0.55 at the focus offset of 0.00 mm,  $+0.10$  mm and  $+0.20$  mm, respectively that is the waist depth was almost at the half of the hole depth.



Fig. 4.3-8 Dependence of the ratio of a waist diameter to the surface hole diameter and the ratio of the waist depth to the hole depth in a biconical hole. (a) Ratio of the waist diameter to the surface hole diameter. (b) Ratio of the waist depth to the hole depth. Symbol colors red, yellow and black represent focus offsets of 0.00 mm, +0.10 mm and +0.20 mm, respectively. Table 4.3-5 presents the legend of Fig. 4.3-8 (a) and (b).

Table 4.3-5 Legend of Fig. 4.3-8 (a) and (b). The irradiation diameter for diamond, pentagon and star symbols at each focus offset corresponds to Fig. 4.3-4 (a).



### 4.3.3.2.4 Surface HAZ diameter and ratio of surface HAZ diameter to irradiation diameter

Fig. 4.3-9 (a) and (b) show the dependence of the surface HAZ diameter on the focus offset, the fluence per single pulse and the total irradiation fluence in the centralpeak-intensity beam and the flat-top beam, respectively. The surface HAZ diameter depended on the beam profile, the focus offset and the total irradiation fluence and did not depend on the fluence per single pulse. Fig. 4.3-9 (c) and (d) show the dependence of the ratio of the surface HAZ diameter to the irradiation diameter on the focus offset,



Fig. 4.3-9 Dependence of a ratio of a surface HAZ diameter to an irradiation diameter on a beam profile, a focus offset, a fluence per single pulse and a total irradiation fluence. (a) and (b) Surface HAZ diameter in the central-peak-intensity beam and the flat-top beam, respectively. (c) and (d) Ratio of a surface HAZ diameter to an irradiation diameter in the central-peakintensity beam and the flat-top beam, respectively. Symbol colors purple, green, red, yellow and black represent focus offsets of  $-0.20$  mm,  $-0.10$  mm,  $0.00$  mm,  $+0.10$  mm and  $+0.20$  mm, respectively. Table 4.3-6 presents the legend of Fig. 4.3-9 (a) to (d).

		$-0.20$ mm	$-0.10$ mm	$0.00$ mm	$+0.10$ mm	$+0.20$ mm
		Fluence per single pulse				
Central-peak- intensity beam	Diamond	15.7 J/cm <sup>2</sup>	18.4 J/cm <sup>2</sup>	$31.9$ J/cm <sup>2</sup>	$21.7$ J/cm <sup>2</sup>	$12.9$ J/cm <sup>2</sup>
	Pentagon	$23.1$ J/cm <sup>2</sup>	23.3 $J/cm2$	39.1 $J/cm2$	$28.3$ J/cm <sup>2</sup>	$16.5$ J/cm <sup>2</sup>
	<b>Star</b>	$26.5$ J/cm <sup>2</sup>	36.1 $J/cm^2$	47.7 $J/cm2$	$32.1$ J/cm <sup>2</sup>	$21.2$ J/cm <sup>2</sup>
Flat-top beam	Circle	17.1 $J/cm2$	25.3 $J/cm2$	$31.0 \text{ J/cm}^2$	22.1 $J/cm2$	12.8 $J/cm2$
	Triangle	$21.9$ J/cm <sup>2</sup>	30.1 $J/cm^2$	38.0 J/cm <sup>2</sup>	$27.5$ J/cm <sup>2</sup>	17.6 $J/cm2$
	Square	$26.1$ J/cm <sup>2</sup>	$35.1$ J/cm <sup>2</sup>	46.8 J/cm <sup>2</sup>	$32.7$ J/cm <sup>2</sup>	$22.5$ J/cm <sup>2</sup>

Table 4.3-6 Legend of Fig. 4.3-9 (a) to (d). The irradiation diameter for cross, pentagon, star, circle, triangle and square symbols at each focus offset corresponds to Fig. 4.3-4 (a).

