

**Research on Oxygen Evolution Catalysts with High  
Performances and Low Noble Metal Amounts for  
Polymer Electrolyte Membrane Water Electrolysis**

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## **List of Abbreviations**

$\delta$	... The mole fraction of oxygen deficiencies
<i>acac</i>	... Acetylacetonate
<i>AEMWE</i>	... Anion exchange membrane water electrolyzer
<i>AP</i>	... As-prepared
<i>AWE</i>	... Alkaline water electrolyzer
<i>BET</i>	... Brunauer-Emmett-Teller adsorption method
<i>BP</i>	... The British petroleum
<i>CCL</i>	... Current collector layer
<i>CCM</i>	... Catalyst coated membrane
<i>CFE</i>	... Channel flow electrode
<i>CL</i>	... Catalyst layer
<i>COP24</i>	... The 24th climate change conference of the parties
<i>FC</i>	... Fuel cell
<i>fcc</i>	... Face-centered cubic
<i>FIB</i>	... Focused ion beam
<i>FY</i>	... Fiscal year
<i>GDL</i>	... Gas diffusion layer
<i>HER</i>	... Hydrogen evolution reaction
<i>HHV</i>	... Higher heat value
<i>I/S</i>	... Volume ratio of ionomer to supports
<i>ICP-MS</i>	... Inductively coupled plasma mass spectroscopy
<i>ICP-OES</i>	... Inductively coupled plasma optical emission spectroscopy
<i>IEE</i>	... The institute of energy economics
<i>IPCC</i>	... The intergovernmental panel on climate change

<i>IRENA</i>	... The international renewable energy agency
<i>LHV</i>	... Lower heat value
<i>LSCF</i>	... LaSrCoFeO <sub>3</sub>
<i>LSM</i>	... LaSrMnO <sub>3</sub>
<i>LSV</i>	... Linear sweep voltammetry
<i>MEA</i>	... Membrane-electrode assembly
<i>METI</i>	... The ministry of economy, trade and industry
<i>OER</i>	... Oxygen evolution reaction
<i>PEFC</i>	... Polymer electrolyte fuel cell
<i>PEMWE</i>	... Proton exchange membrane water electrolyzer
<i>PFSA</i>	... Perfluorosulfonic acid
<i>RHE</i>	... Reversible hydrogen electrode
<i>SEM</i>	... Scanning electron microscopy
<i>SIM</i>	... Scanning ion microscopy
<i>SOEC</i>	... Solid oxide electrolysis cell
<i>SOFC</i>	... Solid oxide fuel cell
<i>S-S</i>	... Start-up and shut-down
<i>TEM</i>	... Transmission electron microscopy
<i>UNFCCC</i>	... The United Nations framework convention on climate change
<i>XPS</i>	... X-ray photoelectron spectroscopy
<i>XRD</i>	... X-ray diffraction method
<i>YSZ</i>	... Y <sub>2</sub> O <sub>3</sub> stabilized ZrO <sub>2</sub>

## *Chapter 1: General Introduction*

### **1.1 Background**

Because of the drastic improvement of science technologies since the industrial revolution and the explosive increase in the world population (over 7.5 billion in 2018), the energy consumption have been increasing continuously in decades. We've been relying on the primary energy resources largely, particularly the fossil energy that are used as coal, oil, and natural gas principally [1]. However, the concentration of greenhouse gases such as CO<sub>2</sub>, CH<sub>4</sub>, and NO<sub>x</sub> in atmosphere in the world has been increased due to the large consumption of fossil fuels, leading to serious environmental issues such as global warming and the pollution of air, soil, and ocean [2]. As an approach of the international framework to reduce greenhouse gas emissions, adaptation, and finance, Paris Agreement was adopted within UNFCCC in 2015 [3]. This long-term goal is to maintain the increase in the average temperature to below 2°C compared to pre-industrial levels, and to limit the increase to 1.5°C. 184 nations participating in UNFCCC have become parties to this agreement as of November 2018.

In Japan, the total greenhouse gas emission was the 5th largest among the world [4], and we have set the goal as the decrease in 26% until FY2030 compared to those of FY2013. At present, the primary energy supply in Japan has been produced dominantly by fossil fuels: as high as 89% since the fatal accident of the Fukushima Daiichi Nuclear Power Plant by the Great East Japan Earthquake in 2011. Considerable amount of fossil fuels such as natural gas, oil, and coal, etc. have been imported for the power generation [5]. The domestically supplying energies converts partially into materials derived from fossil fuels such as kerosene, gasoline, petroleum products, whereas they are also utilized as electric power, which amount accounts for a percentage of 43% within the energy

supply [6]. The electricity is the secondary energy, which is the most convenient for general use, while a large decrease of CO<sub>2</sub> emission is quite difficult by conventional thermal power plants. If we get thermal-power-derived electricity from the combustion of fuels, the efficiency is low because it is limited by Carnot efficiency, e.g., the efficiency of conventional thermal power plants that consume a large amount of natural gas or coal is about 40%, and the remaining 60% of heat is wasted on the earth. Unlike thermal power plants that are subjected to thermal engine restrictions, fuel cells (FCs) can convert the chemical energy from hydrogen into the electric power with high conversion efficiency [7]. The theoretical efficiency of FCs by the use of pure hydrogen is 83% based on higher heat value (HHV), when and liquid water is produced. Since it is ca. twice that of a conventional power plant, the fuel required would reduce to half and the CO<sub>2</sub> emission would be halved. Of course, the power generation efficiency in actual FCs decreases due to the overvoltage loss. However, by utilizing the waste heat as a form of hot water in co-generation systems, the overall efficiency is as high as 93% based on lower heat value (LHV) for residential FCs.

In order to establish the low-carbon and ultimately CO<sub>2</sub>-free society combined with FCs independently from the thermal power generation, the use of renewable energy sources is favored, such as hydro-, geothermal-, wind-, and solar-powers. The renewable capacities have been increasing in worldwide year by year [8]. In Japan, the amount of the hydroelectric generating capacity was almost constant because large-scale generation involving the pumped-storage method has been already well-developed, whereas those from wind and solar powers are significantly increasing [9]. However, the power output from such renewable resources is intermittent in nature, i.e., wind power systems with rotation of turbines depend largely on the wind velocity, and photovoltaic cells cannot generate electricity in night. In addition, the locations suitable for renewable power

generation are not always close to those with power demands. To match the energy supply with the demand, an efficient energy storage system is necessary to achieve the effective utilization of large-scale renewable electricity.

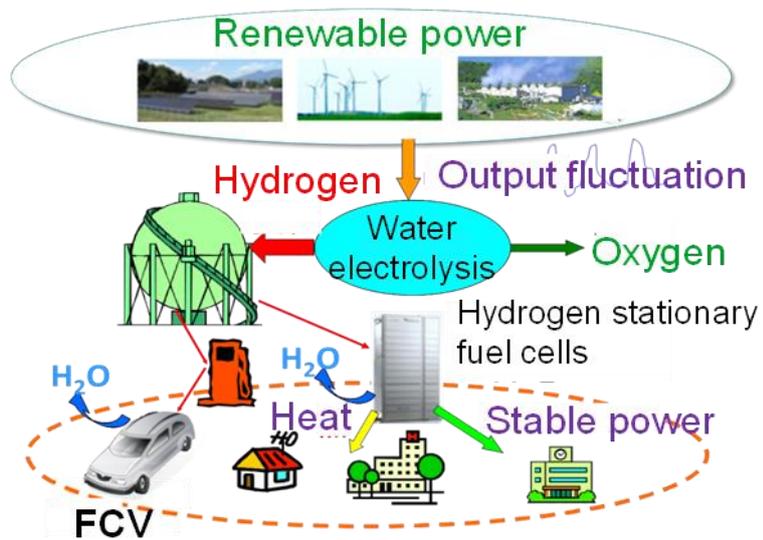
Hydrogen is received attention as an attractive energy carrier with high energy density, which is one of fuels for FCs. Hydrogen can be obtained by various methods, industrialized production methods of the reformation of hydrocarbons: Such as naphtha, city gas, and methanol, the thermochemical water splitting reaction via the iodine-sulfur process with high temperature  $\sim 1000^{\circ}\text{C}$ , as well as involving by-product from brine electrolysis, are commonly used for mass production of hydrogen [10–12]. The water electrolysis, where electricity is used to split water into oxygen and hydrogen, will also play an important role, because it has the excellent advantages as follows [11,13]:

- 1) High purity  $\text{H}_2$  ( $\geq 99.99\%$ ) can be generated by one-step reaction directly converted from water and electricity.
- 2) On-site production of  $\text{H}_2$  is possible; it is convenient for industries such as semiconductor fabrication, ammonia production, oil refinery, etc.

As shown in **Figure 1-1**, the concept of hydrogen energy society is one of the key strategies in our country to solve the energy and environmental issues. The Ministry of Economy, Trade, and Industries (METI) of Japan announced a “Hydrogen-Fuel Cell Strategic Roadmap” in 2014 to realize the hydrogen society by industrial-academic-government cooperation as follows [14]:

- |          |                                                                                                                     |
|----------|---------------------------------------------------------------------------------------------------------------------|
| Phase 1) | Development of domestic power-to-gas systems for renewable hydrogen supply                                          |
| Phase 2) | Development of international hydrogen supply chains with utilizing unused energy and renewable energy from overseas |
| Phase 3) | Establishment of $\text{CO}_2$ -free hydrogen supply systems (brown coal                                            |

combined with carbon dioxide capture and storage methods utilizing renewable energy)

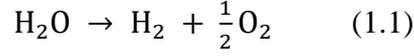


**Figure 1-1.** A schematic image of hydrogen energy society constructed by the combination with fuel cells (FCs) and electrolysis.

## **1.2 Water electrolysis**

### *1.2.1 Thermodynamics*

Water electrolysis reaction is written by:



According to the thermodynamics, the total energy required for the electrolysis, reaction enthalpy ( $\Delta H$ ), is the sum of the Gibbs free energy (electrochemical work;  $\Delta G$ ) and the heat energy ( $\Delta Q = T\Delta S$ ), where  $\Delta S$  is the change in entropy of the reaction as following equations:

$$\Delta H = \Delta G + T\Delta S \quad (1.2)$$

For the electrolysis of liquid water at 298 K under the standard conditions (activities of  $\text{H}_2\text{O}$ ,  $\text{H}_2$ , and  $\text{O}_2$  are unity: pure  $\text{H}_2\text{O}$  and 101.3 kPa of gases),  $\Delta H^\circ = 286 \text{ kJ mol}^{-1}$ ,  $\Delta G^\circ = 237 \text{ kJ mol}^{-1}$ , and  $\Delta S^\circ = 163 \text{ J mol}^{-1} \text{ K}^{-1}$ .  $\Delta G^\circ$  represents the minimum amount of electrical energy required for the water electrolysis reaction. The reversible standard voltage for the electrolysis ( $U_{\text{rev}}^\circ$ ) is calculated by the following equation;

$$U_{\text{rev}}^\circ = \frac{\Delta G^\circ}{2F} = 1.23 \text{ V (at 298 K)} \quad (1.3)$$

where  $F$  is the Faraday constant. The entropic heat energy  $T\Delta S^\circ$  is usually supplied either internally (Joule heat in the electrolyzer) or externally (from a heat source). In contrast, the thermoneutral standard voltage for electrolysis ( $U_{\text{th}}^\circ$ ) is calculated as follows:

$$U_{\text{th}}^\circ = \frac{\Delta H^\circ}{2F} = 1.48 \text{ V} \quad (1.4)$$

The standard values of  $\Delta H$  and  $\Delta S$  at any temperature ( $\Delta H_T$  and  $\Delta S_T$ , respectively) can be calculated by the use of the heat capacity at constant pressure ( $C_p$ ):

$$\Delta H_T^\circ = \Delta H_{298}^\circ + \int_{298}^T C_p dT \quad (1.5)$$

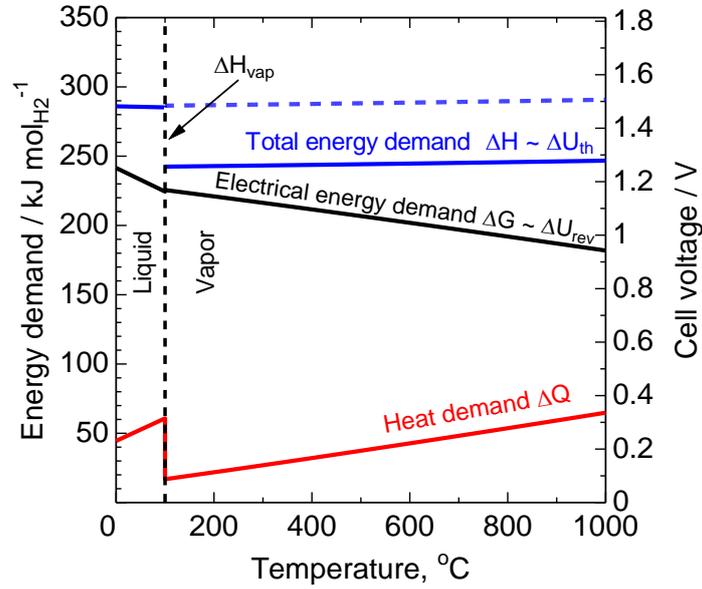
$$\Delta S_T^\circ = \Delta S_{298}^\circ + \int_{298}^T \frac{C_p}{T} dT \quad (1.6)$$

For the case of water vapor, the value of  $\Delta H^\circ$  is reduced by the latent heat of vaporization of water ( $\Delta H_{\text{vap}}$ , 44 kJ mol<sup>-1</sup> at 298K). Thus, the value of  $\Delta H^\circ[\text{H}_2\text{O}(l)] = 286$  kJ mol<sup>-1</sup> at 298 K is referred to HHV, while  $\Delta H^\circ[\text{H}_2\text{O}(g)] = 242$  kJ mol<sup>-1</sup> is called as LHV.

The temperature dependences for  $U_{\text{th}}$  and  $U_{\text{rev}}$  are shown in **Figure 1-2**. The change in  $U_{\text{th}}$  with temperature is relatively small, while  $U_{\text{rev}}$  is reduced with temperature due to the increase in the term of  $T\Delta S^\circ (> 0)$ . Therefore, a high temperature steam electrolysis (so-called, solid oxide electrolysis cell; SOEC) is favorable with respect to the reduced electric energy required ( $U_{\text{rev}}$ ) with an assist of heat energy. An effect of the pressure in the electrolyzer on the  $U_{\text{rev}}$  can be calculated by the substituting the Nernst equation;

$$U_{\text{rev}} = U_{\text{rev}}^\circ + \frac{2.303RT}{2F} \log \frac{P_{\text{H}_2} \sqrt{P_{\text{O}_2}}}{a_{\text{H}_2\text{O}}} \quad (1.7)$$

where  $R$  is the universal gas constant.



**Figure 1-2.** The values of total ( $\Delta H$ ), thermal ( $\Delta Q$ ), and electrical ( $\Delta G$ ) energies, as well as cell voltages ( $U_{th}$  and  $U_{rev}$ ) calculated from  $\Delta H$  and  $\Delta G$ , respectively, for an ideal electrolysis process as function of the temperature at a constant pressure.

In the actual cells, the cell potential ( $E_{cell}$ ) is larger than the thermodynamic value  $U_{rev}$  due to several overpotentials (or polarizations):  $E_{cell}$  is the sum of  $U_{rev}$  and the overpotentials caused by ohmic resistance ( $\eta_{ohm}$ ), activation overpotential ( $\eta_{act}$ ) and mass transport (concentration overpotential,  $\eta_{conc}$ ):

$$E_{cell} = U_{rev} + \eta_{ohm} + \eta_{act} + \eta_{conc} \quad (1.8)$$

The voltage efficiency ( $\varepsilon_v$ ) in a practical electrolyzer is calculated as follows:

$$\varepsilon_v = \frac{U_{th}}{E_{cell}} \quad (1.9)$$

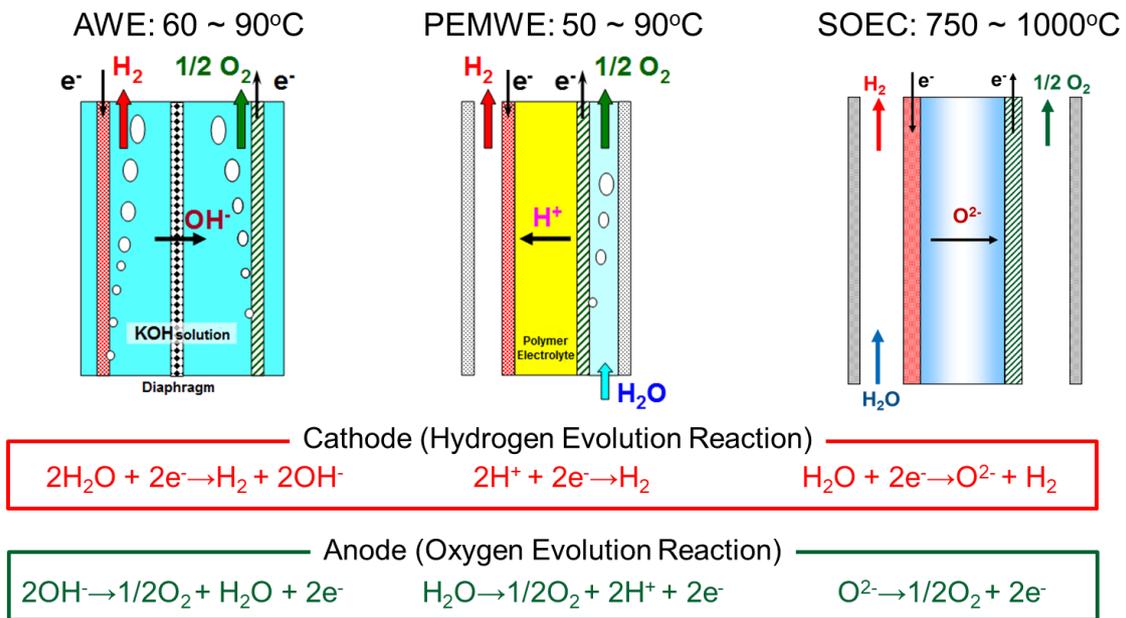
The current efficiency ( $\varepsilon_F$ ; Faradaic efficiency) is defined as the ratio of generated amount of gas ( $H_2$ ) to the theoretical one calculated based on the Faraday's law. Finally the energy conversion efficiency of the electrolyzer ( $\varepsilon_{electro}$ ) is the product of  $\varepsilon_v$  and  $\varepsilon_F$ , which is the

ratio of the theoretical energy  $\Delta H^\circ$  to the energy consumed actually:

$$\varepsilon_{\text{electro}} = \varepsilon_v \times \varepsilon_F \quad (1.10)$$

### 1.2.2 Kinetics

In this section, properties of three major water electrolyzers are described briefly. Operation principles of alkaline water electrolyzer (AWE), proton-exchange membrane water electrolyzer (PEMWE), and solid oxide electrolysis cell (SOEC) are illustrated in **Figure 1-3**. Their main characteristics are summarized in **Table 1-1**.



**Figure 1-3.** Schematic images, typical operation temperature, and reaction formula for three representative electrolyzers.

**Table 1-1.** Main characteristics of three representative electrolyzers.

Cell type	Alkaline [15,16]	PEMWE [17,18]	SOEC [19,20]
Efficiency of the electrolyzer, $\epsilon_{\text{electro}}$	~ 80%	~ 80%	~ 100%
Current density ( $\text{A cm}^{-2}$ )	~ 0.4	~ 3.0	~ 1.0
Cathode	Ni, Fe, Ni-coated steel	Pt black, Pt/C	Ni-YSZ
Anode	expanded Ni-plated steel, Ni-coated steel, Ni-Co oxides, perovskite-type oxides	Ir, IrO <sub>2</sub> , Pt/Ir, RuO <sub>2</sub> , (Ir,Ru)O <sub>2</sub> , (Ir,Sn)O <sub>2</sub>	LSM, LSM-YSZ, LSCF
Electrolyte	20~40wt% KOH solution	Perfluorosulfonic acid (PFSA) membrane	YSZ

### 1.2.2.1 Alkaline Water Electrolyzer (AWE)

Since the electrolysis phenomenon was discovered in 1789 [18], AWEs have been commercialized and operated as a well matured technology for hydrogen production up to the MW in the world. The AWE by the use of 20 to 40 wt% KOH electrolyte solution is the most-established technology among various water electrolyzers [15,21]. Advantages of AWEs are cost effectiveness due to the use of non-precious metal (or metal oxide) catalysts and low temperature operation (usually  $< 100^{\circ}\text{C}$ ). In contrast, because  $\text{H}_2$  and  $\text{O}_2$  gas evolution takes place from the cathode and anode into KOH solution to disturb the ionic conduction, the current density should be moderate and an appropriate diaphragm is necessary to separate gases from two electrodes (**Figure 1-3**). However, it is difficult for the diaphragm to suppress the cross-diffusing of gases completely, leading to a mixing of oxygen and hydrogen, which reduces apparent Faradaic efficiency [15]. Recently, an anion exchange membrane water electrolyzer (AEMWE) has been investigated. Even at a laboratory scale, an AEMWE has been operated to mitigate disadvantages of AWE described above [16]. The major problems for AEMWE have been reported to be low activities of electrocatalysts [16,22-24].

### 1.2.2.2 Proton-Exchange Membrane Water Electrolyzer (PEMWE)

To overcome the drawbacks of AWE, a solid polymer membrane with very thin (100-200  $\mu\text{m}$ ) as an efficient separator have been investigated in the field of brine electrolysis in 1950's [25]. In addition, another approach was designed to press electrodes on each side of the membrane to reduce ohmic potential loss in the electrolyte (similar to the catalyst coated membrane; see section 1.3). This design has also been applied in systems using the reverse reaction to PEFCs and high-temperature water electrolysis technology, in which deionized water in the form of liquid or steam can be used as a reactant. The first PEMWE was invented based on the use of a solid polymer (perfluorosulfonic acid; PFSA) as an electrolyte and a gas separator in the 1960's [17,18,21]. Such membrane properties also open up the possibility to carry out the electrolysis at elevated pressure or even in a type of electrochemical compressor with a minimized risk of explosive gas mixtures forming [18,25]. It leads that the produced hydrogen in pressurized vessels can be stored practicably, and the external mechanical hydrogen compressor can be down-sized or eliminated [26], while it has the possibility of the formation of an explosive mixture at high pressure due to cross-over of producing hydrogen in an extreme case [25]. Thicker membranes are required to minimize this negative aspect, leading to increased ohmic losses of the cell. An operating pressure of up to 150 bars has been achieved on a commercial basis [18,25].

In the early era for non-pressurized PEMWE systems, the presenting performances was low with a high potential of 1.88 V at 1 A  $\text{cm}^{-2}$  and 80°C [18]. However, it has been improved to 1.75 V at a similar condition in 2000's, furthermore more recently it was reported that the operation of 3 A  $\text{cm}^{-2}$  at ~1.8 V in the institute [18,21,25,27]. In terms of the durability, a high current density of 1 A  $\text{cm}^{-2}$  at 80°C and 15,000 hours (up to 1.75 V) operation was achieved in industrial PEMWE in 1990's [25], and recently, the

commercialized PEMWE was developed by several groups up to the operation at  $\sim 2 \text{ A cm}^{-2}$  ( $\sim 2 \text{ V}$ ) and 20,000-60,000 hours [18,21]. This advantage of PEMWE is superlative among the water electrolysers at present.