the fluence per single pulse and the total irradiation fluence in the central-peakintensity beam and the flat-top beam, respectively. The ratio depended on the beam profile, the focus offset and the total irradiation fluence and did not depend on the fluence per single pulse. At the focus offsets of -0.20 mm to 0.00 mm, the ratio of a surface HAZ diameter to the irradiation diameter was smaller in the flat-top beam than the central-peak-intensity beam and at the focus offsets of  $+0.10$  mm and  $+0.20$  mm the ratio was almost the same. For example, at the focus offsets of 0.00 mm with a total irradiation fluence of about  $1000$  J/cm<sup>2</sup> the ratio was 1.92 and 1.67 in the central-peakintensity beam and the flat-top beam, respectively. At the focus offset of  $+0.20$  mm with a total irradiation fluence of about  $1000$  J/cm<sup>2</sup> the ratio was 1.10 and 1.16 in the central-peak-intensity beam and the flat-top beam, respectively. Therefore, at the focus offset of +0.20 mm the ratio of the surface HAZ diameter to the irradiation diameter was smaller compared to the focus offset of 0.00 mm. At the focus offsets of 0.00 mm, the laser beams were estimated to be the gaussian-like beam that has a higher intensity in the center compared to the edges. At the focus offsets of  $+0.20$  mm, the flat-top beam reformed as the flat-top beam that has the absence of the low intensity edges. Therefore, the focus offsets of +0.20 mm produced a small ratio of the surface HAZ diameter to the irradiation diameter due to maybe the absence of the low intensity edges in the flat-top beam. However, the central-peak-intensity beam also exhibited a small ratio of the surface HAZ diameter to the irradiation diameter at the focus offset of +0.20. Because in the central-peak-intensity beam, the peak intensity at the focus offset

of +0.20 mm is smaller and the size of the beam was broader than that at the focus offset of 0.00 mm.

#### 4.3.4 Summary

The drilling characteristics of a crown glass with a high CTE of  $94 \times 10^{-7}$  /K and a low MP of  $724^{\circ}$ C by a short-pulse  $CO<sub>2</sub>$  laser with a central-peak-intensity beam and a flat-top beam were investigated. The  $CO<sub>2</sub>$  laser produced a short laser pulse with a spike pulse width of 276 ns, a pulse tail length of 56.9 µs, an energy ratio of a spike pulse to a pulse tail of 1:20 and a laser beam of a central-peak-intensity beam or a flattop beam at a repetition rate of 200 Hz. In the central-peak-intensity beam and the flattop beam, a beam quality factor  $M^2$  was 7.6 and 13.5, respectively. The laser beam was focused by a focusing lens with a focal length of 12.7 mm on the glass surface at the focus offsets of -0.20 mm to +0.20 mm. The central-peak-intensity beam produced conical holes at the focus offsets of -0.20 mm to -0.10 mm and produced biconical holes with a wide waist diameter at the focus offsets of 0.00 mm to +0.20 mm. The flattop beam produced conical holes at the focus offsets of -0.20 mm to +0.10 mm and produced a cylindrical hole at the focus offset of +0.20 mm. The hole shape that is a conical hole, a biconical hole and a cylindrical hole formation depended on the beam profile and the focus offset. The hole depth depended on the focus offset and the total irradiation fluence. The ratio of the surface hole diameter to the irradiation diameter depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence. The ratio of the surface HAZ diameter to an irradiation diameter depended on the beam profile, the focus offset and the total irradiation fluence and did not depend on the fluence per single pulse. The ratio of the waist diameter to the surface hole diameter and the ratio of the waist depth to the hole depth depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence.

# CHAPTER 5

## Conclusion

## CHAPTER 5

## Conclusion

#### 5.1 Conclusion

In this thesis, a crown glass with a high CTE of  $94 \times 10^{-7}$  /K and a low MP of 724 $\rm{°C}$  was drilled by a short-pulse  $\rm{CO}_2$  laser with tunable laser parameters without an extra treatment that enabled a simple and cost-effective glass processing. Firstly, the objective was to find the required laser parameters to produce a crack-free hole and to investigate the dependence of the drilling characteristics on laser parameters. Secondly, the objective was to produce a cylindrical hole with an easy approach by using a flattop beam rather than the complex set-up. Lastly, the objective was to investigate drilling characteristics in two types of laser beam that is a central-peak-intensity beam and a flat-top beam. By finding the  $CO<sub>2</sub>$  laser parameters that affect the drilling characteristics of a crown glass, this thesis aims to improve the quality, efficiency, and cost-effectiveness of  $CO<sub>2</sub>$  laser drilling of glass. Furthermore, this thesis seeks to pave the way to produce various hole shapes such as a conical hole, a cylindrical hole and a biconical hole that is a critical requirement in many industrial applications.

Firstly, the required short-pulse  $CO<sub>2</sub>$  laser parameters that produced crack-free holes in a crown glass without an extra treatment in the processing area and the influence of the short-pulse CO<sub>2</sub> laser parameters on drilling characteristics was investigated. The short-pulse  $CO<sub>2</sub>$  laser had a spike pulse with a pulse width of 250 ns, a pulse tail length of 31.4 µs to 135 µs, an energy ratio of a spike pulse to a pulse tail of 1:7.1 to 1:92. A number of pulses was 20 to 50, a fluence per single pulse was 6.01 J/cm<sup>2</sup> to 37.9 J/cm<sup>2</sup>, a total irradiation fluence was 238 J/cm<sup>2</sup> to 1305 J/cm<sup>2</sup> and a repetition rate was 1 Hz to 400 Hz. The laser beam was a doughnut-like beam with a beam quality factor  $M^2$  of 3.4 to 7.9 at a repetition rate of 1 Hz to 50 Hz. The laser beam was a central-peak-intensity with large-shoulders beam with a beam quality factor  $M<sup>2</sup>$  of 3.1 to 6.7 at a repetition rate of 50 Hz to 400 Hz. The short laser pulses were irradiated by a focusing lens with a focal length of 38.1 mm at a focus offset of 0.00 mm. The Rayleigh length was 116 μm to 146 μm. The short laser pulses produced crack-free holes regardless of the energy of the pulse tail at a repetition rate of 150 Hz to 400 Hz. The short laser pulse irradiation realized a high drilling efficiency that is the

hole depth per the total irradiation fluence of 1.56  $\mu$ m/J/cm<sup>2</sup> in the laser pulse waveform with the energy ratio of a spike pulse to a pulse tail of 1:25, the fluence per single pulse of 11.9 J/cm<sup>2</sup>, the total irradiation fluence of 937 J/cm<sup>2</sup> and the repetition rate of 200 Hz. In this experiment with the restrictive conditions, the drilling efficiency that is the hole depth per the total irradiation fluence depended on the laser pulse waveform, the fluence per single pulse and the repetition rate. The ratio of a surface hole diameter to an irradiation diameter did not influence by the laser pulse waveform and the fluence per single pulse, and the ratio was initially increased then almost same with the total irradiation fluence. Additionally, the ratio showed that the surface hole diameter was on average 47.7% smaller than the irradiation diameter. To produce a small surface hole diameter an investigation using a high-quality gaussian beam and a high NA could be useful. For example, a  $CO<sub>2</sub>$  laser with a wavelength of 10.6  $\mu$ m, a high-quality gaussian beam with a beam quality factor  $M^2$  of 1.0, an f/D of 1.73 with a focal length f of 38.1 mm and a beam diameter D of 22.0 mm expected to give an irradiation diameter of 23.3  $\mu$ m, thus a hole diameter of 10.9  $\mu$ m can be possible to produce by direct  $CO<sub>2</sub>$  laser irradiation. In this experiment with the above irradiation conditions and with the low total irradiation fluence, the laser pulse waveform and the fluence per single pulse did not influence the ratio of HAZ diameter to an irradiation diameter although the ratio was increased with the total irradiation fluence that is a natural phenomenon.