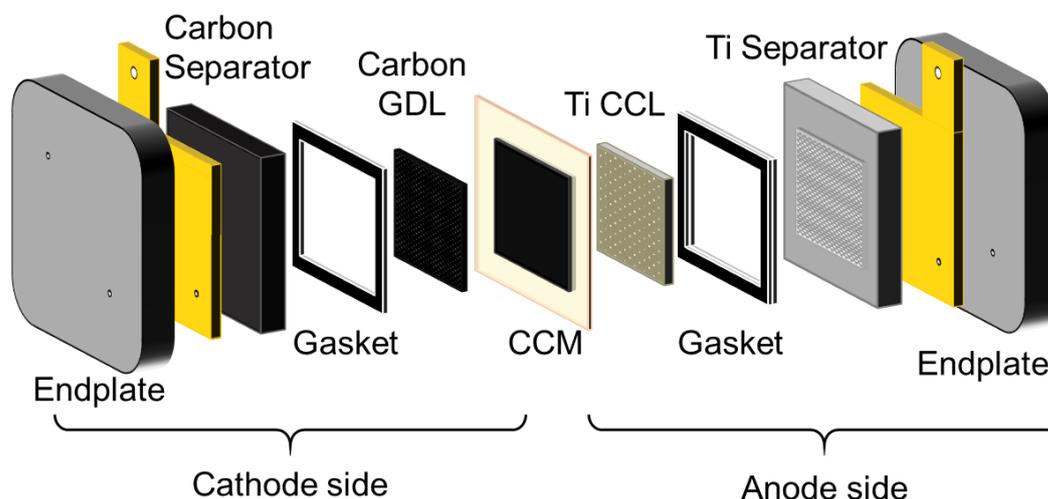
Nevertheless, a big drawback of conventional PEMWEs are concerned, that is very expensive because the selection of electrodes and the current collector layer (CCL) has been limited because of the strongly acidic environment and high potential operation at the anode. For instance, the use as electrode reaction catalysts is confined to noble metal based electrocatalysts for the state-of-the-art in this field. This is related to the motivation of this work (see section **1.3**).

### 1.2.2.3 Solid Oxide Electrolysis Cell (SOEC)

In the 1980s, the first to report was resulted from a SOEC using a supported tubular electrolyte [18]. The operation temperature of SOECs was mostly 900 to 1000°C, but it has been lowered to ca. 800 or 700°C recently for the reduction of both degradation rates of component materials and fabrication costs as well as utilization of various waste heat sources [19–21]. Due to the high temperature operation compared with AWE and PEMWE, SOECs are expected to provide the highest efficiency of electrolytic hydrogen production, because the  $E_{\text{cell}}$  can be reduced due to favorable thermodynamic and kinetic conditions, therefore non-noble metals can be used for catalysts in SOECs. In addition, when SOECs are operated reversely, they can work as solid oxide fuel cells SOFCs to generate electricity with a high efficiency by consuming stored hydrogen or fossil fuels. However, due to high temperature operation, the start-up and shut-down (S-S) cycles of SOECs take long time, and frequent S-S cycles are avoided to suppress the degradation due to thermal cycles [19–21].

### 1.3 Component Materials and Issues of PEMWE

In this work, PEMWE have been selected because it has the following advantages; ease of maintenance and the compact system design compared to AWE, with moderate electrolysis efficiency ( $\epsilon_{\text{electro}}$ ,  $\sim 80\%$ ) even at high current density operation ( $\sim 3 \text{ A cm}^{-2}$ ) by the use of a PFSA or a hydrocarbon based membrane compared to the other electrolyzers as described in section 1.2.2.2. **Figure 1-4** shows the schematic diagram of a practical PEMWE cell. The center part which the electrocatalysts sprayed on the both sides of a membrane (so-called catalyst coated membrane; CCM) is sandwiched by CCLs. A CCL plays roles in current collector, as well as diffuse liquid or gases ( $\text{H}_2$  at the cathode,  $\text{H}_2\text{O}$  and  $\text{O}_2$  at the anode), thus it is also named the gas diffusion layer (GDL). Such a structure of the single cell is called as a membrane-electrode assembly (MEA). A MEA is usually further sandwiched by separators with flow channels. Gaskets are used to avoid to leak of gases.



**Figure 1-4.** Schematic images of components in a practical PEMWE cell (a single cell).

As stated in section 1.2.2.2, the main drawback of PEMWE is its cost. It was reported that the average capital cost of a hydrogen station of PEMWE is predicted  $>200,000$  yen  $\text{kW}^{-1}$  [21,26,27]. The cost of stacks accounts for a percentage  $>50\%$  in one system, in addition the breakdown of stacks as follows; separators ( $\sim 50\%$ ), MEAs ( $\sim 30\%$ ), and the balance and/or manufacturing ( $\sim 20\%$ ) [26,27]. For separators at the anode, titanium plates coated with precious metals (platinum or gold) have been used. This reduces the corrosion rate but costs because of the coating step and titanium base itself. At the cathode, carbon based materials have been used frequently as same as the polymer electrolyte fuel cell (PEFC) field, however, it is also expensive. A paper was published that was related to industrial designs in terms of the separators in stacks for the cost reductions [27]. For MEAs, it has been addressed that concerns regard with the high costs of electrocatalysts were based on Ir and Pt black with high metal loading ( $\geq 2 \text{ mg}_{\text{Ir+Pt}} \text{ cm}^{-2}$  in each electrode) as far [18]. Moreover, if hydrogen is used as the major energy carrier supplied by PEMWE for the large-scale (GW~TW level) combined with renewable energies, it is expected to be required of 25% utilization of Ir production rate in the world (use of  $2 \text{ mg}_{\text{Ir}} \text{ cm}^{-2}$  at  $4 \text{ W cm}^{-2}$  operation) [27]. Therefore, almost all interest to the electrocatalyst tends to the reduction of the noble metal amounts. To solve the catalyst problem, one possible approach is to use nano-sized catalysts highly dispersed on support materials in place of noble metal blacks with large particle sizes.

Catalyst developments for PEMWE using liquid acid electrolytes were already reported for both cathode (hydrogen evolution reaction; HER) and anode (oxygen evolution reaction; OER) in bulk states. It was clarified that when single elements were used alone, the both reactions were essentially dependent on noble elements for the sake of efficiency. For the HER, it was found that the order of catalytic activities in 0.1 M  $\text{H}_2\text{SO}_4$  was  $\text{Pt} \sim \text{Pd} > \text{Rh} > \text{Ir} > \text{Ru} > \text{Ni}$  [28]. For the OER, the order for metals was Ru

> Ir > Pd > Rh > Pt (for oxides: RuO<sub>2</sub> > IrO<sub>2</sub> > PtO<sub>2</sub> > Co<sub>3</sub>O<sub>4</sub>) [28–30]. In the recent years, Pt-alloy electrocatalysts on the cathode have been worked [31,32], while the large efforts have been focused on the anode, because the overpotential at an anode is commonly much larger than that at a cathode. The large overpotential will lead to lower the efficiency in PEMWE, which is associated with the sluggish kinetics and complicated reaction mechanisms in OER processes [33,34]. Indeed the Ru-based catalyst, especially RuO<sub>2</sub> was discovered to exhibit much lower oxygen overpotentials than any other materials tested, however, a major drawback with RuO<sub>2</sub> is that it corrodes at high potential in oxygen evolution range [35]. It has been also reported in nanoparticles, that the order OER activity was RuO<sub>x</sub> > IrO<sub>x</sub> > PtO<sub>x</sub>, while the order for OER stability was IrO<sub>x</sub> > PtO<sub>x</sub> > RuO<sub>x</sub> [36]. Therefore Ir or IrO<sub>x</sub> have been considered as promising nanoscaled OER catalysts, as well as some works have been reported with respect to the Ir-Ru oxide based OER catalysts to utilize the high OER kinetics of RuO<sub>2</sub> [37–39].

## **1.4 Project Objective**

To reduce the amount of noble metals effectively, it is typically proposed that they can be mixed with the other robust metal oxides such as catalyst supports. For the support material at the anode, high durability at the high potentials of the OER under acidic conditions is required. Carbon based supports, which have been commonly used in PEFCs, cannot be used due to the severe corrosion at such high potentials [40,41]. Various carbides and oxides have been examined as supports for noble metal catalysts at the OER; such as titanium carbides [42], silicon carbides [43,44], tantalum oxides [45], tin oxides [46,47], niobium oxides [48,49], titanium oxides [50], and manganese oxides [51]. Considering the stability at high oxygen-evolving potentials in strong acidic media and the need for high electronic conductivity, doped tin oxides have been reported as promising candidates as support materials [52,53]. Indeed, thin films and bulk powders of SnO<sub>2</sub> doped with Sb, Nb, Ta, In, and F have exhibited electronic conductivities  $\geq 0.1$  S cm<sup>-1</sup>, which are sufficiently high for consideration as catalyst supports [54,55].

Recently, researchers in my laboratory succeeded in synthesizing corrosion-resistant SnO<sub>2</sub> supports, doped with Sb, Nb or Ta, with a fused-aggregate network structure for the cathode catalysts of PEFCs [56–59]. These supports have unique features in terms of enhanced electrical conductivity and gas diffusivity, similar to the characteristics of typical carbon black supports. For example, Pt catalysts highly dispersed on such SnO<sub>2</sub> supports exhibited high durability at high potentials, up to 1.5 V vs. the reversible hydrogen electrode (RHE), simulating the S-S cycling of PEFCs [56–60].

In this dissertation, it is reported that iridium based OER catalysts supported on doped tin oxides with a fused-aggregate network structure toward high performances for PEMWE. I have challenged to demonstrate the feasibility of their use as new anode

catalysts, which can reduce the amount of noble metal to 1/10 compared to conventional cells with maintaining  $\varepsilon_v$  of 90% at  $1 \text{ A cm}^{-2}$ .

In *Chapter 2*, I have synthesized two iridium based catalysts supported on niobium doped tin oxides; Ir-Pt binary and  $\text{IrO}_x$  nanoparticles. The former was used to investigate an Ir-Pt binary effect on the OER activities in an acidic electrolyte solution, and the latter was prepared to develop the iridium oxide nanoparticles with high noble metal loading catalysts.

In *Chapter 3*, iridium based electrocatalysts highly-dispersed on doped tin oxides were examined for polarization performances on OER not only in the acidic electrolyte solution, but also in a practical PEMWE cells with the Ir loading of a level as low as  $0.1 \text{ mg}_{\text{Ir}} \text{ cm}^{-2}$ . It was found that the results in regard with OER activities in an acidic electrolyte solution was different from that of the practical PEMWE cells for synthesized electrocatalysts.

In *Chapter 4*, to improve the stability for practical PEMWE cells comparable with the conventional catalyst, I have focused on the interfaces between iridium based nanoparticles and doped tin oxides. By additional heat-treatment of  $\text{N}_2$ , the interfacial solid solution, which would enhance the interaction between iridium oxide and doped tin oxide, was formed partially.

Finally I summarize the results of all chapters, and propose the guidelines in *Chapter 5* for “Research on Oxygen Evolution Catalysts with High Performances and Low Noble Metal Amounts for Polymer Electrolyte Membrane Water Electrolysis” entitled in this dissertation.

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## ***Chapter 2: Development of Highly Dispersed Iridium Based Nanocatalysts on Doped Tin Oxides***

### **2.1 Introduction**

As the first approach to reduce the amount of noble metals with maintaining high voltage efficiency ( $\varepsilon_v$ ), an effect of composition of Ir-Pt binary catalysts on the OER activities has been examined in an acidic electrolyte solution. IrO<sub>2</sub> and Pt black powders have been used as conventional catalysts for the oxygen evolution reaction (OER) in the PEMWE. The OER activities of Ir-Pt binary or Ir-Pt-Ru ternary systems have been investigated by several groups [1–5], and it has been reported that the OER activity might be enhanced due to the Ir-Pt alloy effect [5].

In *Chapter 2*, new OER catalysts were prepared by dispersing Ir-Pt binary nanoparticles on Nb-SnO<sub>2</sub> support with a fused-aggregated structure by the use of a nanocapsule method as well as a colloidal method. In my laboratory, Pt or Pt-alloy nanoparticles have been uniformly dispersed on carbon or oxide supports with well-controlled size and composition by the nanocapsule method [6,7]. This is because the all metal precursors (Pt and alloying components) were confined within the limited reaction space in reverse micelles (nanocapsule), followed by the simultaneous reduction by a strong reducing agent. Characteristics of Ir-Pt/Nb-SnO<sub>2</sub> are shown in this chapter.

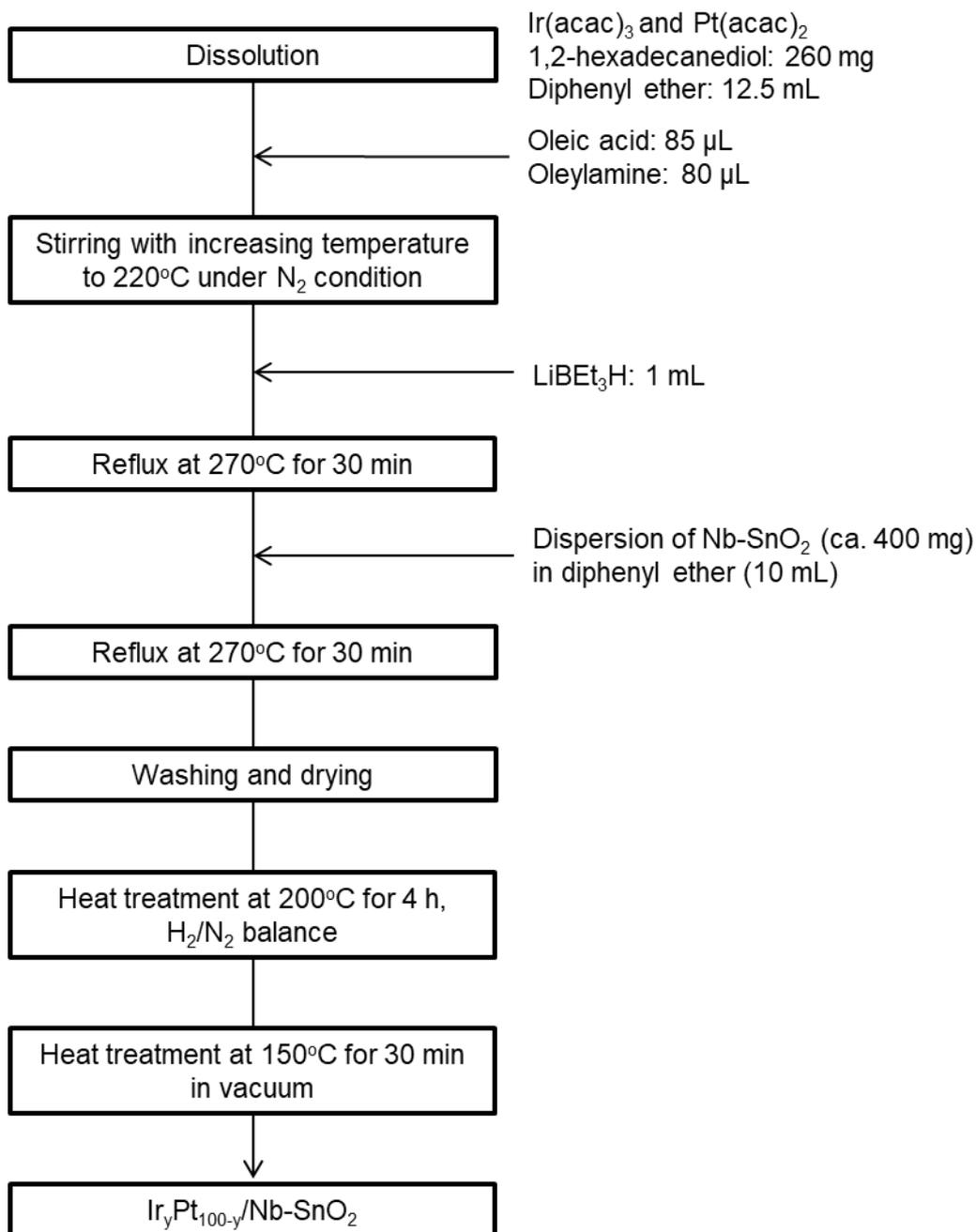
In addition, a colloidal method in aqueous media was used to develop the highly-dispersed iridium oxide (IrO<sub>x</sub>) nanoparticles with high noble metal loading catalysts, because hydrated/oxidized iridium might be affinitive electrostatically with tin oxides during the oxidative reaction, due to the good hydrophilicity of Nb-SnO<sub>2</sub> support compared to the graphitized carbon support [8].

## **2.2 Experimental Methods**

### *2.2.1 Synthesis*

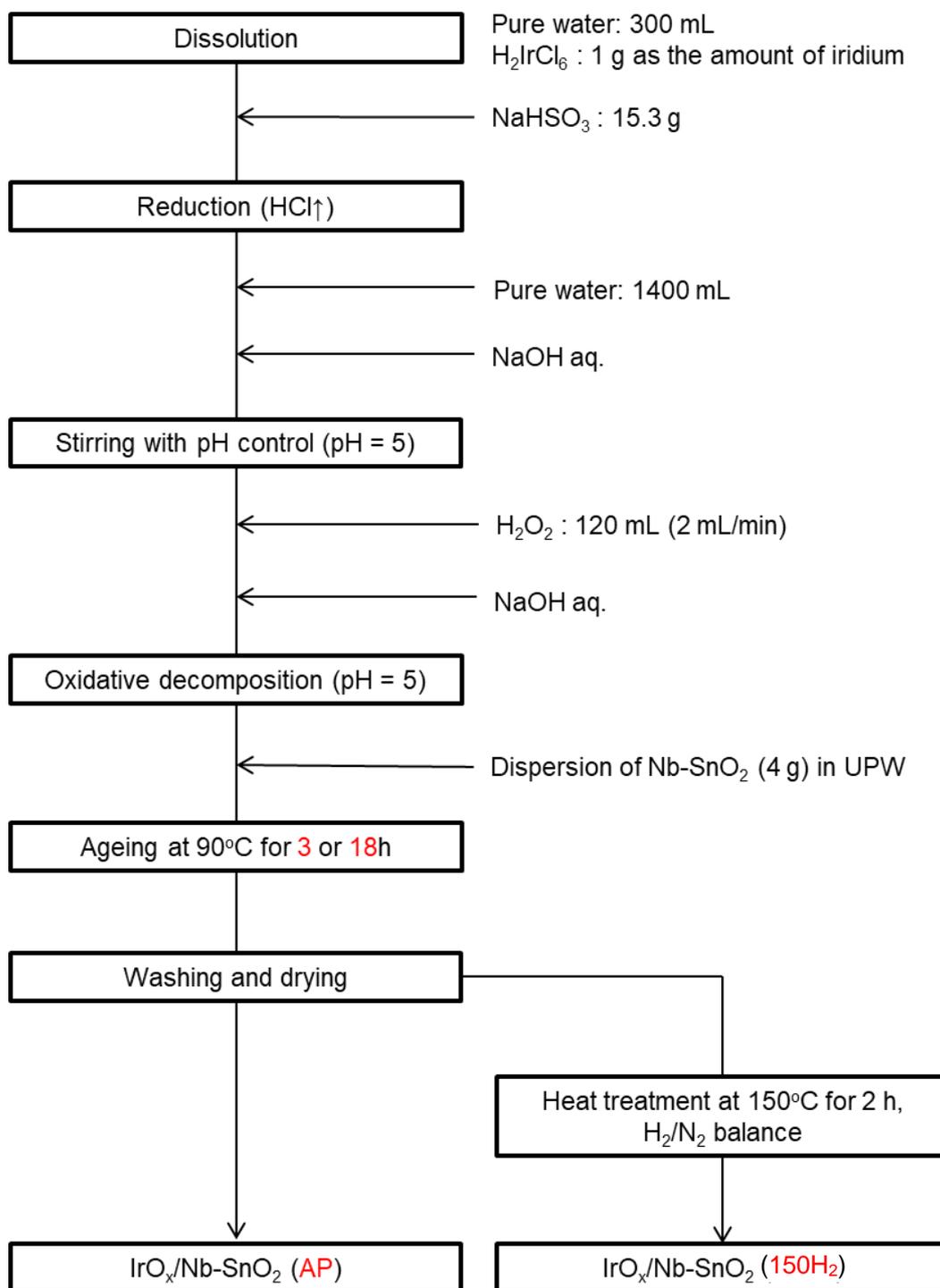
The Nb-SnO<sub>2</sub> support with the fused-aggregate network structure was prepared by the flame pyrolysis method [9,10]. The projected composition was Sn<sub>0.96</sub>Nb<sub>0.04</sub>O<sub>2-δ</sub>, where δ is the mole fraction of oxygen deficiencies, corresponding to that with the highest electronic conductivity. The resulting oxides were heat-treated at 800°C for 2 h in air using a rotary kiln furnace.

Ir-Pt binary nanoparticles were loaded on the Nb-SnO<sub>2</sub> support (Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub>) by the nanocapsule method [6,7] as shown in **Scheme 2-1**. Ir(acac)<sub>3</sub> and Pt(acac)<sub>2</sub> were used as the precursors, and the solvent used was diphenyl ether. The projected value of total metal loading on Nb-SnO<sub>2</sub> was 20 wt%, and the molar ratio of metal salt(s) to surfactant (oleylamine and oleic acid) was adjusted at 1.0 for all samples. Nb-SnO<sub>2</sub> support dispersed in diphenyl ether was added after the reduction reaction step. The product was filtered and washed with ethanol several times. The black powders thus obtained were heated at 200°C for 4 h in 5% H<sub>2</sub>/N<sub>2</sub> balance atmosphere and at 150°C for 30 min in vacuum. This method of heat treatments was similar manner to the Pt/Nb-SnO<sub>2</sub> system [7].



**Scheme 2-1.** Synthesis of Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub> binary catalyst by the nanocapsule method.

Oxidized iridium nanoparticles loaded on the Nb-SnO<sub>2</sub> support (IrO<sub>x</sub>/Nb-SnO<sub>2</sub>) were prepared by a colloidal method [9,11] as shown in **Scheme 2-2**. The projected value of iridium metal loading on Nb-SnO<sub>2</sub> was 20 wt%. H<sub>2</sub>IrCl<sub>6</sub> was dissolved in pure water (18.2 MΩ, Milli-Q, Millipore Japan Co.). Powdered NaHSO<sub>3</sub> was added to H<sub>2</sub>IrCl<sub>6</sub> aqueous solution as the reducing agent, accompanied by the evolution of HCl, probably due to the formation of sulfite [11]. With maintaining the pH of the solution at ca. 5.0 by an addition of NaOH solution (5 wt%), H<sub>2</sub>O<sub>2</sub> solution (used as received, ca. 30% in concentration) as an oxidant was added dropwise with 2 mL min<sup>-1</sup> to the solution. The Nb-SnO<sub>2</sub> support was dispersed in pure water, followed by mixing with the IrO<sub>x</sub> colloidal solution. The temperature of the dispersion was then increased to 90°C and maintained for 3 or 18 h with stirring (ageing). The suspension was filtered and washed with pure water to remove chlorides thoroughly. The blue powder thus obtained was dried at 60°C in an oven, denoted as IrO<sub>x</sub>/Nb-SnO<sub>2</sub> (AP) catalyst, while IrO<sub>x</sub>/Nb-SnO<sub>2</sub> (150H<sub>2</sub>) catalyst (black powder) was additionally prepared by a heat treatment at 150°C for 2 h under 5% H<sub>2</sub>/N<sub>2</sub> balance atmosphere. The temperature of 150°C was set to be same as the Pt/Nb-SnO<sub>2</sub> and Pt/Sb-SnO<sub>2</sub> catalysts in PEFC [8,9].



**Scheme 2-2.** Synthesis of IrO<sub>x</sub>/Nb-SnO<sub>2</sub> catalyst by the colloidal method.

### 2.2.2 *Measurements of Physical Properties*

The surface areas of the Nb-SnO<sub>2</sub> support was measured by Brunauer-Emmett-Teller (BET) method equipped with N<sub>2</sub> adsorption system (BELSORP-mini, Nippon BEL Co.). Crystallographic structures and crystallite sizes of the samples were analyzed by X-ray diffraction (XRD; Ultima IV, Rigaku Co.) with Cu-K $\alpha$  radiation (40 kV, 40 mA). The samples were also characterized by a transmission electron microscope (TEM; H-9500, Hitachi High-Technologies Co.) with an acceleration voltage of 200 kV and an emission current of  $\sim 0.4$   $\mu$ A. The average diameter and size distributions of the loaded nanoparticles were estimated from ca. 300 particles in more than six TEM images with  $150 \times 150$  nm areas.

The amount of Ir metal in IrO<sub>x</sub>/Nb-SnO<sub>2</sub> catalyst was quantitatively analyzed by the use of inductively coupled plasma methods with optical emission spectroscopy (ICP-OES; iCAP6300Duo, Thermo Fisher Scientific K.K.) or mass spectroscopy (ICP-MS; 7500CX, Agilent Technologies Inc.) after pretreatment by the alkaline carbonate-fusion method. This pretreatment was used in order to dissolve the catalyst completely, including IrO<sub>x</sub> nanoparticles and tin oxide supports. To estimate the content of Ir<sup>(IV)</sup>, the electronic states of iridium in the IrO<sub>x</sub>/M-SnO<sub>2</sub> were characterized by X-ray photoelectron spectroscopy (XPS; JPS-9010, JEOL Co., Ltd.) with Mg-K $\alpha$  radiation (10 kV, 30 mA).

### 2.2.3 Electrochemical Measurements in Electrolyte Solution (Half-Cell)

The electrochemical properties of the  $\text{Ir}_y\text{Pt}_{100-y}/\text{Nb-SnO}_2$  and  $\text{IrO}_x/\text{Nb-SnO}_2$  catalysts in 0.1 M  $\text{HClO}_4$  electrolyte solution was examined by the use of a channel flow electrode cell (CFE; a half-cell method) [12,13]. The working electrode was contained of the catalyst dispersed uniformly on an Au substrate (flow direction length 1 mm  $\times$  width 4 mm) with a ca. two-monolayer height of the Nb-SnO<sub>2</sub> support particles, assuming the average diameter of the support was 30 nm (ca. 42  $\mu\text{g cm}^{-2}$ ). The amount of Nb-SnO<sub>2</sub> support in  $\text{Ir}_y\text{Pt}_{100-y}/\text{Nb-SnO}_2$  catalyst could be determined by the subtraction of those of Ir and Pt from 100 wt% quantified by ICP, whereas in case of  $\text{IrO}_x/\text{Nb-SnO}_2$ , it was calculated by the subtraction of that of  $\text{IrO}_x$  analyzed by combination with ICP and XPS (see **Appendix 2-1**). Then a Nafion<sup>®</sup> solution was pipetted onto the catalyst layer to yield an average film thickness of 0.1  $\mu\text{m}$ , followed by heat treatment at 130°C for 30 min in air as same as the method in PEFC [13]. A platinum mesh was used as the counter electrode, and a reversible hydrogen electrode (RHE) was used as the reference electrode. All electrode potentials in **Chapter 2** referred to the RHE.

The electrolyte solution of 0.1 M  $\text{HClO}_4$  was purified in advance by conventional pre-electrolysis in order to avoid the influence of impurities [14]. With the use of a potentiostat (HA1010mM8, Hokuto Denko), the OER activity of each catalyst was examined by linear sweep voltammetry (LSV) at a sweep rate of 10  $\text{mV s}^{-1}$  in circulated 0.1 M  $\text{HClO}_4$  at 80°C. The AC impedance of the electrolyte solution was measured at 0.8 V by a frequency response analyzer (SI 1287 with SI 1260, Solartron Analytical) with a modulation amplitude of 10 mV in the frequency range from 10 kHz to 1 Hz.

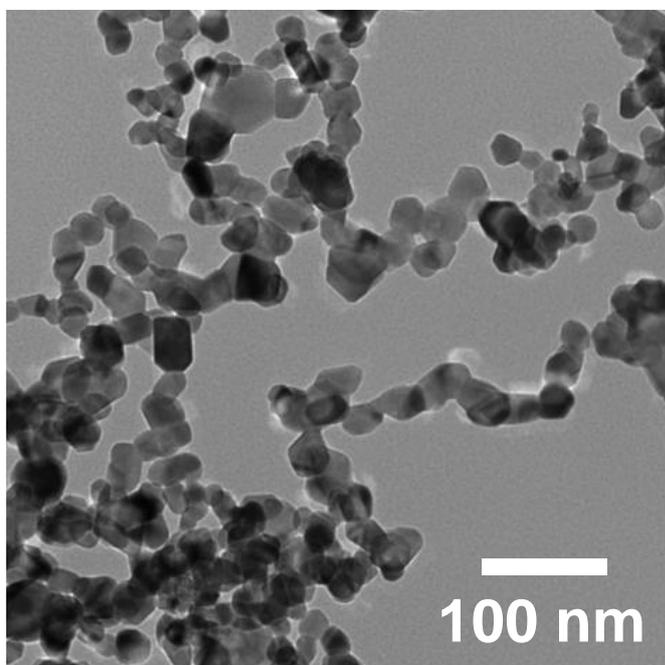
For the conventional catalyst, a mixture of commercial  $\text{IrO}_2$  (Tokuriki Honten Co., Ltd.) and Pt black (Ishifuku Metal Industry Co., Ltd.) powder were used with 1:1 in mass ratio, and additionally observed by a scanning electron microscope (SEM; SU9000,

operated at 30 kV, Hitachi High-Technologies Co.) and TEM. In the OER activity test by the use of a half cell, this conventional catalyst with a noble metal loading of  $100 \mu\text{g}_{\text{Ir+Pt}} \text{cm}^{-2}$  on Au substrate was used as a reference.

## **2.3 Results and Discussion: Effect of Ir-Pt composition on the OER activity**

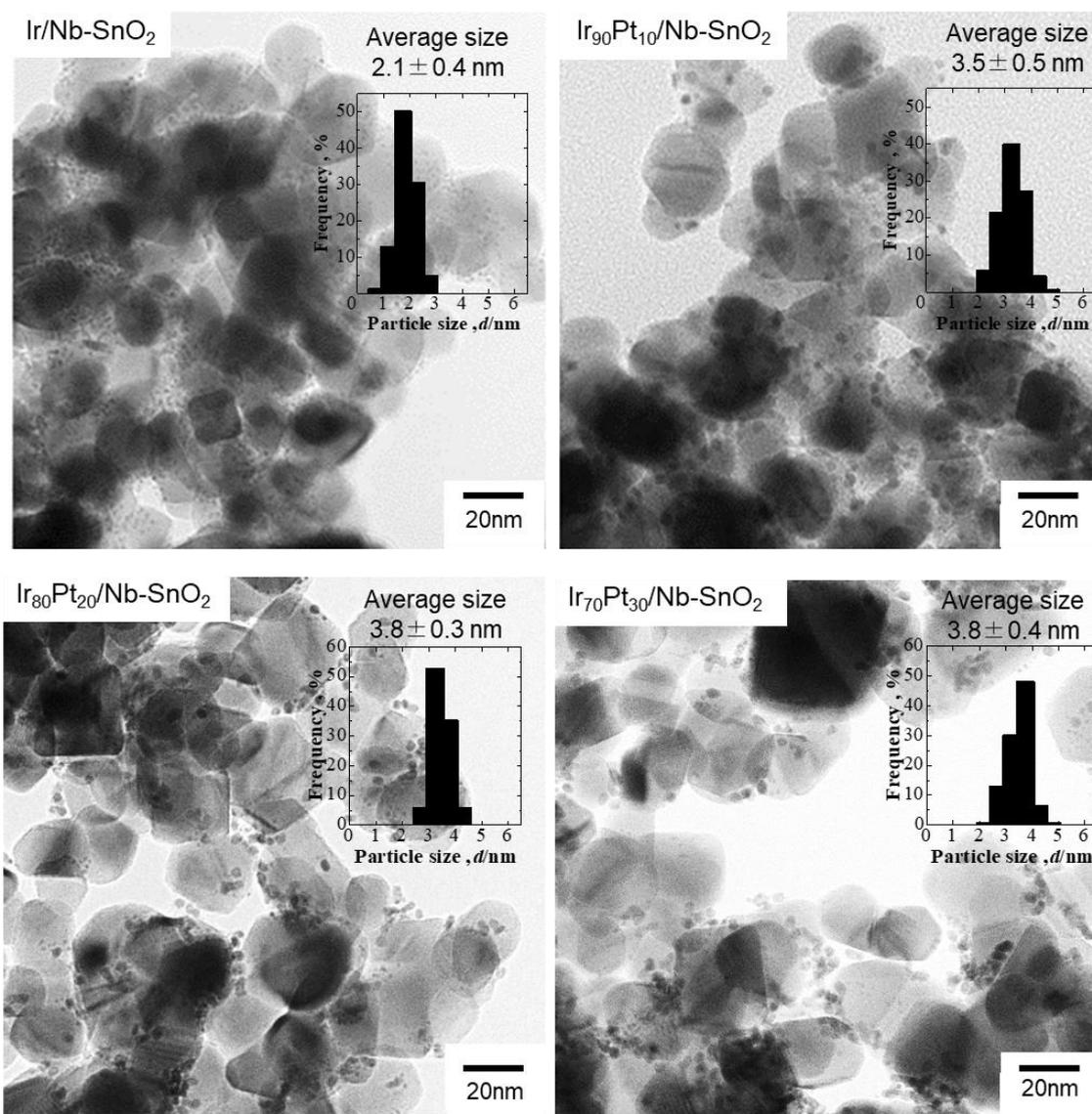
### *2.3.1 Physical Properties of the Ir-Pt/Nb-SnO<sub>2</sub> Catalysts*

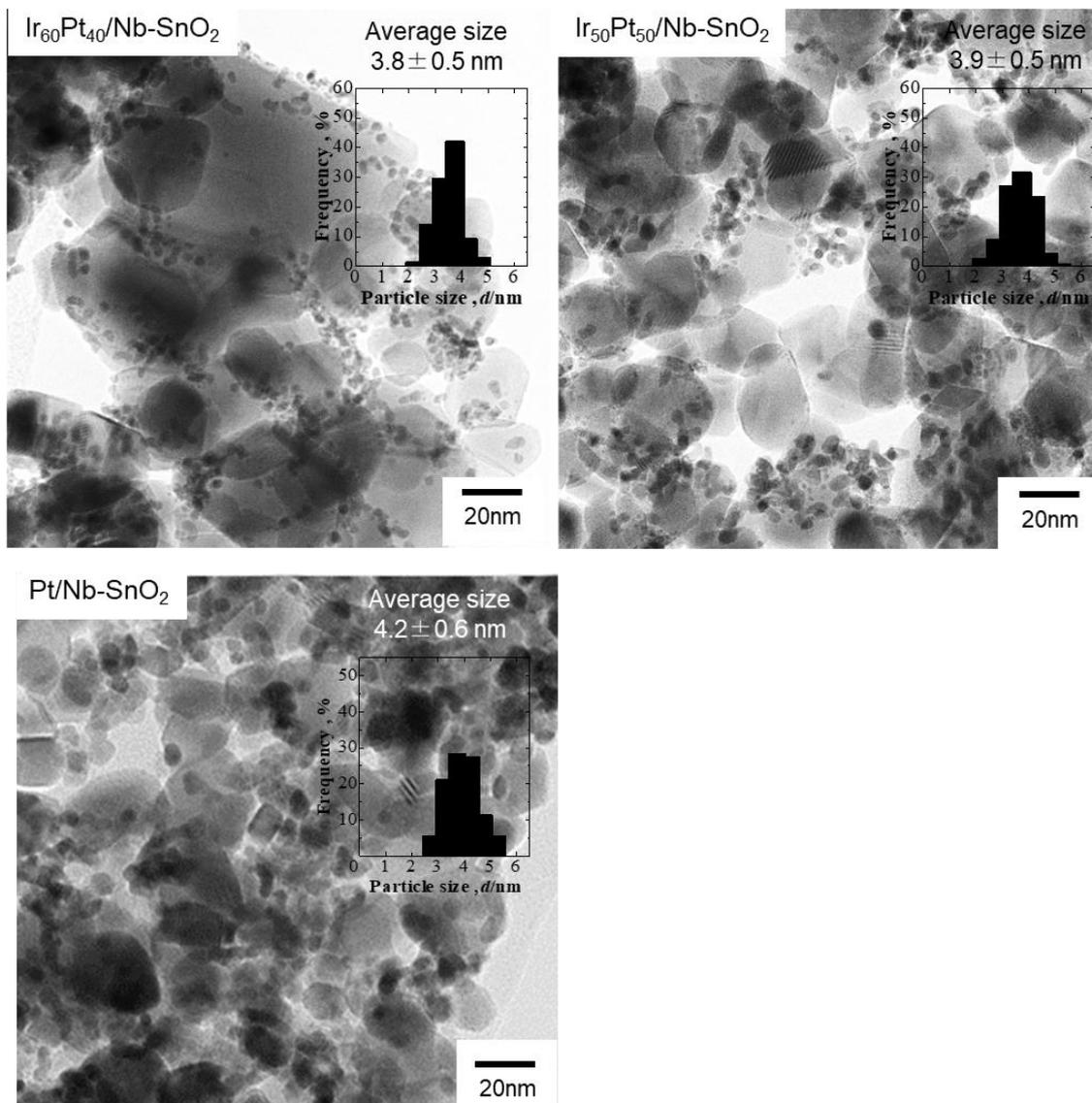
**Figure 2-1** shows a typical TEM image of Nb-SnO<sub>2</sub> support. It was observed that the support had a chain-like structure with random branching of the particles, so-called a fused-aggregate network structure. The surface areas of the Nb-SnO<sub>2</sub> support measured by the BET method was 30 m<sup>2</sup> g<sup>-1</sup>.



**Figure 2-1.** A TEM image of the Nb-doped SnO<sub>2</sub> support with a fused-aggregate network structure.

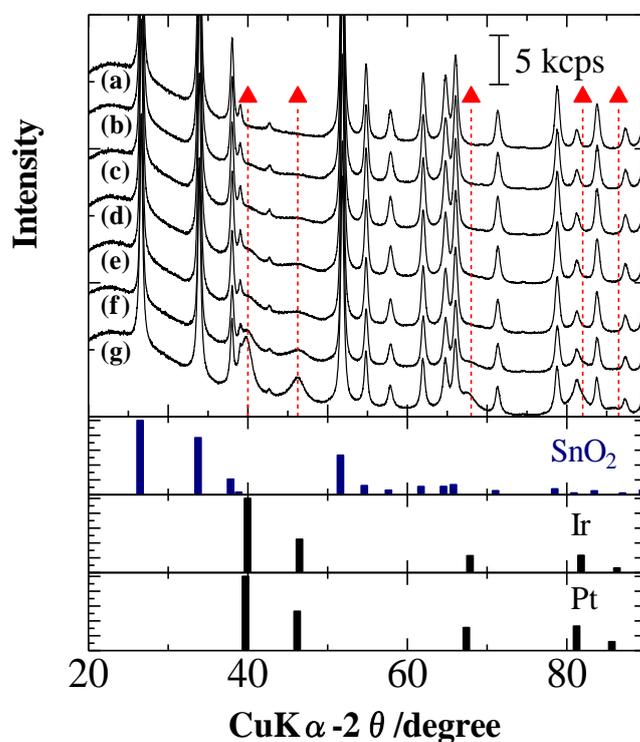
Figure 2-2 shows TEM images of a series for  $\text{Ir}_y\text{Pt}_{100-y}/\text{Nb-SnO}_2$  catalysts, where  $y$  is atom% of Ir (projected value). Ir-Pt binary nanoparticles of ca. 1 ~ 6 nm in diameter were found to be dispersed on the oxide supports. The average particle size for Ir/Nb-SnO<sub>2</sub> catalyst was very small specifically.





**Figure 2-2.** TEM images and particle size distribution histograms for Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub> catalysts, where y represents atom% of Ir (projected composition). The average diameter and size distribution of Ir-Pt particles were estimated from ca. 300 particles in several TEM images.

XRD patterns of  $\text{Ir}_y\text{Pt}_{100-y}/\text{Nb-SnO}_2$  catalysts are shown in **Figure 2-3**. The crystallite sizes of Nb-SnO<sub>2</sub> estimated from the peak at  $2\theta = \text{ca. } 34^\circ$  was 16 nm. The peaks around  $40^\circ$ ,  $47^\circ$ , and  $68^\circ$  were assigned to Pt and/or Ir metal with a fcc structure for Pt and Ir-Pt catalysts, while peaks assigned to Ir fcc structure were not observed for Ir/Nb-SnO<sub>2</sub>. This might be because the crystallite size of Ir was too small to be detected by XRD. It was difficult to identify the alloying of Ir with Pt because lattice constants for Ir and Pt were too close (390 and 392 pm, respectively).



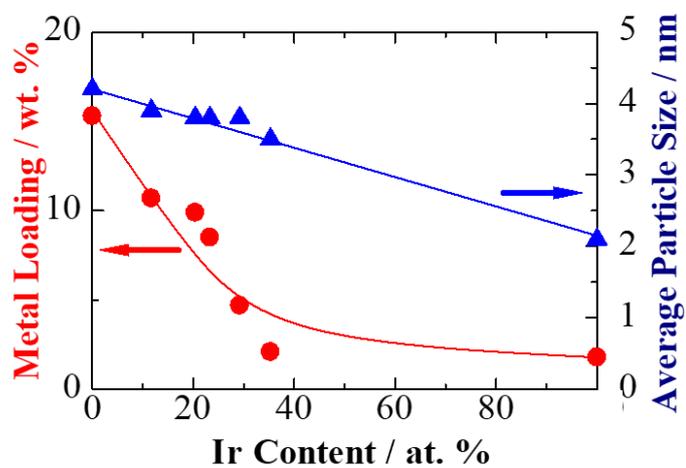
**Figure 2-3.** XRD patterns of  $\text{Ir}_y\text{Pt}_{100-y}/\text{Nb-SnO}_2$  catalysts:  $y =$  (a) 100, (b) 90, (c) 80, (d) 70, (e) 60, (f) 50, and (g) 0, respectively ( $y$  represents the projected composition).

▲ corresponds diffraction peaks of fcc Ir.

The average diameter of Ir-Pt binary particles estimated from TEM, composition (Ir content) and noble metal loading evaluated by ICP-MS for Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub> catalysts are summarized in **Table 2-1**. The content of Ir was much smaller than that projected. **Figure 2-4** shows the average particle sizes and metal loadings as a function of Ir content. The average size decreased nearly linearly with Ir content, and the metal loading decreased with increasing the Ir content. The reason for such dependences is not clear, but it was rather difficult to control the composition and the loading amount by the nanocapsule method.

**Table 2-1** Average diameter of Ir-Pt particles estimated from TEM, Ir content and noble metal loading evaluated by ICP for Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub> catalysts.

Ir content (at%, projected)	Average diameter (nm)	Ir content (at%)	Metal loading (wt%)
100 (Ir)	2.1 ± 0.4	-	1.8
90	3.5 ± 0.5	35.3	2.1
80	3.8 ± 0.3	29.2	4.7
70	3.8 ± 0.4	23.3	8.5
60	3.8 ± 0.5	20.4	9.9
50	3.9 ± 0.5	11.7	10.7
0 (Pt)	4.2 ± 0.6	-	15.3

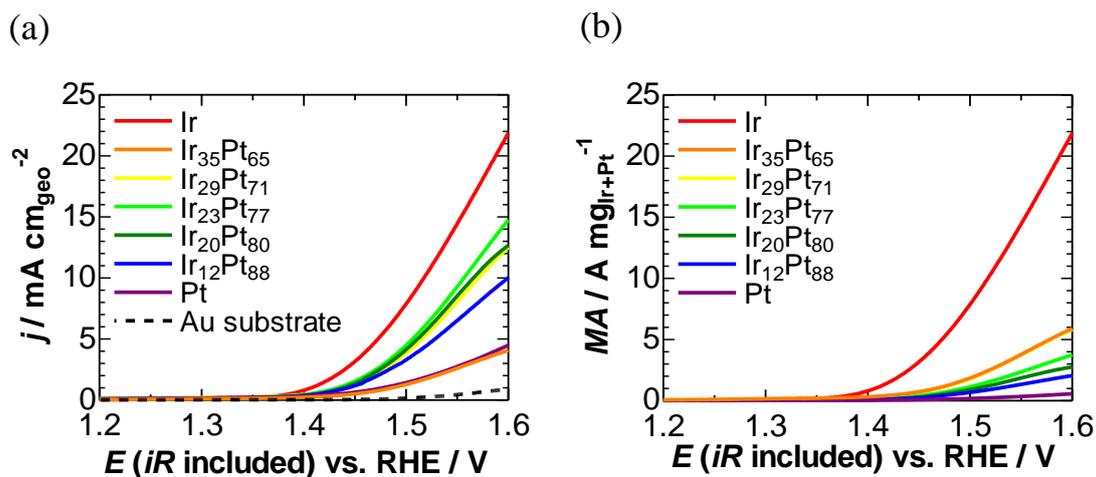


**Figure 2-4.** Average particle size and noble metal loading with respect to Ir content (evaluated values) in  $\text{Ir}_y\text{Pt}_{100-y}/\text{Nb-SnO}_2$ .

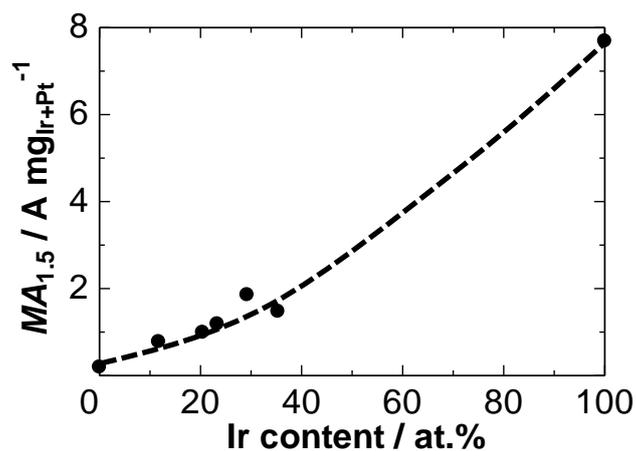
### 2.3.2 Dependence of Ir Composition on OER Activity for Ir-Pt Binary Catalysts

The OER activities of Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub> catalysts were examined by the CFE technique. **Figure 2-5** (a) shows the anodic polarization curves for Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub> and Au substrate in N<sub>2</sub>-saturated 0.1 M HClO<sub>4</sub> at 80°C, in which the current was shown by the current density ( $j$ ) based on the geometric area of Au substrate. Since a small anodic current of Au substrate, which can be ascribed to the oxidation of Au and O<sub>2</sub> evolution, it was subtracted as the base-line from the original current-potential ( $I-E$ ) curves. **Figure 2-5** (b) shows LSVs, which the current axis is shown by the apparent mass activity ( $MA$ ) based on the current per mass of Ir+Pt loaded on the electrode substrate.