Secondly, a cylindrical hole was realized in a crown glass with a high CTE of 94  $\times$  10<sup>-7</sup> /K and a low MP of 724<sup>o</sup>C using a short-pulse CO<sub>2</sub> laser with a flat-top beam profile. The  $CO<sub>2</sub>$  laser had a short laser pulse with a spike pulse width of 276 ns, a pulse tail length of 56.9 µs, an energy ratio of a spike pulse to a pulse tail of 1:20 and a flat-top beam with a diameter of 12.5 mm and a beam quality factor  $M^2$  of 13.5 at a repetition rate of 200 Hz. The flat-top beam was focused by the focusing lens with a focal length of 12.7 mm on the glass surface at the focus offsets of  $-0.20$  mm to  $+0.40$ mm. Cylindrical holes were produced in the glass at the focus of fisets of  $+0.20$  mm to +0.40 mm. The number of pulses were 30 to 90 and the total irradiation fluence was 110 J/cm<sup>2</sup> to 4209 J/cm<sup>2</sup>. The Rayleigh length was 188 μm. The cylindrical hole was produced by the recovered flat-top beam that was found after the Rayleigh length. In the cylindrical holes, the hole depth was 109 μm to 434 μm, the surface hole diameter was 152 μm to 366 μm, and the aspect ratio, defined as the ratio of the hole depth to the
surface hole diameter, was 0.30 to 2.89. The hole depth increased with the total irradiation fluence and became saturated. The saturation hole depth did not depend on the total irradiation fluence and the average saturated hole depth with the respective focus offset distance was 585 μm. To control the surface hole diameter and hole depth of a cylindrical hole, an investigation using a variable-NA system could be useful. The taper angle and the ratio of the surface hole diameter to the irradiation diameter depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence. The ratio of the surface HAZ diameter to the irradiation diameter depended on the focus offset and the total irradiation fluence and did not depend on the fluence per single pulse.

Lastly, a comparison of the drilling characteristics of a crown glass with a high CTE of 94  $\times$  10<sup>-7</sup> /K and a low MP of 724<sup>o</sup>C by a short-pulse CO<sub>2</sub> laser with a centralpeak-intensity beam and a flat-top beam were investigated. The CO<sub>2</sub> laser had a short laser pulse with a spike pulse width of 276 ns, a pulse tail length of 56.9 µs, an energy ratio of a spike pulse to a pulse tail of 1:20 and a laser beam of a central-peak-intensity beam or a flat-top beam at a repetition rate of 200 Hz. In the central-peak-intensity beam and the flat-top beam, a beam quality factor  $M^2$  was 7.6 and 13.5, respectively. The laser beam was focused by a focusing lens with a focal length of 12.7 mm on the glass surface at the focus offsets of  $-0.20$  mm to  $+0.20$  mm. The number of pulses were 30 to 90 and the total irradiation fluence was 383 J/cm<sup>2</sup> to 4296 J/cm<sup>2</sup>. The Rayleigh length was 106 μm and 188 μm at the central-peak-intensity beam and the flat-top beam, respectively. The central-peak-intensity beam produced conical holes at the focus offsets of -0.20 mm to -0.10 mm and produced biconical holes with a wide waist diameter at the focus offsets of 0.00 mm to +0.20 mm. The biconical hole was produced due to the central-peak-intensity beam irradiation with a short focal length of the focusing lens. The laser beam intensity may be absorbed by the side walls in the hole resulting a wide waist and the hole bottom got narrow down as the beam propagated inside the hole. To control the size of the biconical hole a variable-NA system could be useful. The flat-top beam produced conical holes at the focus offsets of  $-0.20$  mm to  $+0.10$  mm and produced a cylindrical hole at a focus offset of  $+0.20$  mm. The hole shape that is a conical hole, a cylindrical hole and a biconical hole depended on the beam profile and the focus offset. The hole depth depended on the focus offset and the total irradiation fluence. The ratio of the surface hole diameter to the irradiation

diameter depended on the focus offset and did not depend on the beam profile and the fluence per single pulse. The ratio of the surface HAZ diameter to the irradiation diameter depended on the beam profile, the focus offset and the total irradiation fluence and did not depend on the fluence per single pulse. The ratio of the waist diameter to the surface hole diameter and the ratio of the waist depth to the hole depth in the biconical hole depended on the focus offset and did not depend on the fluence per single pulse and the total irradiation fluence.