In the present work,  $MA$ s at 1.5 V ( $MA_{1.5}$ ) was applied to compare the OER activities. It has been reported for a PEMWE operated at 1 A cm<sup>-2</sup> that the potential of the Pt/C cathode for the hydrogen evolution reaction at 90°C with 0.7 mg<sub>Pt</sub> cm<sup>-2</sup> was -0.05 V [15] and the  $iR$  loss, mainly due to Nafion<sup>®</sup> 117, was ca. 0.1 V [16]. Taking these values from literature and the anode potential of 1.5 V for the OER, the cell potential can be estimated to be 1.65 V at 1 A cm<sup>-2</sup>, which corresponds to  $\epsilon_v$  of 90%. **Figure 2-6** shows  $MA_{1.5}$  for the catalysts as a function of Ir content. The  $MA_{1.5}$  values increased with Ir content. Specifically, Ir (100 at% Ir)/Nb-SnO<sub>2</sub> catalyst exhibited a very high activity, 7.8 A mg<sub>Ir</sub><sup>-1</sup>, which is about 4 times larger than that of 35 at% Ir. This is consistent with literature reporting that the OER activity of Ir-Pt binary catalysts decreased by the presence of Pt or oxidized Pt [3,17]. Hereinafter, I focused on Ir-based (metal or oxidized Ir) nanoparticles dispersed on doped SnO<sub>2</sub>, and examined the catalysts by a colloidal method.



**Figure 2-5.** LSVs (*iR*-included) for O<sub>2</sub> evolution at Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub> (*y* represents the evaluated composition) and Au substrate in N<sub>2</sub>-saturated 0.1 M HClO<sub>4</sub> at 80°C at 10 mV s<sup>-1</sup> and 26 cm s<sup>-1</sup>. (a) The current density (*j*) is based on the geometric area of the Au substrate of the working electrode (4 mm<sup>2</sup>). (b) The current was shown by the apparent mass activity (*MA*) based on the mass of Ir+Pt loaded on the substrate.



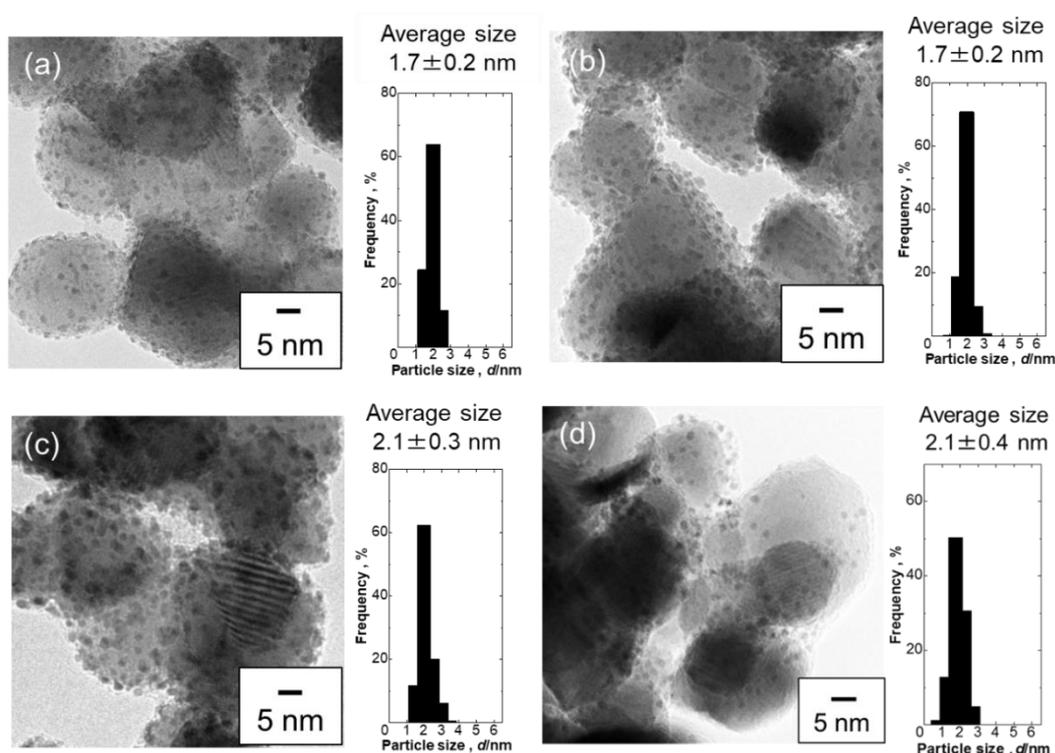
**Figure 2-6.** *MA*s at 1.5 V (*MA*<sub>1.5</sub>) of a series in Ir<sub>y</sub>Pt<sub>100-y</sub>/Nb-SnO<sub>2</sub> catalysts as a function of Ir content (evaluated values).

## 2.4 Results and Discussion: OER Activities of Iridium Oxide Nanocatalysts

### Prepared by the Colloidal Method

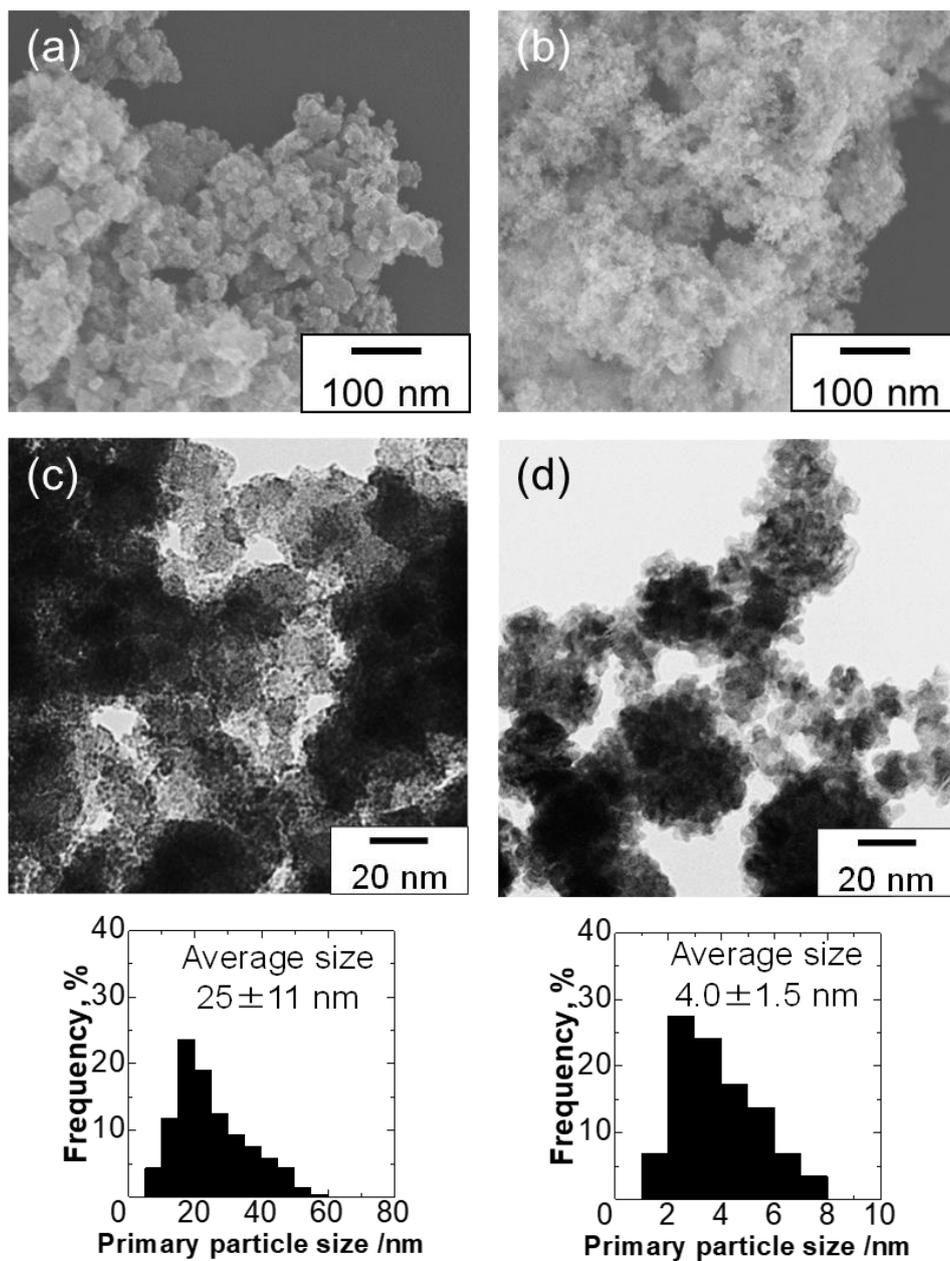
#### 2.4.1 Physical Properties of $\text{IrO}_x/\text{Nb-SnO}_2$ Catalysts

**Figure 2-7** shows TEM images of AP and 150H<sub>2</sub> catalysts for  $\text{IrO}_x/\text{Nb-SnO}_2$  synthesized by a colloidal method with ageing time for 3 or 18 h. The dispersion of nanoparticles on the Nb-SnO<sub>2</sub> supports were found to be more uniform than the case of those synthesized by the nanocapsule method shown in **Figure 2-2**.



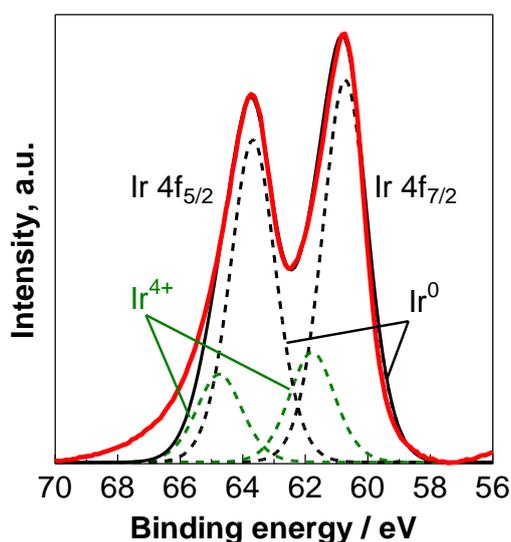
**Figure 2-7.** TEM images and particle size distribution histograms for  $\text{IrO}_x/\text{Nb-SnO}_2$  catalysts prepared by colloidal method; (a) AP with 3 h ageing, (b) 150H<sub>2</sub> with 3 h ageing, and (c) 150H<sub>2</sub> with 18 h ageing. (d) Those of Ir/Nb-SnO<sub>2</sub> catalyst synthesized by nanocapsule method.

The average sizes of the Ir or IrO<sub>x</sub> nanoparticles ( $d_{Ir}$ ) for 3 h ageing were unchanged even after H<sub>2</sub> treatment at 150°C as shown in **Figure 2-7** (a) and (b). With increasing the ageing time to 18 h, the values of  $d_{Ir}$  increased from 1.7 to 2.1 nm. The amount of Ir metal in IrO<sub>x</sub>/Nb-SnO<sub>2</sub> with the ageing time for 3 and 18 h was quantified to be 6.5 and 11.3 wt%, respectively. These values were larger than that of Ir/Nb-SnO<sub>2</sub> catalyst synthesized by the nanocapsule method. SEM and TEM images of commercial IrO<sub>2</sub> and Pt particles (for the conventional catalyst) are shown in **Figure 2-8**.



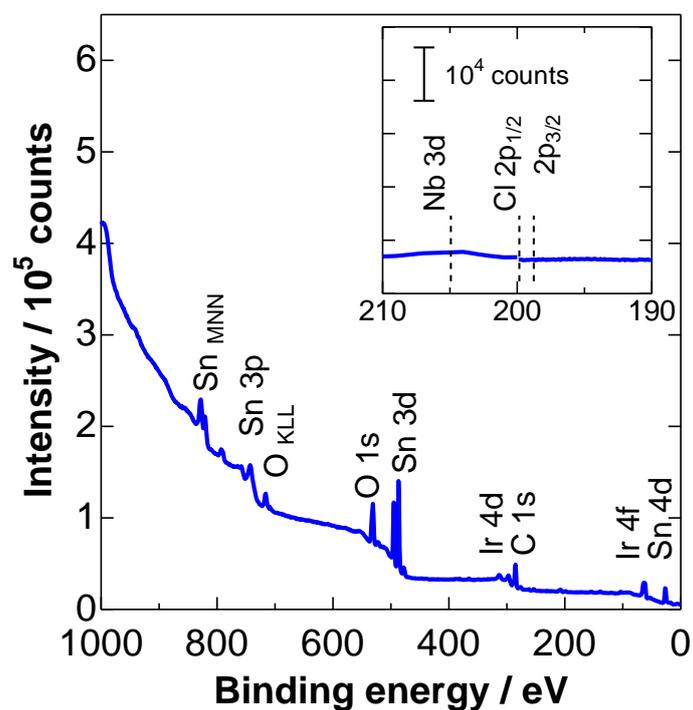
**Figure 2-8.** SEM and TEM images, and particle size distribution histograms (primary particles) for conventional catalysts: (a,c) commercial IrO<sub>2</sub> and (b,d) commercial Pt black.

To estimate the ratio of Ir<sup>0</sup> and Ir<sup>(IV)</sup> (IrO<sub>2</sub>), XPS measurements were carried out. **Figure 2-9** shows a XP spectrum for the 150H<sub>2</sub> (18 h ageing) catalyst as an example. Peaks around 64 and 60 eV were assigned to Ir 4f<sub>5/2</sub> and 4f<sub>7/2</sub>. By the deconvolution of the peaks into symmetric Gaussian ones, the ratio of metallic Ir<sup>0</sup> and Ir<sup>(IV)</sup> was obtained [18]. The contents of Ir<sup>(IV)</sup> (corresponding to IrO<sub>2</sub>) were quantitative analyzed to be 81, 29, 16 and 100 at% in AP (3 h ageing), 150H<sub>2</sub> (3 h ageing), 150H<sub>2</sub> (18 h ageing) and commercial IrO<sub>2</sub> catalysts, respectively.



**Figure 2-9.** A XP spectrum of Ir 4f<sub>5/2</sub> and 4f<sub>7/2</sub> at the 150H<sub>2</sub> (18 h ageing) powder. The binding energy was corrected by referring to C 1s at 284.2 eV. Deconvolution of peaks into metallic Ir<sup>0</sup> and Ir<sup>(IV)</sup> (IrO<sub>2</sub>) components.

Because  $\text{H}_2\text{IrCl}_6$  was used as the precursor in the synthesis, it was essential to remove Cl species from the catalysts to avoid  $\text{Cl}_2$  evolution, which complicates the evaluation of OER activity. By a careful inspection by XP survey spectrum as shown in **Figure 2-10**, it was confirmed that the content of Cl species was less than the detection level by XPS.



**Figure 2-10.** An example of XP survey spectrum of  $150\text{H}_2$  with 18 h ageing for  $\text{IrO}_x/\text{Nb-SnO}_2$  catalyst. The inset shows a magnified spectrum for detecting Cl 2p (no signals). The binding energy was corrected by referring to C1s at 284.2 eV.

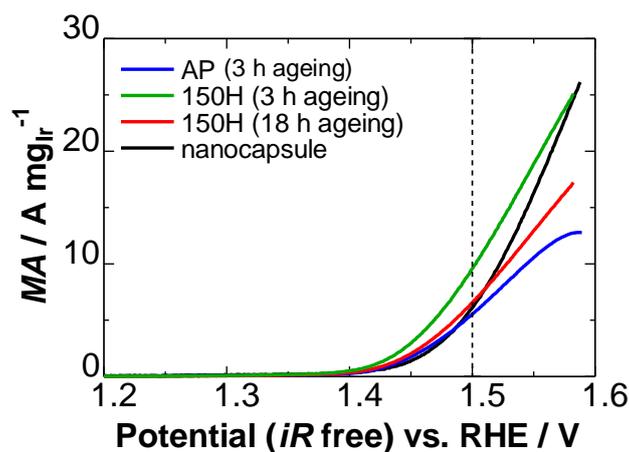
#### 2.4.2 OER Activities and Durabilities of IrO<sub>x</sub>/Nb-SnO<sub>2</sub> Catalysts

**Figure 2-11** shows *MA*s for the OER on IrO<sub>x</sub>/Nb-SnO<sub>2</sub> catalysts prepared by the colloidal method. Interestingly, it was found that the *MA*<sub>1.5</sub> for 150H<sub>2</sub> (3 h ageing) catalyst was ca. 1.3-fold higher than those of 150H<sub>2</sub> (18 h ageing) and Ir/Nb-SnO<sub>2</sub> (synthesized by nanocapsule method) catalyst. This was possibly ascribed to the increase in the surface area for OER, because the *d*<sub>Ir</sub> value of 150H<sub>2</sub> (3 h ageing) catalyst was 1.7 nm, which was smaller than those of 150H<sub>2</sub> (18 h ageing) and Ir/Nb-SnO<sub>2</sub> (*d*<sub>Ir</sub> = 2.1 nm). To compare their values, specific surface areas of IrO<sub>2</sub> (*S*<sub>IrO<sub>2</sub></sub>) for IrO<sub>x</sub>/Nb-SnO<sub>2</sub> and Ir/Nb-SnO<sub>2</sub> were calculated. Rutile IrO<sub>2</sub>, that is the thermodynamically stable species at OER potentials in acidic media [19], was selected since the surface and/or interior of the nanoparticles on Nb-SnO<sub>2</sub> can be converted to IrO<sub>2</sub> during steady-state OER operation. Then, the surface coverage of IrO<sub>2</sub> on the particles could be calculated by estimating the ratio of surface atoms to the total number of atoms (*N*<sub>surface</sub>/*N*<sub>total</sub>), assuming that fcc Ir particles have an ideal cubo-octahedral shape. The calculation method is shown in **Appendix 2-2**. For the case of 150H<sub>2</sub> catalysts (18 h ageing, *d*<sub>Ir</sub> = 2.1 nm) as an example, the value of *N*<sub>surface</sub>/*N*<sub>total</sub> for 2.1 nm particles were calculated to be 52%. Thus the Ir<sup>(IV)</sup> value of 16% can be rationally explained if the surface atoms of 31% (= 16/52) was oxidized to IrO<sub>2</sub> in the as-synthesized (before OER tests) catalyst. If all of the surface atoms were oxidized to IrO<sub>2</sub> with an Ir metal core during the OER, the initial particle sizes would be nearly unchanged. Therefore, the value of *S*<sub>IrO<sub>2</sub></sub> (on an Ir metal core) was estimated to be 127 m<sup>2</sup> g<sub>Ir</sub><sup>-1</sup>. On the other hand, if all Ir atoms in the particle were oxidized to IrO<sub>2</sub> during the OER, the particle size (*d*<sub>IrO<sub>2</sub></sub>) could increase from 2.1 to 2.7 nm while maintaining a constant *N*<sub>total</sub>, resulting in a value of 98 m<sup>2</sup> g<sub>Ir</sub><sup>-1</sup>. The calculated values of were summarized in **Table 2-2**. Note that it was assumed that the nanocapsule (Ir/Nb-SnO<sub>2</sub>) catalyst involved no or less Ir<sup>(IV)</sup> content (not measured) than the *N*<sub>surface</sub>/*N*<sub>total</sub> ratio (52%) and thus the value of

$d_{\text{IrO}_2}$  was increased from 2.1 to 2.7 nm, whereas the value of  $d_{\text{Ir}}$  was assumed to be similar as the value of  $d_{\text{Ir}}$  because the particles were consisted of  $\text{IrO}_2$  mainly (81%) in case of AP catalyst. From **Table 2-2**, the increase in  $S_{\text{IrO}_2}$  of the 150H<sub>2</sub> (3 h ageing) catalyst could be estimated by a factor of 1.2, compared with those of 150H<sub>2</sub> (18 h ageing) and nanocapule catalysts. This value is coincide well with the increase in the OER activity.

Moreover it was found that  $MA_{1.5}$  of 150H<sub>2</sub> (3 h ageing) catalyst exhibited ca. twice higher than AP, despite same values of  $d_{\text{Ir}}$ . One of the possible reason is an  $\text{IrO}_x$  shell on an Ir core exhibits higher OER activity than  $\text{IrO}_x$  as reported previously [20,21]. However in comparison with ageing time, larger Ir metal loading for 18 h aged catalyst (11.3 wt%) than 3 h ageing one (6.5 wt%) was detected. For a practical measurement of the performance in MEA, it was argued that the increase of Pt loading on the Nb-SnO<sub>2</sub> support from 9 wt% to 17 wt% was effective in decreasing the ohmic resistance and in improving the cell performance in the PEFCs [22]. Therefore 150H<sub>2</sub> with 18 h aged catalyst for the  $\text{IrO}_x/\text{Nb-SnO}_2$  was used to compare the conventional catalyst hereinafter.

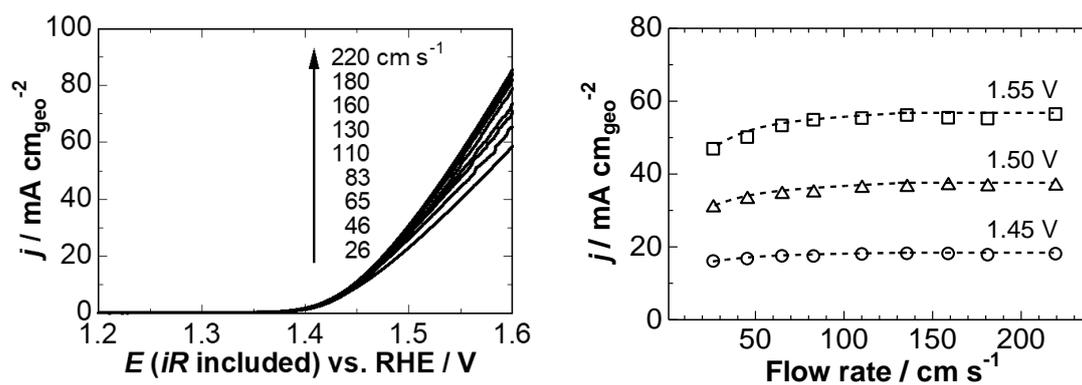
Next, the effect of flow rate of the electrolyte solution on the OER current was examined. LSVs for  $\text{IrO}_x/\text{Nb-SnO}_2$  (150H<sub>2</sub>, 18 h ageing) catalyst measured in N<sub>2</sub>-saturated 0.1M HClO<sub>4</sub> solution at 80°C with various flow rates from 26 to 220 cm s<sup>-1</sup> are shown in **Figure 2-12**. The OER currents increased with increasing flow rate and nearly levelled off for flow rates  $\geq 160$  cm s<sup>-1</sup>. This suggests that oxygen gas bubbles were effectively removed from the surface at large flow rate. Thus the flow rate of 160 cm s<sup>-1</sup> was adopted for the OER measurements with LSVs.



**Figure 2-11.** LSVs for O<sub>2</sub> evolution at a series of IrO<sub>x</sub>/Nb-SnO<sub>2</sub> prepared by colloidal method in N<sub>2</sub>-saturated 0.1 M HClO<sub>4</sub> at 80°C with a scan rate of 10 mV s<sup>-1</sup> and 26 cm s<sup>-1</sup>. For comparison, LSV of Ir/Nb-SnO<sub>2</sub> synthesized by nanocapsule method was added (see **Figure 2-5**).

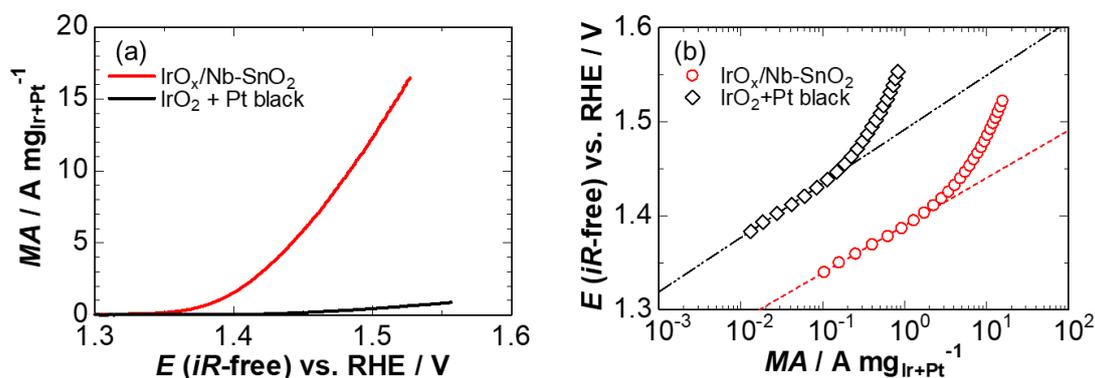
**Table 2-2** Diameters of IrO<sub>x</sub> or Ir nanoparticles estimated by TEM ( $d_{Ir}$ ), diameters of IrO<sub>2</sub> ( $d_{IrO_2}$ ), specific surface areas of IrO<sub>2</sub> for the IrO<sub>2</sub> shell/Ir metal core model ( $S_{IrO_2/Ir}$ ) and for the completely oxidized model ( $S_{IrO_2}$ ).

Sample	$d_{Ir}$ (nm)	$d_{IrO_2}$ (nm)	$S_{IrO_2/Ir}$ (m <sup>2</sup> g <sub>Ir</sub> <sup>-1</sup> )	$S_{IrO_2}$ (m <sup>2</sup> g <sub>Ir</sub> <sup>-1</sup> )
AP	1.7	1.7	156	156
150H <sub>2</sub> (3 h ageing)		2.2		121
150H <sub>2</sub> (18 h ageing)	2.1	2.7	127	98
nanocapsule				



**Figure 2-12.** LSVs ( $iR$ -included) for IrO<sub>x</sub>/Nb-SnO<sub>2</sub> catalyst in N<sub>2</sub>-saturated 0.1 M HClO<sub>4</sub> solution at 80°C with regard to various flow rates from 26 to 220  $\text{cm s}^{-1}$  with a sweep rate of 10  $\text{mV s}^{-1}$ . The current density ( $j$ ) is based on the geometric area of the Au substrate of the working electrode (4  $\text{mm}^2$ ).

**Figure 2-13a** shows the *iR*-free anodic polarization curves for IrO<sub>x</sub>/Nb-SnO<sub>2</sub> (150H<sub>2</sub>, 18 h ageing) and conventional catalysts (mixture of commercial IrO<sub>2</sub> and Pt black, 1:1 mass ratio) in air-saturated 0.1 M HClO<sub>4</sub> solution at 80 °C. The flow rate of the electrolyte solution was adjusted at 160 cm s<sup>-1</sup> in order to remove oxygen gas bubbles effectively from the electrode surface. The IrO<sub>x</sub>/Nb-SnO<sub>2</sub> catalyst showed onset potentials for the OER at 1.38 V, which was similar to that for the conventional catalyst. Clearly, the *MA* of the IrO<sub>x</sub>/Nb-SnO<sub>2</sub> catalyst was much higher than that of the conventional catalyst. The values of apparent *MA* at 1.5 V (*MA*<sub>1.5</sub>) exceeding 10 A mg<sub>Ir</sub><sup>-1</sup> was 28 times larger than that of the conventional one. This indicates the possibility of reduction of the amount of noble metal anode catalyst to a low level, e.g., 0.1 mg<sub>Ir</sub> cm<sup>-2</sup> for operation at 1 A cm<sup>-2</sup>.

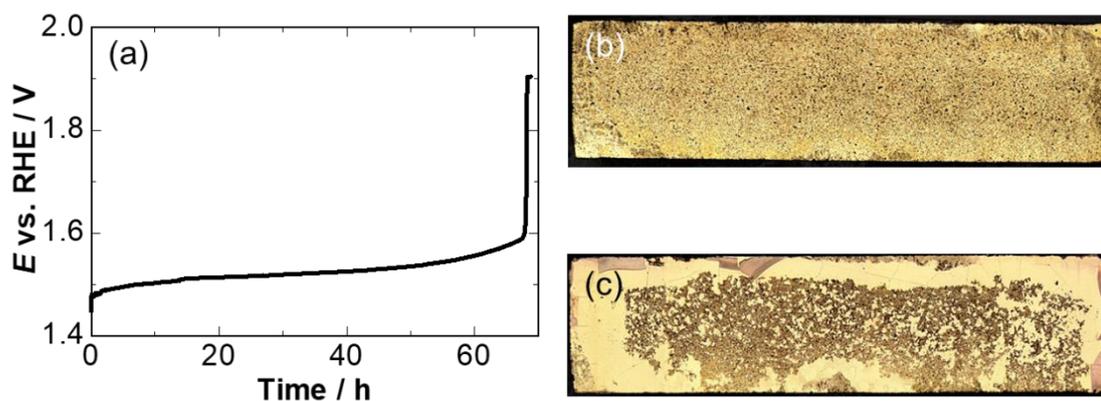


**Figure 2-13.** (a) *iR*-free anodic polarization curves for IrO<sub>x</sub>/Nb-SnO<sub>2</sub> and conventional (IrO<sub>2</sub> + Pt black) catalysts in air-saturated 0.1 M HClO<sub>4</sub> solution at 80 °C with a flow rate of 160 cm s<sup>-1</sup>. The current is shown as the apparent mass activity (*MA*) based on the mass of Ir (or Ir + Pt for the conventional catalyst) loaded on the electrode substrate. (b) Tafel plots for *iR*-free anodic polarization curves shown in (a). The values of Tafel slopes for IrO<sub>x</sub>/Nb-SnO<sub>2</sub> and conventional catalysts at *E* < 1.43 V were 51 and 63 mV, respectively.

To ascribe the reason for such enhanced *MA*s, the specific surface areas ( $S_{\text{IrO}_2}$ ) for each sample was calculated at first in the same manner to **Appendix 2-2**. For the conventional catalyst, the value of  $S_{\text{IrO}_2}$  was calculated to be  $21 \text{ m}^2 \text{ g}_{\text{IrO}_2}^{-1}$  or  $24 \text{ m}^2 \text{ g}_{\text{Ir}}^{-1}$  by assuming spherical particles of commercial  $\text{IrO}_2$  powder with 25 nm diameter based on SEM and TEM images as shown in **Figure 2-8**. Thus the increase in  $S_{\text{IrO}_2}$  of the  $\text{IrO}_x/\text{M-SnO}_2$  could be estimated by a factor of 4.0 to 5.3 compared with that of the commercial  $\text{IrO}_2$  (see Table 2-2). The *MA* in OER might be also affected by the structure of an  $\text{IrO}_x$  shell on an Ir core (a factor of ca. 2 at 1.5 V). However, the enhancement factor of the  $MA_{1.5}$  was larger than their combination.

Another interesting factor was found in the Tafel plots as shown in **Figure 2-13b**. The Tafel slope for the conventional catalyst at  $E < 1.43 \text{ V}$  was 63 mV, which is close to the 60 mV slope commonly reported for  $\text{IrO}_2$ -based electrodes in sulfuric acid solution [23–26]. In contrast, the values of Tafel slopes for  $\text{IrO}_x/\text{M-SnO}_2$  catalysts ranged from 46 mV ( $\text{IrO}_x/\text{Ta-SnO}_2$ ) to 52 mV ( $\text{IrO}_x/\text{Sb-SnO}_2$ ). This suggests a promotion of the OER on the  $\text{IrO}_x$  surface due to an interaction with the doped  $\text{SnO}_2$  supports [26–28]. It has been reported that the OER rate can be enhanced by a rapid oxidation of hydroxyl  $\text{OH}_{\text{ad}}$  species, which might adsorb on active sites of the  $\text{IrO}_x$  surface as the intermediate, into oxygen  $\text{O}_{\text{ad}}$  [29,30].  $\text{SnO}_2$  could catalyze such an oxidation step of  $\text{OH}_{\text{ad}}$  into  $\text{O}_{\text{ad}}$  on the  $\text{IrO}_x$  surface. Hence, the enhanced *MA*s of  $\text{IrO}_x/\text{M-SnO}_2$  might be ascribed not only to a significant increase in the active surface area by the use of 2-nm sized  $\text{IrO}_x$  nanoparticles, but also their interaction with the oxide supports.

Lastly, the stability test of the  $\text{IrO}_x/\text{Nb-SnO}_2$  catalyst during constant current OER was also carried out preliminary in air-saturated 0.1 M  $\text{HClO}_4$  solution at  $80^\circ\text{C}$ . As shown in **Figure 2-14**, the potential was almost constant up to 50 hours, but the potential rose steeply after 68 h. It was observed that the catalyst layer had peeled away from the Au substrate, probably due to generated oxygen bubbles. Regarding the stability of the  $\text{SnO}_2$  support itself, the Pourbaix diagram indicates that  $\text{SnO}_2$  is stable in the potential and pH regions examined in the present work [19]. Moreover, dissolution of Nb and Sn from  $\text{Pt/Nb-SnO}_2$  synthesized by the same method was not observed for the stability test in  $\text{H}_2\text{SO}_4$  aqueous solution at  $80^\circ\text{C}$  under ambient air [31].



**Figure 2-14.** (a) Time course of potential during constant current OER at  $\text{IrO}_x/\text{Nb-SnO}_2$  catalyst in air-saturated 0.1 M  $\text{HClO}_4$  solution at  $80^\circ\text{C}$  and  $20 \text{ mA cm}_{\text{geo}}^{-1}$  ( $4 \text{ A mg}_{\text{Ir}}^{-1}$ ). The current density examined corresponds to  $1 \text{ A cm}^{-2}$  in a PEMWE with an Ir-loading of  $0.25 \text{ mg}_{\text{Ir}} \text{ cm}^{-2}$ . The laser microscopic images of Au substrate (b) before and (c) after the time course test. The slope between 10 and 40 h was  $0.47 \text{ mV h}^{-1}$ .

**Appendix 2-1.** Calculation method for the amounts of the Nb-SnO<sub>2</sub> support.

The amount of Nb-SnO<sub>2</sub>, for IrO<sub>x</sub>/Nb-SnO<sub>2</sub> with 18 h ageing as an example, was calculated as follows. The amount of Ir loading was 11.3 wt%, which was quantified by ICP after dissolving the catalysts completely by the alkaline carbonate-fusion method. This value corresponds to (Ir<sup>0</sup> + Ir<sup>(IV)</sup>) contained in the catalyst, and the value of Ir<sup>(IV)</sup> (IrO<sub>2</sub>) percentage analyzed by XPS was 16% (at% = wt%). Then, two following equations were used for the mass of Ir<sup>0</sup> and Ir<sup>(IV)</sup>:

$$m_{\text{Ir}^0} + m_{\text{Ir}^{(\text{IV})}} = 11.3 \quad (2.1)$$

$$m_{\text{Ir}^{(\text{IV})}} / (m_{\text{Ir}^0} + m_{\text{Ir}^{(\text{IV})}}) = 0.16 \quad (2.2)$$

The contents of Ir<sup>0</sup> and Ir<sup>(IV)</sup> thus obtained were 9.5 wt% and 1.8 wt%, respectively. The mass of IrO<sub>2</sub> [ $m_{\text{IrO}_2}$ ] can be calculated to be 2.1 wt% from the following equation:

$$m_{\text{IrO}_2} = m_{\text{Ir}^{(\text{IV})}} \times (192.22+32)/192.22 \quad (2.3)$$

Hence, the content of Nb-SnO<sub>2</sub> in the catalyst was 88.4 wt% [= 100 – (9.5 + 2.1)]. When the working electrode consisted of the IrO<sub>x</sub>/Nb-SnO<sub>2</sub> catalyst was loaded on the Au substrate at a constant amount of 5 μg<sub>Ir</sub> cm<sup>-2</sup>, the amount of Nb-SnO<sub>2</sub> loaded on the Au substrate was calculated to be 39 μg cm<sup>-2</sup>.

**Appendix 2-2.** Calculation method for specific surface area of IrO<sub>2</sub> ( $S_{\text{IrO}_2}$ ) for IrO<sub>x</sub> nanoparticles supported on doped SnO<sub>2</sub> catalysts.

Here I would like to explain here how to calculate  $S_{\text{IrO}_2}$  for the IrO<sub>x</sub> particles during operation as OER catalysts. Assuming that fcc Ir particles have an ideal cubo-octahedral shape, we can calculate the number of total atoms ( $N_{\text{total}}$ ) in the particle with the number of atomic layers ( $L$ ) together with the number of surface atoms ( $N_{\text{surface}}$ ), in a similar manner for the case of fcc Pt or Pt alloy particles [32,33]:

$$N_{\text{total}} = \frac{10}{3}L^3 - 5L^2 + \frac{11}{3}L - 1 \quad (2.4)$$

$N_{\text{total}}$  for a spherical particle can be calculated with diameter  $d$ ,

$$d = a \left( \frac{3N_{\text{total}}}{2\pi} \right)^{\frac{1}{3}} \quad (2.5)$$

where  $a$  is the lattice constant for Ir (fcc structure).

Next, the number of surface atoms was calculated by the following equation:

$$N_{\text{surface}} = 10L^2 - 20L + 12 \quad (2.6)$$

For the case of IrO<sub>x</sub>/Nb-SnO<sub>2</sub> with  $d_{\text{Ir}} = 2.1$  nm, the fraction of surface atoms ( $N_{\text{surface}}/N_{\text{total}}$ ) was calculated to be 52%. A percentage of 16% of Ir<sup>(IV)</sup> in the particle, estimated by XPS, can be rationally explained if 31% of the surface atoms (= 16/52) were oxidized to IrO<sub>2</sub> in the as-prepared catalyst. If all of the surface atoms were oxidized to IrO<sub>2</sub> with an Ir metal core during the OER, the initial particle size of 2.1 nm would be nearly unchanged. Thus, the value of  $S_{\text{IrO}_2}$  (on an Ir metal core) was 127 m<sup>2</sup> g<sub>Ir</sub><sup>-1</sup>. On the other hand, if all Ir atoms in the particle were oxidized to IrO<sub>2</sub> during the OER, the particle size could increase from 2.1 nm to 2.7 nm while maintaining a constant total amount of Ir atoms, resulting in a value of 98 m<sup>2</sup> g<sub>Ir</sub><sup>-1</sup>.

## **2.5 Conclusion**

In order to reduce the amount of noble metal catalysts for the OER in PEMWE while maintaining high efficiency, I have synthesized new  $\text{Ir}_y\text{Pt}_{100-y}$  binary nanoparticles prepared by the nanocapsule method at first. In 0.1 M  $\text{HClO}_4$  electrolyte solution at  $80^\circ\text{C}$ , a convex plot of *MA*s towards OER from 20 to 35 at% of Ir content was found, besides a significant improvement of *MA*s from 35 to 100 at% was shown. However, Ir/Nb- $\text{SnO}_2$  catalyst which exhibited the highest *MA* towards OER, contained quite small Ir amount of 1.8 wt% loaded on the support. Therefore, next  $\text{IrO}_x$  nanocatalysts with uniform sizes of ca. 2 nm were prepared successfully by the use of the colloidal method, highly dispersed on Nb-doped  $\text{SnO}_2$  support. The 18 h aged catalyst exhibited moderate Ir loading with high *MA*, which was exceeding  $10 \text{ A mg}_{\text{Ir}}^{-1}$  at 1.5 V and 28 times larger than the conventional one, and thus it was used in order to investigate the electrochemically experimental conditions, such as the flow-rate dependence to eliminate the effect of  $\text{O}_2$  generation as much as possible.

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## ***Chapter 3: Improvement of Performances for Practical PEMWE Cells with Iridium Oxide/Doped Tin Oxide Catalysts***

### **3.1 Introduction**

To utilize the unique advantages of tin oxide supports with fused-aggregate network structures, it was succeeded to disperse IrO<sub>x</sub> nanoparticles as novel anode catalysts for PEMWE onto such Nb-SnO<sub>2</sub> supports in *Chapter2*. In addition, it was reported that Ta-SnO<sub>2</sub> and Sb-SnO<sub>2</sub> supports exhibited higher electrical conductivity than the Nb-SnO<sub>2</sub> support [1,2]. As stated in section 1.4, the high conductivity of supports was needed for the high OER performances. However to my knowledge, there are no literature to investigate the effect of electrical conductivity on OER performances in terms of comparison with the catalytic activity in electrolyte solution and practical cells. Here, the polarization properties in a series of IrO<sub>x</sub>/M-SnO<sub>2</sub> (M = Nb, Ta, and Sb) catalysts with different values of the apparent conductivities ( $\sigma_{\text{app, catalyst}}$ ) were examined for the OER at 80 °C in both 0.1 M HClO<sub>4</sub> solution (half cell) and a MEA (a single cell) by the use of a Nafion<sup>®</sup> membrane (thickness = 50 μm) as a practical PEMWE cell. For the first time, I found that the cell potential ( $E_{\text{cell}}$ ) of the single cell decreased with the increasing values of  $\sigma_{\text{app, catalyst}}$ , whereas they exhibited similar OER activities in the half cell test.

### **3.2 Experimental Methods: Electrochemical Measurements in Practical PEMWE Cells (Single Cells)**

$\text{IrO}_x/\text{Ta-SnO}_2$  and  $\text{IrO}_x/\text{Sb-SnO}_2$  catalysts were prepared in the similar manner to  $\text{IrO}_x/\text{Nb-SnO}_2$  catalyst as described in section 2.2 (i.e.  $150\text{H}_2$  with 18 h ageing catalysts). The projected compositions were set to be  $\text{Sn}_{0.975}\text{Ta}_{0.025}\text{O}_{2-\delta}$  and  $\text{Sn}_{0.95}\text{Sb}_{0.05}\text{O}_{2-\delta}$  referred from literature [1,2]. The apparent electrical conductivities of the  $\text{M-SnO}_2$  supports and  $\text{IrO}_x/\text{M-SnO}_2$  catalysts were measured by the two-probe method described in a previous paper [3].

Catalyst coated membranes (CCMs) were prepared as follows. First, the anode catalyst ink was prepared by mixing the  $\text{IrO}_x/\text{M-SnO}_2$  powder, water, ethanol, and Nafion<sup>®</sup> binder solution (DE521, Du Pont Co.) as the ionomer in a ball-mill for 30 min. The cathode catalyst ink was prepared from commercial Pt/GCB (Pt 50 wt%, TEC10EA50E, Tanaka Kikinzoku Kogyo, Tokyo, Japan). The volume ratio of ionomer to the support (I/S) was adjusted to 0.7 (dry basis) in each ink. Then, the catalyst inks were directly sprayed onto the Nafion<sup>®</sup> membrane (thickness 50  $\mu\text{m}$ , NRE 212, Du Pont Co., Tokyo, Japan) by the pulse-swirl-spray technique (Nordson Co., Tokyo, Japan) to prepare the CCM with an active geometric area of 25  $\text{cm}^2$ . The CCMs were hot-pressed at 140  $^\circ\text{C}$  and 2.5 MPa for 3 min. The Ir loading amount for the anode catalyst layer (CL) was 0.11  $\text{mg}_{\text{Ir}} \text{cm}^{-2}$ , and the Pt loading amount for the cathode CL was  $0.35 \pm 0.02 \text{ mg}_{\text{Pt}} \text{cm}^{-2}$ . As a reference, a conventional anode catalyst (mixture of  $\text{IrO}_2$  and Pt black, 1:1 mass ratio) with 2.66  $\text{mg}_{\text{Ir+Pt}} \text{cm}^{-2}$  and a Pt black cathode catalyst with 2.01  $\text{mg}_{\text{Pt}} \text{cm}^{-2}$  were employed. The CCM was sandwiched by two GDLs; a Pt-plated Ti mesh (Bekaert Toko Metal Fiber Co., Ltd., Ibaraki, Japan) for the anode, and a carbon fiber paper with microporous layer (25BC, SGL Carbon Group Co., Ltd., Tokyo, Japan) for the cathode. The MEA thus prepared was mounted into a single cell holder (Japan Automobile

Research Institute standard cell) with ribbed single serpentine flow channels.

Pure water was circulated at a flow rate of  $40 \text{ mL min}^{-1}$  for the anode. Hydrogen gas was purged to the cathode. Current-potential ( $I-E$ ) curves were measured galvanostatically at  $80 \text{ }^\circ\text{C}$  under steady-state conditions. The ohmic resistance of the cell was measured by a digital AC milliohmmeter (Model 3566, Tsuruga Electric, Co.) at  $1 \text{ kHz}$  during the operation.

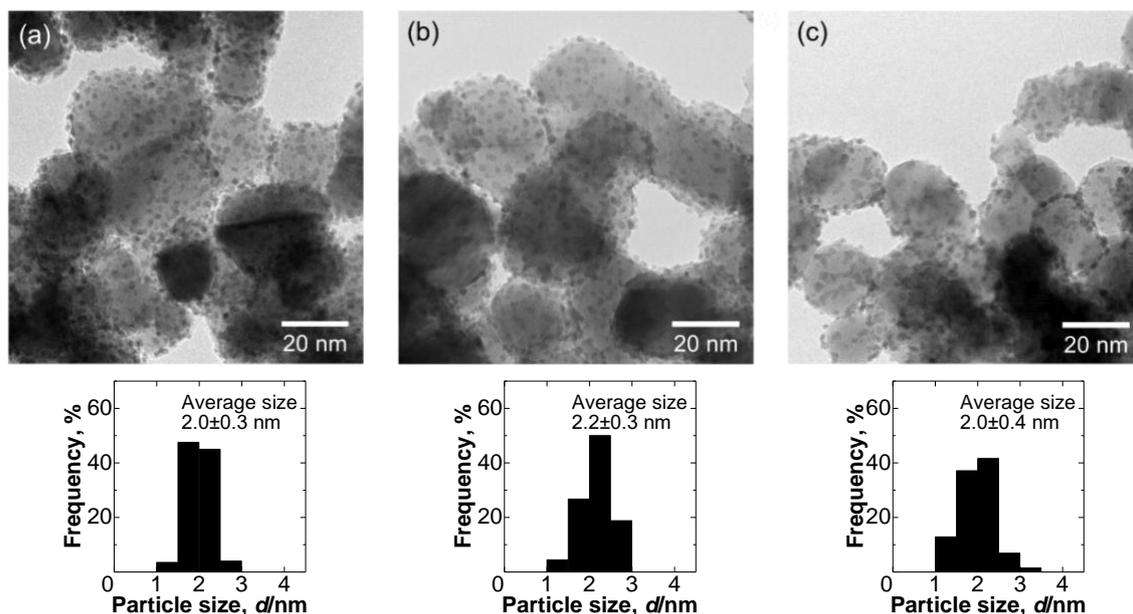
The thickness of the anode CL was observed after preparation of a cross-sectional sample of the CCM by a scanning ion microscope (SIM) in a focused ion beam system (FIB; FB-2200, Hitachi High-Technologies Co., Ltd.).