The summary of the findings is pointed out in the below Fig. 5.1-1. Crack-free various holes such as a conical hole, a biconical hole and a cylindrical hole drilling in a glass with a high CTE of 94  $\times$  10<sup>-7</sup> /K and a low MP of 724<sup>o</sup>C without an extra treatment was realized by a short-pulse  $CO<sub>2</sub>$  laser with tunable laser parameters. In these experiments, the hole shape that is the conical hole, the biconical hole and the cylindrical hole formation depended on the beam profile and the focus offset. The drilling efficiency was depended on the laser pulse waveform, the fluence per single pulse and the repetition rate.



Fig. 5.1-1 Summary of the key findings.

In the next works, a small hole diameter close to 10 μm will be investigated by a short-pulse  $CO<sub>2</sub>$  laser with a high-quality gaussian beam with a beam quality factor  $M<sup>2</sup>$ of 1.0 and a high NA of about 0.80. To reduce HAZ, an investigation by a tail-free short pulse and a controlled laser beam profile is required. To improve the work speed, an investigation by a short-pulse  $CO<sub>2</sub>$  laser at a repetition rate of 1 kHz is required. The short-pulse  $CO<sub>2</sub>$  laser parameters such as a high fluence per single pulse and a high total irradiation fluence will be considered. A CO<sub>2</sub> laser with an azimuthal and a radial beam polarization will also be considered to improve processing efficiency. Additionally, a glass with a CTE of  $5.5 \times 10^{-7}$  /K to  $94 \times 10^{-7}$  /K and an MP of 724 °C to  $1600^{\circ}$ C and a thickness of 10 μm to 5000 μm will be considered. The investigation of cutting and grooving is also important. In the future, by measuring the temperature at various points at the sample surface, a predictive model can be developed that can lead to develop an AI (Artificial intelligence) tool for a sustainable and simple laser-based processing for industrial applications.

### List of publications:

#### **Journal articles:**

- 1. M. E. Rahaman and K. Uno, "Crown glass drilling by short-Pulse  $CO<sub>2</sub>$  laser with tunable pulse tail," Lasers Manuf. Mater. Process., 9, 72-80, (2022).
- 2. M. E. Rahaman and K. Uno, "Drilling of cylindrical holes in Crown glass by a short-pulse flat-top  $CO<sub>2</sub>$  laser beam," Laser Phys., 33, 096004, (2023).

## Conference Presentations:

- 1. M. E. Rahaman and K. Uno, "Drilling characteristics of glass with large thermal expansion coefficient by short-pulse  $CO<sub>2</sub>$  laser," SLPC2022 (The 4<sup>th</sup> Smart Laser Processing Conference), SLPC5-04, Pacifico Yokohama, Kanagawa, Japan, April 20 (2022).
- 2. M. E. Rahaman and K. Uno, "Crack-free crown-glass drilling by short-pulse CO<sub>2</sub> laser", COLA2021/2022 (16<sup>th</sup> International Conference on Laser Ablation), P-71-Tu, Kunibiki Messe, Matsue, Japan, April 26 (2022).

#### List of Abbreviations:

AI (Artificial intelligence) CW (Continuous wave) CTE (Thermal expansion coefficient) DOF (Depth of focus) FHG (Fourth harmonic generation) HAZ (Heat affected zone) LCD (Liquid crystal display) LG (Laguerre-Gaussian) MP (Melting point) MEMS (Microelectromechanical systems) NA (Numerical aperture) PDMS (Polydimethylsiloxane) RF (Radio frequency) SHG (Second harmonic generation) TE (Transversely excited) TEA (Transversely excited atmospheric) TEM (Transverse electromagnetic) TFT (Thin film transistor) THG (Third harmonic generation) UV (Ultraviolet)

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