### **3.3 Results and Discussion: OER Performances of IrO<sub>x</sub>/M-SnO<sub>2</sub> (M = Nb, Ta, and Sb) Catalysts**

#### *3.3.1 Physical Properties of IrO<sub>x</sub>/M-SnO<sub>2</sub> Catalysts*

**Figure 3-1** shows TEM images of IrO<sub>x</sub>/M-SnO<sub>2</sub> catalysts with fused-aggregate network structures. The values of average size ( $d_{Ir}$ ) and standard deviations for the IrO<sub>x</sub> nanoparticles were  $2.0 \pm 0.3$ ,  $2.2 \pm 0.3$ , and  $2.0 \pm 0.4$  nm in the IrO<sub>x</sub>/Nb-SnO<sub>2</sub>, IrO<sub>x</sub>/Ta-SnO<sub>2</sub>, and IrO<sub>x</sub>/Sb-SnO<sub>2</sub> catalysts, respectively. It was also characterized that these catalysts by BET surface area of the M-SnO<sub>2</sub> supports ( $S_{SnO_2}$ ), the iridium loadings estimated by ICP, the percentage of Ir<sup>(IV)</sup> (IrO<sub>2</sub>) in IrO<sub>x</sub> evaluated by XPS, the amounts of M-SnO<sub>2</sub> supports (see **Appendix 2-1** for calculation method), the apparent electrical conductivities of the M-SnO<sub>2</sub> supports ( $\sigma_{app, support}$ ) and IrO<sub>x</sub>-dispersed catalysts ( $\sigma_{app, catalyst}$ ). These results are summarized in **Table 3-1**. While Sb-SnO<sub>2</sub> exhibited a somewhat larger  $S_{SnO_2}$  value, similar amounts of iridium metal were loaded with similar percentages of Ir<sup>(IV)</sup> on all three catalysts. Marked differences are seen between  $\sigma_{app, support}$  and  $\sigma_{app, catalyst}$  values for each catalyst. The Sb-SnO<sub>2</sub> support exhibited the highest  $\sigma_{app, support}$  among the supports examined, i.e., three orders of magnitude higher than that of Nb-SnO<sub>2</sub>. The  $\sigma_{app, support}$  values of all doped-SnO<sub>2</sub> increased by ca. two orders of magnitude by dispersing IrO<sub>x</sub> on their surface. In particular, the  $\sigma_{app, catalyst}$  value of the IrO<sub>x</sub>/Sb-SnO<sub>2</sub> catalyst was the highest,  $8.1 \times 10^{-1}$  S cm<sup>-1</sup>. Such a large increase in the  $\sigma_{app}$  was very similar to that observed by loading Pt nanoparticles on doped SnO<sub>2</sub> supports [3], where Pt nanoparticles could contribute to shrink the depletion layer of SnO<sub>2</sub>. A similar mechanism can be envisioned for the case of the IrO<sub>x</sub> nanoparticles on SnO<sub>2</sub>. Thus,

$\text{IrO}_x/\text{M-SnO}_2$  catalysts were successfully synthesized with similar microstructures but with a range of different of  $\sigma_{\text{app, catalyst}}$  values.



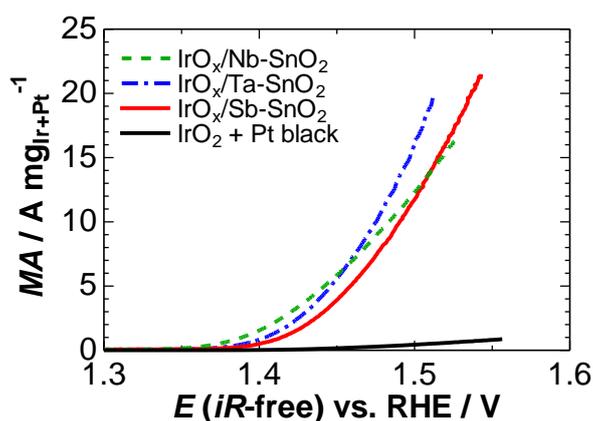
**Figure 3-1.** TEM images and particle size ( $d_{\text{Ir}}$ ) distribution histograms for (a)  $\text{IrO}_x/\text{Nb-SnO}_2$ , (b)  $\text{IrO}_x/\text{Ta-SnO}_2$ , and (c)  $\text{IrO}_x/\text{Sb-SnO}_2$  catalysts. Note that the values of  $d_{\text{Ir}}$  for the  $\text{IrO}_x/\text{Nb-SnO}_2$  catalyst was changed slightly from 2.1 (in *Chapter 2*) to 2.0 nm because of new preparation in *Chapter 3*.

**Table 3-1.** BET surface area for the supports ( $S_{\text{SnO}_2}$ ), Ir loadings,  $\text{IrO}_2$  percentages (corresponding to  $\text{Ir}^{(\text{IV})}$  vs. total Ir) in  $\text{IrO}_x$  nanoparticles, M- $\text{SnO}_2$  loadings, and apparent electrical conductivities of M- $\text{SnO}_2$  supports ( $\sigma_{\text{app, support}}$ ) and  $\text{IrO}_x/\text{M-SnO}_2$  catalysts ( $\sigma_{\text{app, catalyst}}$ ).

Sample	$S_{\text{SnO}_2}$ ( $\text{m}^2 \text{g}^{-1}$ )	Ir ( $\text{Ir}^0 + \text{Ir}^{(\text{IV})}$ ) loading (wt%)	$\text{Ir}^{(\text{IV})}$ ( $\text{IrO}_2$ ) percentage (%)	M- $\text{SnO}_2$ loading (wt%)	$\sigma_{\text{app, support}}$ ( $\text{S cm}^{-1}$ )	$\sigma_{\text{app, catalyst}}$ ( $\text{S cm}^{-1}$ )
$\text{IrO}_x/\text{Nb-SnO}_2$	30	11.3	16	88.4	$2.5 \times 10^{-5}$	$1.5 \times 10^{-3}$
$\text{IrO}_x/\text{Ta-SnO}_2$	25	10.4	19	89.3	$1.3 \times 10^{-4}$	$2.9 \times 10^{-2}$
$\text{IrO}_x/\text{Sb-SnO}_2$	40	11.0	21	88.6	$1.8 \times 10^{-2}$	$8.1 \times 10^{-1}$
commercial $\text{IrO}_2$	–	–	100	–	$6.4 \times 10^1$ [4]	

### 3.3.2 Oxygen Evolution Activities of $\text{IrO}_x/\text{M-SnO}_2$ Catalysts in Half Cells

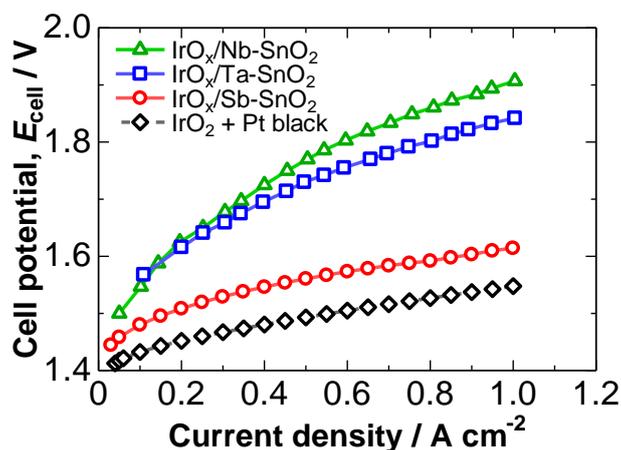
**Figure 3-3** shows the  $iR$ -free anodic polarization curves for  $\text{IrO}_x/\text{M-SnO}_2$  and conventional catalysts in air-saturated 0.1 M  $\text{HClO}_4$  solution at 80 °C, in which the current is shown as the  $MA$ . These  $\text{IrO}_x/\text{M-SnO}_2$  catalysts showed onset potentials for the OER from 1.35 to 1.40 V, which was similar to that for the conventional catalyst. The  $MA$ s of the  $\text{IrO}_x/\text{M-SnO}_2$  catalysts were also similar, which values at 1.5 V for  $\text{IrO}_x/\text{Ta-SnO}_2$  and  $\text{IrO}_x/\text{Sb-SnO}_2$  were 36 and 27 times larger, respectively, than that of the conventional one.



**Figure 3-3.**  $iR$ -free anodic polarization curves for  $\text{IrO}_x/\text{M-SnO}_2$  and conventional ( $\text{IrO}_2 + \text{Pt black}$ ) catalysts in air-saturated 0.1 M  $\text{HClO}_4$  solution at 80 °C with a flow rate of 160  $\text{cm s}^{-1}$ . The current is shown as the apparent mass activity ( $MA$ ) based on the mass of Ir (or Ir + Pt for the conventional catalyst) loaded on the electrode substrate.

### 3.3.3 Oxygen Evolution Activities of $\text{IrO}_x/\text{M-SnO}_2$ Catalysts in Single Cells

CCMs were prepared with low noble metal loadings by the use of the  $\text{IrO}_x/\text{M-SnO}_2$  catalysts with  $0.11 \text{ mg}_{\text{Ir}} \text{ cm}^{-2}$  at the anode and a commercial Pt/GCB (Pt supported on graphitized carbon black) with  $0.35 \pm 0.02 \text{ mg}_{\text{Pt}} \text{ cm}^{-2}$  at the cathode. A conventional anode catalyst ( $\text{IrO}_2 + \text{Pt black}$ , described above) with  $2.66 \text{ mg}_{\text{Ir+Pt}} \text{ cm}^{-2}$  and a Pt black cathode catalyst with  $2.01 \text{ mg}_{\text{Pt}} \text{ cm}^{-2}$  were employed in a reference CCM. The  $I-E$  curves of single cells operated at  $80^\circ\text{C}$  are shown in **Figure 3-4**.

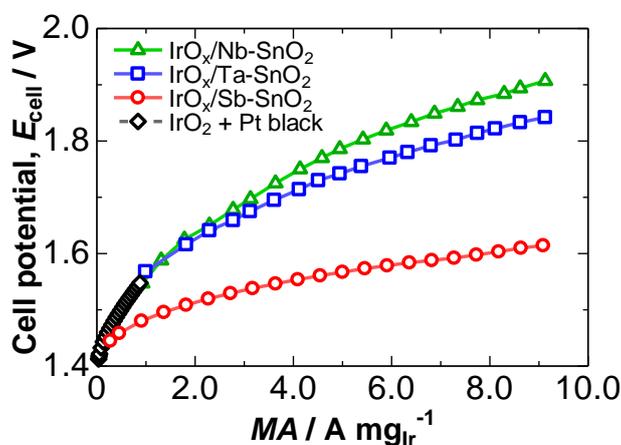


**Figure 3-4.** Steady-state  $I-E$  curves of single cells with various anodes and Pt/GCB cathode at  $80^\circ\text{C}$ . Ultrapure water was supplied to the anode with a flow rate of  $40 \text{ mL min}^{-1}$ . The cathode compartment was purged with  $\text{H}_2$ .

The performances of the cells with three kinds of IrO<sub>x</sub>/M-SnO<sub>2</sub> anodes were found to be enhanced in the order: IrO<sub>x</sub>/Nb-SnO<sub>2</sub> < IrO<sub>x</sub>/Ta-SnO<sub>2</sub> << IrO<sub>x</sub>/Sb-SnO<sub>2</sub>. For example, as shown in **Table 3-3**, the  $E_{\text{cell}}$  at 1 A cm<sup>-2</sup> decreased from 1.91 V for IrO<sub>x</sub>/Nb-SnO<sub>2</sub> cell to 1.61 V for the IrO<sub>x</sub>/Sb-SnO<sub>2</sub> cell. The latter value was somewhat larger than that of the reference (conventional) cell (1.55 V). It is noteworthy that the initial cathode performance of Pt supported on high-surface-area carbon (Pt/C) was comparable to that of Pt black, even though Pt black has still been predominantly used in practical PEMWEs in order to ensure a long lifetime of the MEA [5]. In order to mitigate the corrosion of the carbon support, Pt supported on GCB was used in place of high-surface-area carbon. In any case, the increase in the overvoltage of IrO<sub>x</sub>/M-SnO<sub>2</sub> cells compared with that of the conventional cell can be ascribed predominantly to the anode catalyst with reduced amount of noble metal (< 1/20). As shown in **Figure 3-5**, the values of  $MA$  based on mass of Ir for the IrO<sub>x</sub>/Sb-SnO<sub>2</sub> catalyst at  $E_{\text{cell}} > 1.45$  V were considerably larger than that of the conventional cell. Interestingly, the  $E_{\text{cell}}$  of 1.61 V for the IrO<sub>x</sub>/Sb-SnO<sub>2</sub> cell corresponds to a voltage efficiency ( $\epsilon_v$ ) of 92%, which is the highest performance at the significantly low Ir loading of 0.11 mg<sub>Ir</sub> cm<sup>-2</sup> at the anode reported so far [6,7].

**Table 3-3.** Noble metal loadings on CCMs, ohmic resistances ( $R_{\text{ohm, cell, obs}}$ ) and cell potentials ( $E_{\text{cell}}$ ) at  $1 \text{ A cm}^{-2}$  for various cells.

Anode catalyst	Anode loading ( $\text{mg}_{\text{Ir+Pt cm}^{-2}}$ )	Cathode loading ( $\text{mg}_{\text{Pt cm}^{-2}}$ )	$R_{\text{ohm, cell, obs}}$ ( $\text{m}\Omega \text{ cm}^2$ )	$E_{\text{cell @ } 1 \text{ A cm}^{-2}}$ (V)
$\text{IrO}_x/\text{Nb-SnO}_2$	0.11	0.34	258	1.91
$\text{IrO}_x/\text{Ta-SnO}_2$	0.11	0.37	175	1.84
$\text{IrO}_x/\text{Sb-SnO}_2$	0.11	0.35	97	1.61
$\text{IrO}_2+\text{Pt black}$	2.66	2.01	75	1.55

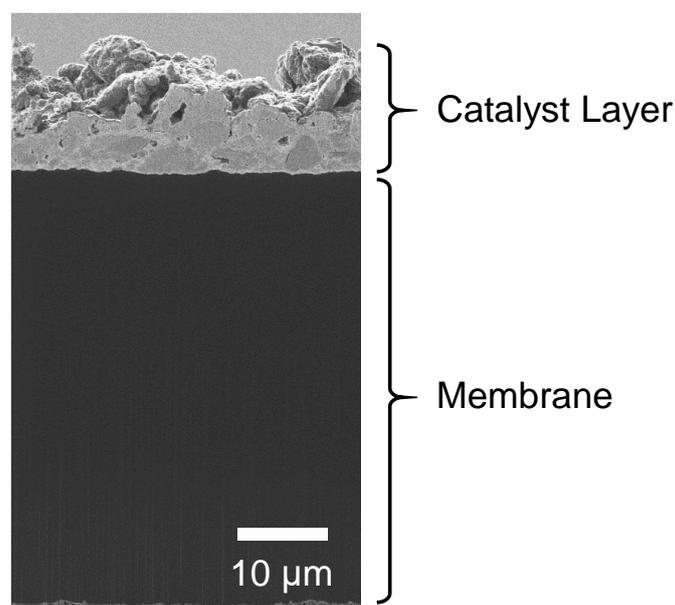


**Figure 3-5.** Steady-state  $I-E$  curves of single cells with various anodes and Pt/GCB cathode at  $80 \text{ }^\circ\text{C}$ . The current is shown as the apparent mass activity ( $MA$ ) based on the mass of Ir loaded in the anode catalyst layers. Pure water was supplied to the anode with a flow rate of  $40 \text{ mL min}^{-1}$ . The cathode compartment was purged with  $\text{H}_2$ .

Next, it is discussed the essential parameters necessary to improve the anode performance. Referring to the properties of IrO<sub>x</sub>/M-SnO<sub>2</sub> catalysts in **Table 3-1**, the only marked differences are seen for the values of  $\sigma_{\text{app, catalyst}}$  (or  $\sigma_{\text{app, support}}$ ). The ohmic resistances of the cells ( $R_{\text{ohm, cell, obs}}$ ) measured at 1 kHz during the operation are shown in **Table 3-3**; the  $R_{\text{ohm, cell, obs}}$  values ranged from 75 to 258 m $\Omega$  cm<sup>2</sup>.

To start, values of  $R_{\text{ohm, cell, calc}}$  for comparison with the observed values were calculated. First, it was estimated that  $R_{\text{ohm, anode}}$  of the anode CLs as follows. The thickness of the IrO<sub>x</sub>/Sb-SnO<sub>2</sub> CL was ca. 10  $\mu\text{m}$ , observed by SIM as shown in **Figure 3-6**. Since I have prepared all CLs in the same manner, it was assumed the identical thickness for the IrO<sub>x</sub>/Ta-SnO<sub>2</sub> and IrO<sub>x</sub>/Nb-SnO<sub>2</sub> CLs. Assuming the porosity of the CLs to be 50%, their  $R_{\text{ohm}}$  values were calculated based on their  $\sigma_{\text{app, catalyst}}$  values. The values of  $R_{\text{ohm, anode}}$  thus calculated for IrO<sub>x</sub>/Sb-SnO<sub>2</sub>, IrO<sub>x</sub>/Ta-SnO<sub>2</sub>, and IrO<sub>x</sub>/Nb-SnO<sub>2</sub> were 3, 68, and 1333 m $\Omega$  cm<sup>2</sup>, respectively. Second, for the Nafion<sup>®</sup> electrolyte membrane with the thickness of 50  $\mu\text{m}$ , it was adopted the  $R_{\text{ohm, Nafion}}$  to be 50 m $\Omega$  cm<sup>2</sup>. The  $R_{\text{ohm, cell}}$  of the conventional cell in **Table 3-3** was just 75 m $\Omega$  cm<sup>2</sup>, which is assumed to include  $R_{\text{ohm, anode}}$  (IrO<sub>2</sub> + Pt black) and  $R_{\text{ohm, cathode}}$  (Pt black), together with contact resistances with the GDLs (Pt/Ti mesh and carbon paper). This value of  $R_{\text{ohm, cell}}$  agrees precisely with those of PEFCs with Nafion<sup>®</sup> membrane of the identical thickness and Pt/C catalysts for the anode and cathode [8–10]. Thus, by adding the  $R_{\text{ohm, anode}}$  of IrO<sub>x</sub>/M-SnO<sub>2</sub> to 75 m $\Omega$  cm<sup>2</sup> stated above, it was calculated the  $R_{\text{ohm, cell, calc}}$  values to be 78, 143, and 1408 m $\Omega$  cm<sup>2</sup>, for the cells with IrO<sub>x</sub>/Sb-SnO<sub>2</sub>, IrO<sub>x</sub>/Ta-SnO<sub>2</sub>, and IrO<sub>x</sub>/Nb-SnO<sub>2</sub>, respectively. The former two values are relatively consistent with those of  $R_{\text{ohm, cell, obs}}$ . However, a large discrepancy is seen between  $R_{\text{ohm, cell, obs}}$  and  $R_{\text{ohm, cell, calc}}$  for IrO<sub>x</sub>/Nb-SnO<sub>2</sub>. One of the possible reasons is that  $\sigma_{\text{app, catalyst}}$  was measured in ambient air (low humidity) at room temperature, while  $R_{\text{ohm, cell, obs}}$  was measured during operation with the anode in pure

water at 80 °C. It has been shown that the electronic conductivities of SnO<sub>2</sub>-based materials increase with humidity [11,12]. Water molecules adsorbed on the SnO<sub>2</sub> surface can act as electron donors, resulting in an increase in the carrier concentration near the surface. Such a tendency was shown to be more marked for SnO<sub>2</sub> samples with lower electronic conductivity [11,12]. Thus, it can be easily understood that the value of  $R_{\text{ohm, cell, obs}}$  of IrO<sub>x</sub>/Nb-SnO<sub>2</sub> (in pure water at 80 °C) could be much smaller than that of  $R_{\text{ohm, cell, calc}}$ . Taking into account such an effect of water on the electronic conductivity of the M-SnO<sub>2</sub>, it is appropriate to employ  $R_{\text{ohm, cell, obs}}$  as a measure of the apparent resistance of the anode catalyst layer, rather than  $R_{\text{ohm, cell, calc}}$  based on  $\sigma_{\text{app, catalyst}}$  (measured in air).



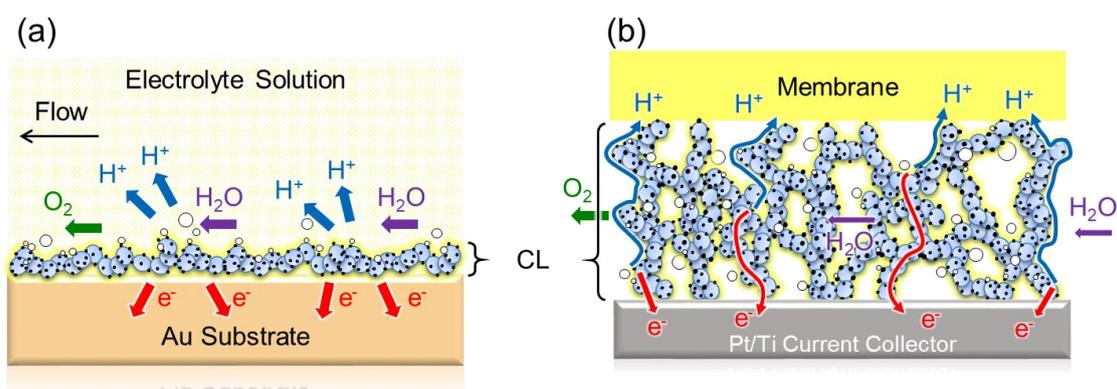
**Figure 3-6.** Scanning ion microscopic (SIM) image of the cross-section at the anode for the CCM with IrO<sub>x</sub>/Sb-SnO<sub>2</sub> catalyst. The average thickness and the standard deviation of the catalyst layer was  $9.6 \pm 3.1 \mu\text{m}$ .

It is clearly seen in **Figure 3-4** and **Table 3-3** that  $E_{\text{cell}}$  decreased with decreasing  $R_{\text{ohm, cell, obs}}$ . However, this is not simply due to the reduction of the ohmic ( $iR$ ) loss. For example, the reduction of the  $iR$  loss at  $1 \text{ A cm}^{-2}$  is only ca. 0.08 V by replacing the  $\text{IrO}_x/\text{Ta-SnO}_2$  anode catalyst with  $\text{IrO}_x/\text{Sb-SnO}_2$ , but the reduction of the  $E_{\text{cell}}$  in such a case was as large as 0.23 V. On the other hand, the OER activities ( $MA$  values or Tafel slopes) of the three  $\text{IrO}_x/\text{M-SnO}_2$  catalysts measured in 0.1 M  $\text{HClO}_4$  solution in the previous section can be regarded as being at a similar level.

This interesting phenomenon can be reasonably explained as follows. As illustrated in **Figure 3-7**, for the measurement of the OER activities in 0.1 M  $\text{HClO}_4$  electrolyte solution in the channel flow cell (half cell),  $\text{IrO}_x/\text{M-SnO}_2$  CLs were dispersed uniformly on the Au substrate with the thickness corresponding to a ca. two-monolayer height of  $\text{M-SnO}_2$  support particles ( $\sim 60 \text{ nm}$ ), intending that all catalyst particles can be in contact with the electrolyte solution. Therefore, it is expected that all of the  $\text{IrO}_x$  nanocatalyst particles are able to function without any influence of the small electronic (ohmic) resistances of such thin CLs. In contrast, for the measurement of single cell (MEA) performance, the thickness of the anode CL was  $10 \text{ }\mu\text{m}$  (170 times thicker than that in the half cell). Consequently, electrons generated at the  $\text{IrO}_x$  nanoparticles in the OER must be transported in the CL to the current collector (Pt/Ti), even though protons can be effectively supplied to the  $\text{IrO}_x$  surface through the electrolyte binder (ionomer) network. Hence, the higher the  $\sigma_{\text{app, catalyst}}$  value (lower  $R_{\text{ohm, cell, obs}}$ ) is, the lower the OER overvoltage will be in the single cell, due to an effective utilization of the  $\text{IrO}_x$  nanocatalyst particles on the  $\text{M-SnO}_2$  support.

As is clear from **Fig. 3-7** (b), other essential factors are the transport rates of protons and oxygen in the ionomer coated on the catalyst, in addition to the  $\text{O}_2$  gas diffusion rate in the CL. Similar to the case of PEFC CLs [13], it is very important to

control the microstructure of the CLs, i.e., thickness of the ionomer (related to I/S), primary and secondary pore volumes, etc. While an effect of I/S on the performance of  $\text{IrO}_2/\text{TiO}_2$  anode has been reported recently [14], more comprehensive research is necessary to optimize the single cell performance toward the near-ideal value evaluated in the half cell, together with high durability.



**Figure 3-7.** Schematic images of the  $\text{IrO}_x/\text{M-SnO}_2$  anode catalyst layer (CL) during the OER in (a) electrolyte solution (half cell) and (b) a single cell.

### **3.4 Conclusion**

The polarization performances of the IrO<sub>x</sub>/M-SnO<sub>2</sub> (M= Nb, Ta, Sb) anode catalysts with fused-aggregate network structures were examined for the OER in both a half cell (0.1 M HClO<sub>4</sub>) and a single cell with a Nafion<sup>®</sup> membrane at 80°C. These catalysts exhibited similar high values of *MA* for the OER, regardless of the values of  $\sigma_{\text{app, catalyst}}$  in the half cell, whereas the  $E_{\text{cell}}$  decreased with decreasing  $R_{\text{ohm, cell, obs, catalyst}}$  in the single cell tests. In addition to the reduction of the *iR* loss, the predominant reduction of the anodic overvoltage is ascribed to the increased effective utilization of IrO<sub>x</sub> nanocatalyst particles supported on M-SnO<sub>2</sub> with higher  $\sigma_{\text{app, catalyst}}$ . Specifically, a single cell exhibited a promising performance  $E_{\text{cell}} = 1.61 \text{ V}$  ( $\epsilon_v$  of 92%) at  $1 \text{ A cm}^{-2}$  and 80°C with the use of an IrO<sub>x</sub>/Sb-SnO<sub>2</sub> anode ( $0.11 \text{ mg}_{\text{Ir}} \text{ cm}^{-2}$ ) and Pt/GCB cathode (with  $0.35 \text{ mg}_{\text{Pt}} \text{ cm}^{-2}$ ).

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## ***Chapter 4: A Challenge toward High Stability for Practical PEMWE Cells with Low Catalyst Loading***

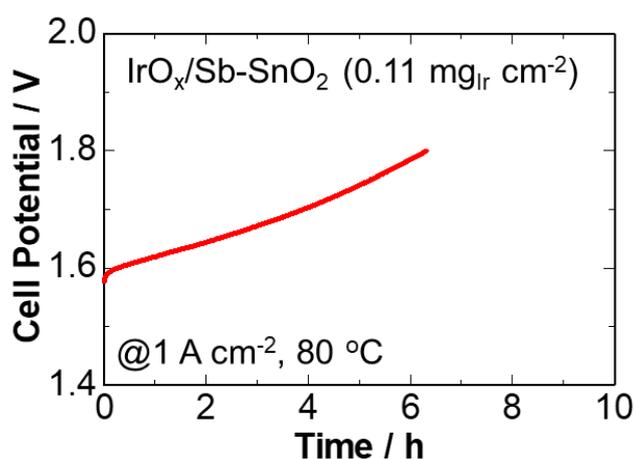
### **4.1 Introduction**

A single cell with the use of an IrO<sub>x</sub>/Sb-SnO<sub>2</sub> anode (0.11 mg<sub>Ir</sub> cm<sup>-2</sup>) and Pt/GCB cathode (with 0.35 mg<sub>Pt</sub> cm<sup>-2</sup>) exhibited a promising performance  $E_{\text{cell}} = 1.61$  V ( $\epsilon_v$  of 92%) at 1 A cm<sup>-2</sup> and 80 °C in *Chapter 3*. Thus the durability test for the IrO<sub>x</sub>/Sb-SnO<sub>2</sub> cell at a constant current density of 1 A cm<sup>-2</sup> was carried out, however the degradation rate was high (33 mV h<sup>-1</sup>, see **Figure 4-1**). To investigate the reason, the catalyst powder was picked from the ink preparation for MEAs by drying. Then I have confirmed that IrO<sub>x</sub> nanoparticles were migrated onto and detached partially from the surface of Sb-SnO<sub>2</sub> supports observed by TEM as shown in **Figure 4-2** (c). I have considered this might be ascribed that the interaction between iridium and tin oxide was too weak to support under strong acidic media. To improve the interaction, the condition of pre-treatment under inert gas condition was investigated in *Chapter 4*.

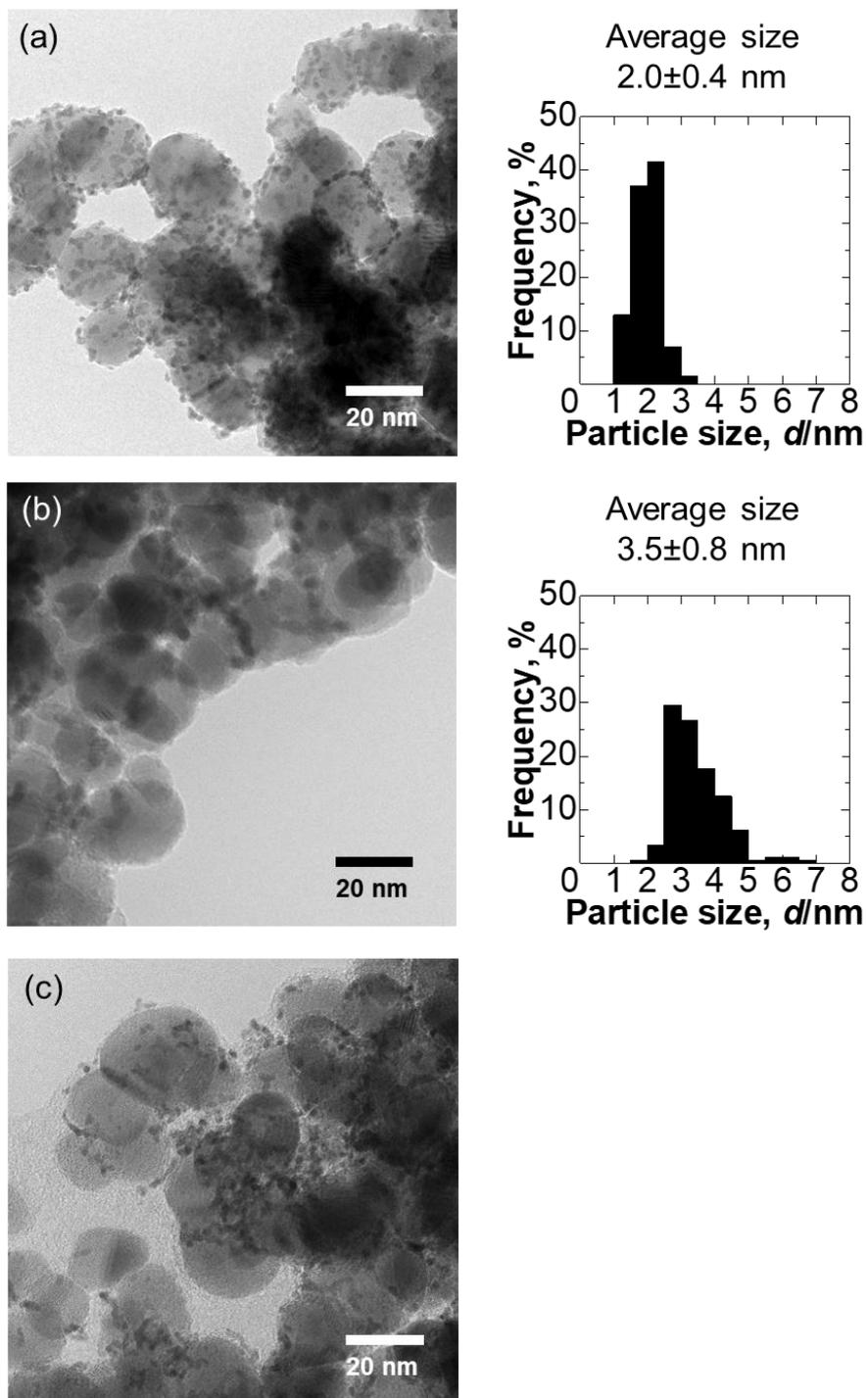
There are some papers to investigate the effect of heat treatments on OER activities for mixed thin films of iridium oxides with oxides of tin, titanium and/or manganese [1–4]. They concluded that improved OER activities would be affected by their surface interactions due to the formation of the solid solution including IrO<sub>2</sub>, while it has been also reported that OER activities were decreased in the case of iridium based nanoparticles supported on Sb-SnO<sub>2</sub> [5,6]. It was considered that declined OER activities were attributed from the change of chemical states on the surfaces and/or the loss of surface areas due to the coarsening of particles annealed at high temperature (> 500°C).

It has been mentioned that IrO<sub>2</sub> will be dissolved into SnO<sub>2</sub> slightly at 320°C under O<sub>2</sub> atmosphere, followed by the formation of (Ir,Sn)O<sub>2</sub> solid solution [7]. However

the effect of (Ir,Sn)O<sub>2</sub> solid solution on the OER activity, especially for nanoparticles, has not been measured as far. I have found that IrO<sub>x</sub>/Sb-SnO<sub>2</sub> catalyst formed the solid solution at the temperature between 300 to 400°C under N<sub>2</sub> atmosphere. Thus I have challenged to investigate the annealing effect on the OER activity, as well as the durability.



**Figure 4-1.** Time course of potential applying a constant current of 1 A cm<sup>-1</sup> for IrO<sub>x</sub>/Sb-SnO<sub>2</sub> (150H<sub>2</sub>) catalyst cell at 80°C. Pure water was supplied to the anode with a flow rate of 40 mL min<sup>-1</sup>.



**Figure 4-2.** TEM images and particle size distribution histograms of  $\text{IrO}_x/\text{Sb-SnO}_2$  ( $150\text{H}_2$ ) catalyst; (a) as synthesized, (b) after the galvanostatic measurement, (c) after ink preparation (before any tests, see the method in section 3.2.2).

## **4.2 Evaluation Techniques of the Formation of Solid Solution**

The IrO<sub>x</sub>/Sb-SnO<sub>2</sub> (AP) samples were heat-treated at the temperature of  $t$  (from 250 to 400) °C for 2 h under N<sub>2</sub> atmosphere before H<sub>2</sub> treatment at 150°C. These samples were used as a series of the catalysts in the ink preparation and OER activity tests. The annealed samples were denoted as  $t$ N150H<sub>2</sub> catalysts hereinafter.

In-situ TEM (H-9500, Hitachi High-Technologies Co., an acceleration voltage of 200 kV and an emission current < 0.2 μA) technique at the temperature from 250 to 400°C with introducing N<sub>2</sub> or H<sub>2</sub> gas under a pressure of 0.1 Pa was applied by the use of a direct-heating holder.

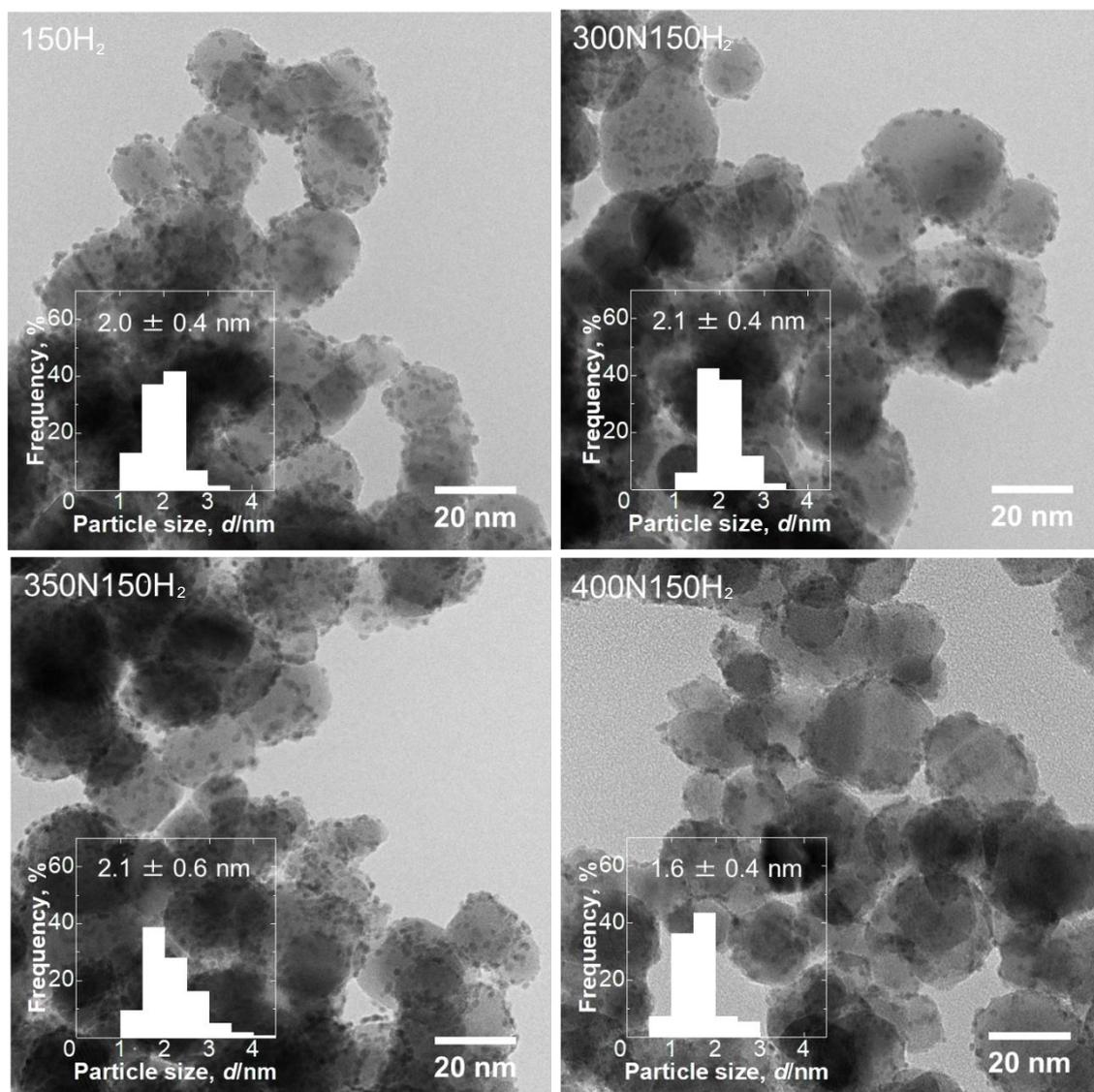
The content of Ir<sup>0</sup>, Ir<sup>(IV)</sup>, and Sn<sup>(IV)</sup> in the IrO<sub>x</sub>/Sb-SnO<sub>2</sub> were characterized by XPS equipped with an infrared heating furnace. The samples could be annealed by this furnace at the temperature of  $t$ °C under N<sub>2</sub> atmosphere ( $t$ N samples) followed by H<sub>2</sub> treatment ( $t$ N150H<sub>2</sub> samples), subsequently XPS measurement by the transportation without air exposure. Sn<sup>0</sup> was not detected for all samples.

### **4.3 Results and Discussion: Annealing Effects on Physical Properties**

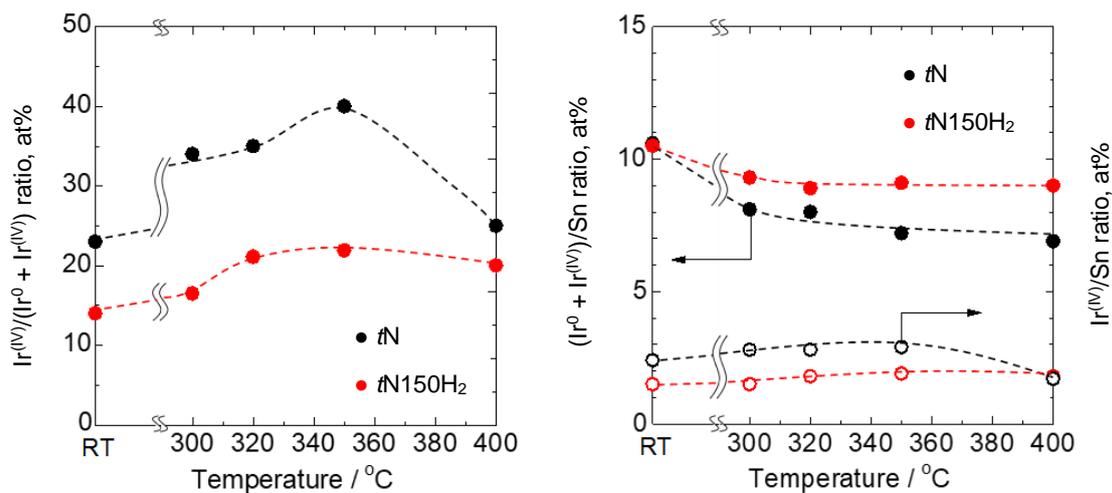
**Figure 4-3** shows ex-situ TEM images and particle size distributions of a series in  $tN150H_2$  catalysts ( $t = 0, 300, 350, \text{ and } 400^\circ\text{C}$ ).  $IrO_x$  nanoparticles of 1 to 3 nm in diameter were found to be dispersed uniformly on the oxide supports. The particle size ( $d_{Ir}$ ) and the standard deviations of the  $IrO_x$  nanoparticles were  $2.0 \pm 0.4, 2.1 \pm 0.4, 2.1 \pm 0.6,$  and  $1.6 \pm 0.4$  nm for the  $150H_2, 300N150H_2, 350N150H_2,$  and  $400N150H_2$  catalysts, respectively. The value of  $d_{Ir}$  for  $400N150H_2$  was somewhat smaller than the others, while a significant increase in  $d_{Ir}$  was not observed for each sample.

The chemical states of Ir and Sn as a function of temperature was shown in **Figure 4-4**. For  $tN$  samples, the increase in  $Ir^{(IV)}$  ratio to total amount of Ir (corresponding to  $Ir^0 + Ir^{(IV)}$ ) was observed up to  $350N$ , moreover  $Ir^{(IV)}/Sn$  ratio was slightly increased. Here, a hypothesis of the formation of  $(Ir,Sn)O_2$  solid solution at such temperature via the oxidation from  $Ir^0$  to  $Ir^{(IV)}$  is built up. Note that the reduction of  $Sn^{(IV)}$  was probably very small because  $IrO_x/Sb-SnO_2$  involved only 11.0 wt% of  $Ir^0$ , and the transition amount of  $Ir^0$  to  $Ir^{(IV)}$  was only 17% in case of  $tN$  series. In contrast, the  $Ir^{(IV)}$  ratio to total amount of Ir at  $400N$  was declined, which might be affected by the reduction to Ir metals. It has been reported that  $(Ir,Sn)O_2$  solid solution would be decomposed at  $450^\circ\text{C}$  [7]. The ratio of total amount of Ir to Sn was decreased, which suggests the Ir atoms were diffused into  $SnO_2$  supports beyond the detection limits of XPS, based on the hypothesis. While the values after  $H_2$  treatment in  $tN150H_2$  series were decreased compared to those at  $N_2$  heat treatment mainly due to the reduction of  $IrO_2$ , tendencies described above were still

confirmed; suggesting that the (Ir,Sn)O<sub>2</sub> solid solution has been remained in the interface between IrO<sub>x</sub> nanoparticles and Sb-SnO<sub>2</sub> support.

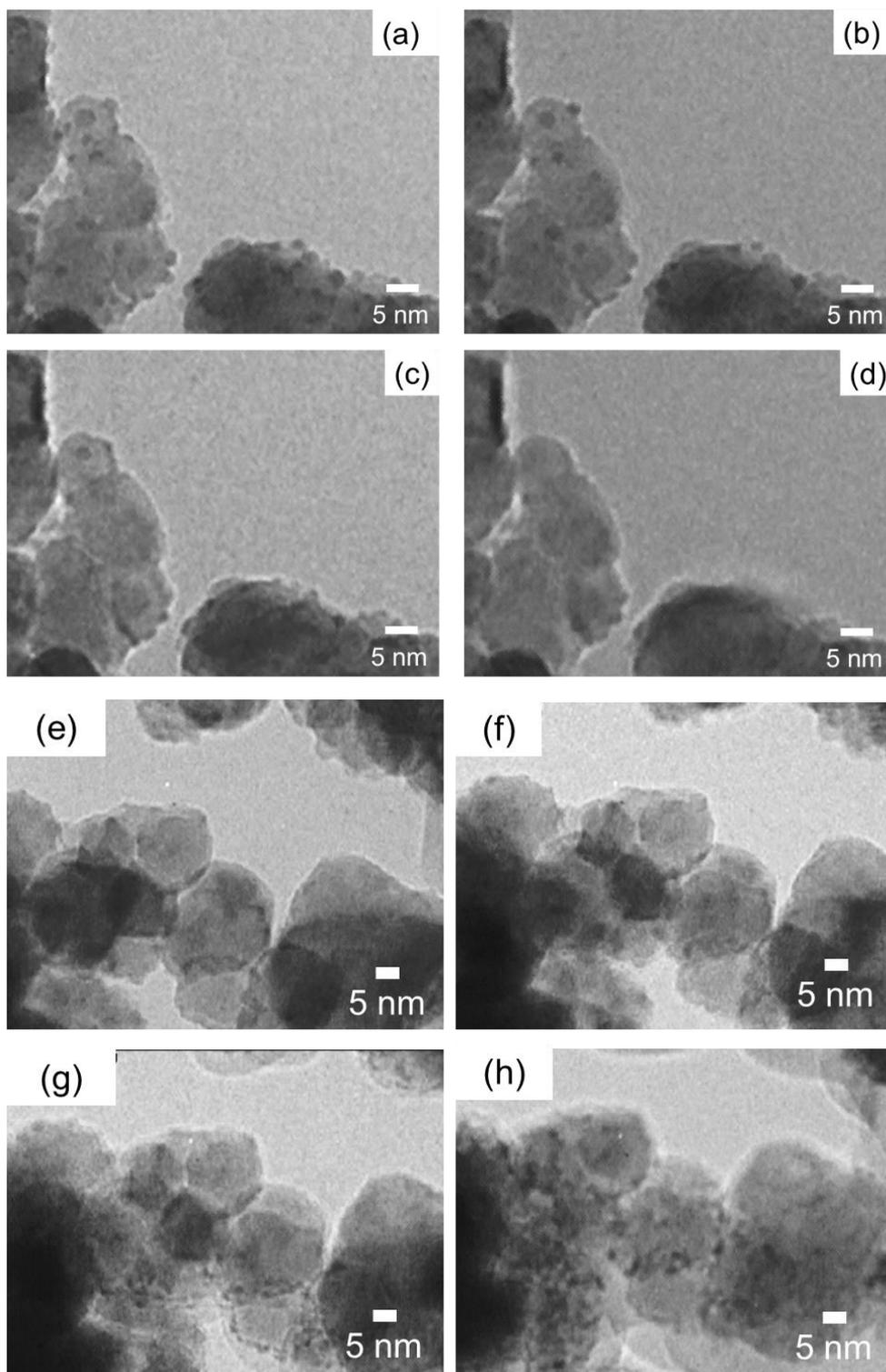


**Figure 4-3.** TEM images and particle size distribution histograms in a series of *t*N150H<sub>2</sub> catalysts with ex-situ heat treatments.



**Figure 4-4.** The ratio of  $\text{Ir}^{(\text{IV})}$  to total amount of Ir [ $\text{Ir}^{(\text{IV})}/(\text{Ir}^0 + \text{Ir}^{(\text{IV})})$ ], total amount of Ir to Sn [ $(\text{Ir}^0 + \text{Ir}^{(\text{IV})})/\text{Sn}$ ], and  $\text{Ir}^{(\text{IV})}$  to Sn ( $\text{Ir}^{(\text{IV})}/\text{Sn}$ ) in a series of  $t\text{N}$  and  $t\text{N150H}_2$  powders estimated by XPS. All samples were annealed without air exposure.

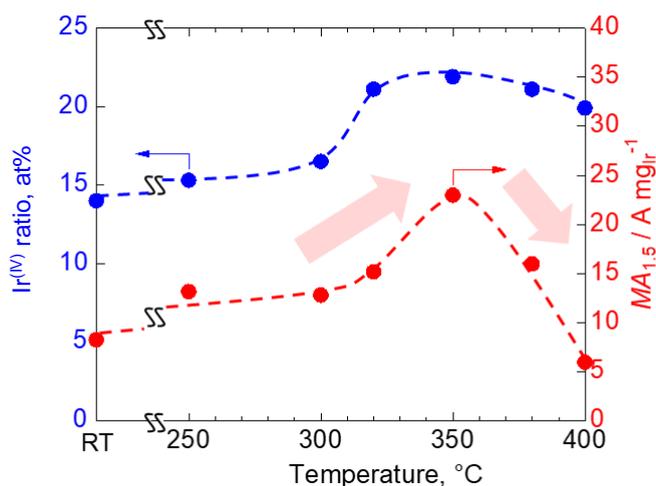
To verify the hypothesis, a series of in-situ environmental TEM images was carried out at the temperature from 250 to 350 $^{\circ}\text{C}$  with  $\text{N}_2$  gas introducing, and at the temperature up to 100 $^{\circ}\text{C}$  under  $\text{H}_2$  atmosphere as shown in **Figure 4-5**. It was observed that the diameter of  $\text{IrO}_x$  nanoparticles were smaller with increasing temperature, suggesting Ir atoms diffused into  $\text{Sb-SnO}_2$  supports partially at the introducing  $\text{N}_2$  gas. Therefore, it was clarified that the heat treatment from 300 to 350 $^{\circ}\text{C}$  in inert gas leads to the formation of interfacial solid solution partially. In contrast, the nanoparticles appeared on the surface of  $\text{Sb-SnO}_2$  supports at the temperature  $\geq 80^{\circ}\text{C}$  under  $\text{H}_2$  atmosphere.



**Figure 4-5.** In-situ environmental TEM images of IrO<sub>x</sub>/Sb-SnO<sub>2</sub>; (a) pristine (= AP), (b) 250, (c) 300, and (d) 350°C under N<sub>2</sub> atmosphere. (e) RT (= 350N), (f) 60, (g) 80, and (h) 100°C with introducing H<sub>2</sub> gas.

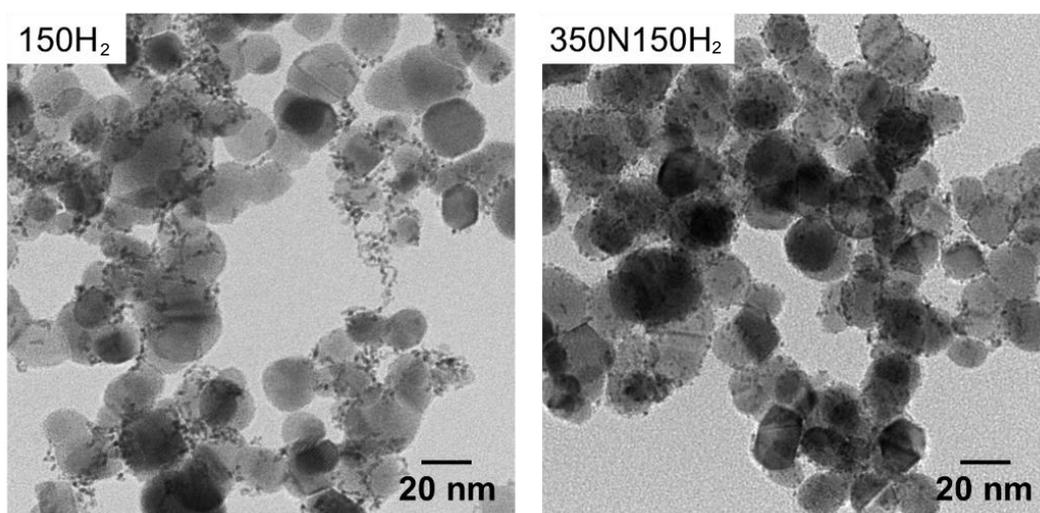
#### **4.4 Results and Discussion: Effects of the Partially Interfacial Solid Solution on Oxygen Evolution Activities and Fixation of IrO<sub>x</sub> Nanoparticles**

The relationship between Ir<sup>(IV)</sup> ratio to the total amount of Ir [Ir<sup>(IV)</sup>/(Ir<sup>0</sup> + Ir<sup>(IV)</sup>)] and OER activities in 0.1 M HClO<sub>4</sub> at 80°C (represents the value of MA<sub>1.5</sub>) is shown in **Figure 4-6**. Interestingly, the values of MA<sub>1.5</sub> were enhanced with increasing in the value of Ir<sup>(IV)</sup>/(Ir<sup>0</sup> + Ir<sup>(IV)</sup>), mainly due to the interaction between the IrO<sub>x</sub> nanoparticles and Sb-SnO<sub>2</sub>. Especially 350N150H<sub>2</sub> catalyst exhibited MA<sub>1.5</sub> of 23 A mg<sub>Ir</sub><sup>-1</sup>, which was twice higher than 150H<sub>2</sub>. This indicates the possibility of further reduction of the Ir amount at an anode catalyst to a level as low as 0.05 mg<sub>Ir</sub> cm<sup>-2</sup> at 1 A cm<sup>-2</sup> or the operation at high current density of 2 A cm<sup>-2</sup> with the same Ir amount as the 150H<sub>2</sub> cell (0.1 mg<sub>Ir</sub> cm<sup>-2</sup>). While it is necessary to find the key factor(s) for such an enhancement (e.g., the surface and/or internal Ir states of IrO<sub>x</sub> nanoparticles, the interface information between IrO<sub>x</sub> and Sb-SnO<sub>2</sub>), I have probed that the (Ir,Sn)O<sub>2</sub> solid solution is one of promising approaches to improve OER activities even in the field of nanoparticle catalysts.



**Figure 4-6.** The relationship between Ir<sup>(IV)</sup>/(Ir<sup>0</sup> + Ir<sup>(IV)</sup>) and MA<sub>1.5</sub> as a function of N<sub>2</sub> annealing temperature for a series of tN150H<sub>2</sub> catalysts.

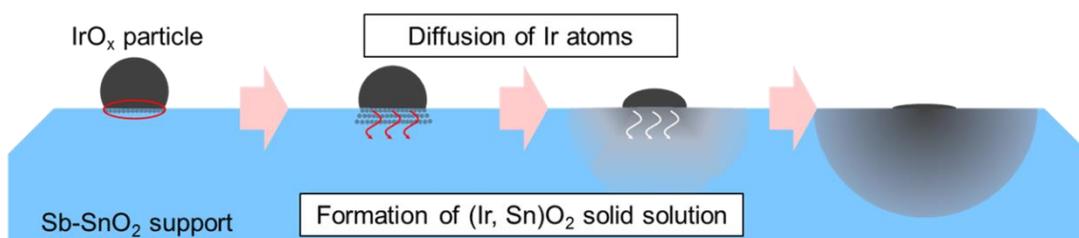
In addition, the (Ir,Sn)O<sub>2</sub> solid solution may affect to the fixation of IrO<sub>x</sub> nanoparticles onto the supports. **Figure 4-7** shows TEM images after the ink preparation for 150H<sub>2</sub> and 350N150H<sub>2</sub>. As stated in section 4.1, many isolated agglomerates of IrO<sub>x</sub> nanoparticles on or among Sb-SnO<sub>2</sub> supports were observed for 150H<sub>2</sub>. On the other hand, it was found that IrO<sub>x</sub> nanoparticles were maintained to be dispersed on the supports for 350N150H<sub>2</sub>. This fixation effect will lead to improve the durability of IrO<sub>x</sub> nanoparticles. Durability testing of a single cell with 350N150H<sub>2</sub> anode catalyst is going to be carried out in near future.



**Figure 4-7.** TEM images of IrO<sub>x</sub>/Sb-SnO<sub>2</sub> (150H<sub>2</sub> and 350N150H<sub>2</sub>) catalysts after ink preparation.

## 4.5 Conclusion

It was clarified that the partially interfacial (Ir,Sn)O<sub>2</sub> solid solution could be formed by the anneal of IrO<sub>x</sub>/Sb-SnO<sub>2</sub> catalyst under N<sub>2</sub> atmosphere at the temperature from 300 to 350°C before H<sub>2</sub> treatment without coarsening of nanoparticles. This solid solution helps to improve the OER activity and fix the IrO<sub>x</sub> nanoparticles possibly due to the strengthened interaction between IrO<sub>x</sub> nanoparticles and Sb-SnO<sub>2</sub> supports. According to the results of in-situ environmental TEM observation and XPS without air exposure, it was suggested that the solid solution was ascribed from the diffusion of Ir atoms into the SnO<sub>2</sub> support materials as illustrated in **Figure 4-8**. It is possible that the decline in active surface areas (sites) would be occurred by N<sub>2</sub> annealing, however, the IrO<sub>x</sub> nanoparticles were appeared due to the partial reduction of IrO<sub>2</sub> near the surface of particles with moderate H<sub>2</sub> treatments while maintaining the effects from the interfacial solid solution.



**Figure 4-8.** The schematic image for the formation of the partially interfacial (Ir,Sn)O<sub>2</sub> solid solution.

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## ***Chapter 5: General Conclusions and Perspectives***

### **5.1 General Conclusions**

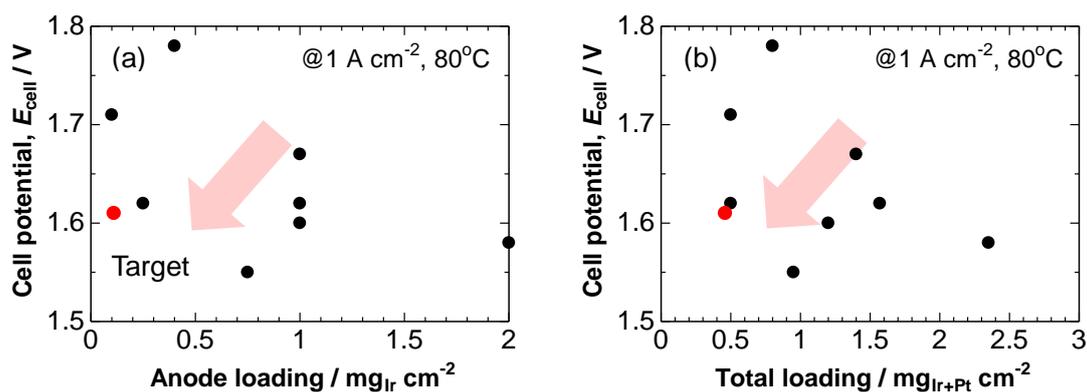
In this dissertation, it has been taken into account for issues that are the amount used and the costs of noble metal electrocatalysts, especially iridium, in PEMWE. My specific objective have been set to reduce the amount of noble metal to 1/10 compared to conventional cells with maintaining a voltage efficiency ( $\varepsilon_v$ ) of 90% at 1 A cm<sup>-2</sup>. Iridium based OER catalysts supported on doped tin oxides with a fused-aggregate network structure have been reported consistently in all chapters toward high performances for a practical PEMWE cell.

In **Chapter 2**, two iridium based catalysts were newly synthesized supported on niobium doped tin oxides. One of them was Ir-Pt binary catalysts prepared by a nanocapsule method in anticipation of alloying effect. Indeed the convex plot of mass activity at 1.5 V ( $MA_{1.5}$ ) as a function of Ir content was obtained at Ir<sub>35</sub>Pt<sub>65</sub>/Nb-SnO<sub>2</sub> catalyst, whereas Ir/Nb-SnO<sub>2</sub> catalyst with a quite low Ir loading (1.8 wt%, projected value was 20 wt%) exhibited the highest value of  $MA_{1.5}$ . To improve the loading, a colloidal method in an aqueous phase was used, then finally 2 nm-sized IrO<sub>x</sub> nanoparticles highly dispersed on Nb-SnO<sub>2</sub> supports with an Ir loading of 11.3 wt% was succeeded to synthesize.

In **Chapter 3**, IrO<sub>x</sub>/M-SnO<sub>2</sub> (M = Nb, Ta, and Sb) catalysts with similar microstructures but with different values of the apparent conductivity ( $\sigma_{app}$ ) were synthesized, taking into account for the investigation in the effect of  $\sigma_{app}$  on the cell performances. In a half-cell (electrolyte solution), similarly enhanced  $MA_{1.5}$  values exceeding 10 A mg<sub>Ir</sub><sup>-1</sup> for all IrO<sub>x</sub>/M-SnO<sub>2</sub> catalysts were observed, which were > 20 times higher than that of conventional catalyst. This was ascribed not only to the increase

in the specific surface areas ( $S_{\text{IrO}_2}$ ), but also the structure of an  $\text{IrO}_x$  shell on an Ir core, as well as the interaction between  $\text{IrO}_x$  nanoparticles and the doped  $\text{SnO}_2$  supports. However the performances of the single cells (MEA) were found to be enhanced in the order:  $\text{IrO}_x/\text{Nb-SnO}_2 < \text{IrO}_x/\text{Ta-SnO}_2 \ll \text{IrO}_x/\text{Sb-SnO}_2$ . The predominant reduction of the cell potential ( $E_{\text{cell}}$ ) is ascribed to the increased effective utilization of  $\text{IrO}_x$  nanocatalyst particles supported on M- $\text{SnO}_2$  with higher  $\sigma_{\text{app}}$ . In particular, a single cell with  $\text{IrO}_x/\text{Sb-SnO}_2$  anode catalyst exhibited  $E_{\text{cell}} = 1.61 \text{ V}$  ( $\varepsilon_v$  of 92%) at  $1 \text{ A cm}^{-2}$  with  $< 1/10$  noble metal loading to the conventional cell, which is the highest performance reported so far as shown in **Figure 5-1**.

In **Chapter 4**, to fix the  $\text{IrO}_x$  nanoparticles on the  $\text{Sb-SnO}_2$  support, the interfacial  $(\text{Ir,Sn})\text{O}_2$  solid solution was investigated. By annealing at the temperature from 300 to  $350^\circ\text{C}$ , it has been confirmed that the partially interfacial  $(\text{Ir,Sn})\text{O}_2$  solid solution could be formed. This solid solution helped to not only fix the  $\text{IrO}_x$  nanoparticles, but also improve the OER activity. Such enhancement by the use of  $\text{IrO}_x$  nanoparticles is the first report in the Ir and Sn system.



**Figure 5-1.** The relationships between  $E_{\text{cell}}$  and noble metal loading; (a) anode Ir loading, (b) total noble metal loading, operated at  $1 \text{ A cm}^{-2}$ ,  $80^\circ\text{C}$ .

The symbol of ● corresponds to the present work. The values of the other  $E_{\text{cell}}$  and noble metal loadings were cited from [1-7].

## 5.2 Perspectives

On the stage in synthesis of catalysts, one of important results in this work is regards with the effect of  $\sigma_{\text{app}}$  on the OER performances. The higher  $\sigma_{\text{app}}$ , the higher performance was in the single cell test, which contributes the effective utilization of IrO<sub>x</sub> nanocatalyst in OER (electrons). Here, the effectiveness at 1.5 V ( $Ef_{\text{Ir},1.5}$ ) that is a worthy index to evaluate its utilization under actual OER operating conditions, was evaluated as follows [8];

$$Ef_{\text{Ir},1.5} (\%) = \frac{MA_{\text{cell},1.5}}{MA_{1.5}} \times 100 \quad (5.1)$$

where  $MA_{\text{cell},1.5}$  could be estimated in MEAs, which was calculated from **Figure 3-5**, while  $MA_{1.5}$  was evaluated in electrolyte solution that quotes from **Figure 3-3**. The values of  $Ef_{\text{Ir},1.5}$  for IrO<sub>x</sub>/Sb-SnO<sub>2</sub> (150H<sub>2</sub>) anodes and conventional catalysts were 13 and 57%, respectively. One of the key reasons for this difference is ascribed to the  $\sigma_{\text{app}}$  (see **Table 3-1**). Therefore, the further improvement of  $\sigma_{\text{app}}$  will lead to the development of practical PEMWE cells.

The improvement of Ir loading will lead to increase the  $\sigma_{\text{app}}$ . Unfortunately, the values of Ir loading in IrO<sub>x</sub>/M-SnO<sub>2</sub> materials were small (ca. 10 wt%, which is still half of the projected value). Assuming the IrO<sub>x</sub> nanoparticles are spherical, the interparticle distances ( $X$ ) can be calculated from the diameter of nanoparticles ( $d_{\text{Ir}}$ ), the specific surface areas of supports ( $S_{\text{SnO}_2}$ ), and Ir loading ( $y$ ), by the use of following equation [9].

$$X = \sqrt{\pi \rho d_{\text{Ir}}^3 S_{\text{SnO}_2} (100 - y) / 3\sqrt{3}y} \quad (5.2)$$

where  $\rho$  is the density of iridium. Note that the value of  $X$  represents the average distance between the centers of particles. For IrO<sub>x</sub>/Sb-SnO<sub>2</sub> (150H<sub>2</sub>) as an example,  $X$  was estimated to be 5.9 nm when  $d_{\text{Ir}} = 2$  nm,  $S_{\text{SnO}_2} = 40$  m<sup>2</sup> g<sup>-1</sup>, and  $y = 11.0$  wt%. When  $y$  is 20 wt% as a projected value,  $X$  is estimated to be only 4.2 nm. This value is too small to

disperse 2 nm-sized IrO<sub>x</sub> nanoparticles on the support with high Ir loading. To overcome this drawback, one of effective approaches is the enlargement of the diameter in nanoparticles. If the value of  $X$  is set to 5.9 nm, the Ir loading will increase up to 30 or 50 wt% when  $d_{\text{Ir}} = 3$  or 4 nm, respectively. High Ir loading in the catalyst has an excellent advantage that the thickness of catalyst layer in the practical cell would be smaller in case of the preparation of CCM with same Ir loading on the membranes. This approach also has possibilities for other benefits, to elucidate the structure of IrO<sub>x</sub> nanoparticles, as well as to acquire information in the surfaces/interface on IrO<sub>x</sub> nanoparticles and M-SnO<sub>2</sub> supports, e.g., to unravel the mechanism in formation of (Ir,Sn)O<sub>2</sub> interfacial solid solution.

The other significant finding is that IrO<sub>x</sub>/M-SnO<sub>2</sub> catalysts themselves showed the high  $MA$ . To improve  $MA$  will give a benefit of the further reduction in Ir amount, and/or possibility of the operation at high current density. For instance, Ir-Ru based binary catalysts with high  $MA$ s are promising candidates as described in section 1.3. Moreover, it has been reported that (Ir,Ru)O<sub>2</sub> solid solution could form a stable solid solution with a wide composition range and showed higher OER activity than IrO<sub>2</sub> and RuO<sub>2</sub> [10,11].

As one of the clues to develop an anode CL in a MEA, it is necessary to investigate an effect of I/S on the OER performances as stated in section 3.5. As the other address, additives to an anode CL is also practicable to improve  $MA_{\text{cell}}$ . It has been reported that the cell performance consisted of IrO<sub>2</sub> and TiO<sub>2</sub> particles with submicrometer order sizes was closed to that of non-supported IrO<sub>2</sub>, which exhibited sufficiently high  $\sigma_{\text{app}}$  [12]. Unsupported carbon materials (e.g., graphitized carbon black, carbon nanotube), Pt nano- or micro-particles seem to be also candidates for conductive additives, which have a possibility of good O<sub>2</sub> gas diffusion or transportation of electrons in anode CL without OER inhibition on IrO<sub>x</sub> nanoparticles.

The results of Ir and IrO<sub>x</sub> nanoparticles dispersed on M-SnO<sub>2</sub> supports in the present work are useful for future researches to develop the anodes of PEMWE from fundamental to practical studies, where the number of papers published has been increasing and increasing [13]. They will open up a means to solve the problem in costs and amount used for precious metal electrocatalysts, especially iridium based nanocatalysts. I believe that this dissertation provides new guideposts and special insights playing a role for drastic evolution of PEMWE.

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  13. T. Ogawa, M. Takeuchi, and Y. Kajikawa, Analysis of trends and emerging technologies in water electrolysis research based on a computational method: A comparison with fuel cell research. *Sustainability* **10**, 478 (2018), DOI: 10.3390/su10020478.

## List of Publications

### Papers

1. H. Ohno, S. Nohara, K. Kakinuma, M. Uchida, A. Miyake, S. Deki, and H. Uchida, Remarkable mass activities for the oxygen evolution reaction at iridium oxide nanocatalysts dispersed on tin oxides for polymer electrolyte membrane water electrolysis. *J. Electrochem. Soc.* **164**, F944 (2017), DOI: 10.1149/2.1101709jes.
2. H. Ohno, S. Nohara, K. Kakinuma, M. Uchida, and H. Uchida, Effect of electronic conductivities of iridium oxide/doped SnO<sub>2</sub> oxygen-evolving catalysts on the polarization properties in proton exchange membrane water electrolysis. *Catalysts* **9**, 74 (2019), DOI: 10.3390/catal9010074.

## Meeting abstracts

### *Prize*

1. First prize for Special Program for Clean Energy Science and Technology in Univ. of Yamanashi (2013).

### *International Conferences*

1. H. Ohno, S. Nohara, A. Miyake, S. Deki, M. Watanabe, and H. Uchida, *3<sup>rd</sup> International Workshop on Green Energy Conversion*, P32, Yamanashi, Japan, August 25-27 (2014).
2. H. Ohno, S. Nohara, K. Kakinuma, A. Miyake, S. Deki, M. Watanabe, and H. Uchida, *International Fuel Cell Workshop 2015*, Yamanashi, Japan, August 27-28 (2015).
3. H. Ohno, S. Nohara, K. Kakinuma, A. Miyake, S. Deki, M. Watanabe, and H. Uchida, *The 229th Meeting of The Electrochemical Society*, San Diego, CA, May 29-June 2 (2016).
4. H. Ohno, S. Nohara, K. Kakinuma, A. Miyake, S. Deki, and H. Uchida, *PRiME 2016*, Honolulu, Hawaii, Abstract 2737, October 2-7 (2016).
5. H. Ohno, S. Nohara, K. Kakinuma, A. Miyake, S. Deki, and H. Uchida, *5<sup>th</sup> International Seminar on Green Energy Conversion*, P12, Koumi, Nagano, August 31-September 2 (2016).
6. H. Ohno, S. Nohara, K. Kakinuma, M. Uchida, and H. Uchida, *6<sup>th</sup> International Seminar on Green Energy Conversion*, P13, Koumi, Nagano, September 13-15 (2017).
7. H. Ohno, S. Nohara, K. Kakinuma, M. Uchida, and H. Uchida, *International Fuel Cell Workshop 2018*, Yamanashi, Japan, August 23-24 (2018).

### *Domestic Conferences*

1. H. Ohno, S. Nohara, H. Matsunaga, A. Miyake, S. Deki, M. Watanabe, and H. Uchida, *The 81<sup>st</sup> Meeting in The Electrochemical Society of Japan*, 3P07, Ohsaka, March (2014).
2. H. Ohno, S. Nohara, K. Kakinuma, A. Miyake, S. Deki, M. Watanabe, and H. Uchida, *The 55<sup>th</sup> Battery Symposium in Japan*, 1C30, Kyoto, November (2014).
3. H. Ohno, S. Nohara, K. Kakinuma, A. Miyake, S. Deki, M. Watanabe, and H. Uchida, *The 56<sup>th</sup> Battery Symposium in Japan*, 1B29, Nagoya, November (2015).

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